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2 **Neuro-cardiac Interaction in Malignant Ventricular Arrhythmia and**
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4
5 **Sudden Cardiac Death**
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Abstract

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3 Sudden cardiac death as a result of lethal ventricular arrhythmias is a major cause of death
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5 in cardiac diseases such as heart failure and prior myocardial infarct. Activity of the
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7 autonomic nervous system is often abnormal where sympathetic activity is upregulated and
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9 vagal activity reduced in these conditions. The abnormal autonomic state has been shown
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11 to be a strong prognostic marker of increased mortality and propensity to lethal
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13 arrhythmias, for which there is no effective prevention. Research effort over the years has
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15 established good evidence for a causal link between autonomic disturbance and ventricular
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17 arrhythmias. However, the detailed electrophysiological mechanisms by which ventricular
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19 fibrillation occurs are still not clear and molecular processes which are modulated by
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21 autonomic nerve influences that either predispose the heart to or protect it from these
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23 arrhythmias are not fully understood. This review presents data from studies investigating
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25 the link between activity of the autonomic nervous system and ventricular arrhythmias,
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27 from seminal findings in classical studies to ongoing investigations, in the quest for a better
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29 understanding of the arrhythmogenic mechanisms underlying neurocardiac interactions
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31 with a view to the development of effective preventative and therapeutic strategies which
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33 are very much needed.
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45 Keywords: Sympathetic; vagus nerve; sudden cardiac death; ventricular arrhythmia;
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47 neurocardiac interactions; ventricular fibrillation; autonomic nervous system
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1. Introduction

Sudden cardiac death (SCD) claims around 90,000 lives each year in the UK and remains a major unsolved clinical problem. The majority of these deaths are due to malignant ventricular arrhythmias [ventricular tachycardia (VT) and fibrillation (VF)]. The current therapy with proven benefit is the implantable cardioverter defibrillator, which detects the high rates of VT/VF, and delivers either an electric shock or overdrive pacing to revert the arrhythmias back to normal rhythm to avert death. Implantable cardioverter defibrillators have been shown in well-conducted randomised clinical trials (Lee et al. 2003) to reduce arrhythmic and total mortality compared with antiarrhythmic drugs for both secondary prevention (i.e. in patients who have survived a sudden death event) and primary prevention (i.e. in patients who are deemed at high risk of sudden death). However, the treatment is crude and palliative and there is no preventative treatment strategy that is effective either in the form of device intervention or drugs. This is due to the fact that the mechanisms underlying these lethal arrhythmias are still poorly understood despite decades of research.

Multiple lines of evidence point to a pivotal role of the autonomic nervous system in the development and maintenance of arrhythmias (Shen & Zipes 2014). β -blockers have been shown to be of prognostic benefit in certain heart conditions, with data supporting some reduction in SCD mortality (Al-Gobari et al. 2013) highlighting an important association between sympathetic tone and ventricular arrhythmias. Left cardiac sympathetic denervation has been shown to be effective in treating and reducing arrhythmia burden in patients with refractory ventricular arrhythmias (Schwartz 2014). Epidemiological studies and studies in patients with implantable cardioverter defibrillators have shown that a high

1 proportion of ventricular arrhythmias and SCD occurs in the early hours of the morning
2 suggesting a strong link with the diurnal changes seen in autonomic nerve activity (Willich et
3 al. 1987). Clinical measures of vagal tone (heart rate variability and baroreflex sensitivity)
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5 are powerful predictors of mortality in heart failure (Nolan et al. 1998) and patients with
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7 previous myocardial infarction (MI) (La Rovere et al. 1998). Autonomic control also plays an
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9 important role in fatal ventricular arrhythmia in the absence of prior heart disease e.g. after
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11 stroke in the insular cortex (Oppenheimer 2006) and in extreme mental stress (Taggart et al.
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13 2011). However, the mechanisms by which abnormal autonomic function is related to
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15 arrhythmogenesis are still unclear. This brief review summarises some of the studies from
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17 researchers over the years that investigate the relationship between activity of the
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19 autonomic nervous system and SCD in an attempt to understand the mechanistic basis for
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21 the autonomic modulation of ventricular arrhythmia initiation and maintenance, which will
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23 be important for the development of effective treatment strategy.
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36 **2. Autonomic nervous system and cardiac arrhythmic death**

37 Cardiac innervation

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39 Sympathetic and parasympathetic fibres innervate all regions of the heart with sympathetic
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41 fibres arising primarily from the thoracic vertebrae of the spinal cord with pre-ganglionic
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43 nerves distributed to various bilateral paravertebral ganglia (Randall & Rohse 1956). Post-
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45 ganglionic fibres converge at the cardiac plexus - a group of nerves and blood vessels at the
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47 aortic arch, before innervating the heart. The somata of the parasympathetic efferent pre-
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49 ganglionic neurones arise chiefly from the ventral lateral region of the nucleus ambiguus,
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51 and a smaller proportion from the dorsal motor nucleus and intermediate zone between
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53 these sites (Armour 1996). Pre-ganglionic fibres are carried within the Xth cranial (vagus)
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1 nerves and converge at several atrial and ventricular ganglionated plexuses which regulate
2 cardiac function through postganglionic projections postganglionic in the atrial and
3 ventricular tissues (Coote 2013).
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7 Autonomic nervous system and sudden cardiac death

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11 There is prominent circadian variability in SCD occurrence with a peak incidence during the
12 waking hours in the morning (Willich et al. 1987) which corresponds to the abrupt reduction
13 in heart rate variability during the same time period, signifying the surge in sympathetic
14 activity and withdrawal of vagal activity (Bonnemeier et al. 2003). Cardiac diseases such as
15 chronic heart failure (Nolan et al. 1998) and myocardial infarction (La Rovere et al. 1998) are
16 associated with abnormal cardiac autonomic control including high levels of sympathetic
17 activity, impaired parasympathetic control and subnormal arterial baroreflex sensitivity.
18 Clinical measurements of autonomic tone, such as heart rate variability and baroreflex
19 sensitivity, have been shown to be powerful and independent prognostic markers in these
20 disease states and in survivors of cardiac arrest (Kleiger et al. 1987; Casolo et al. 1989;
21 Dougherty & Burr 1992). This relationship has led to the suggestion that impaired cardiac
22 autonomic regulation does not merely reflect cardiac dysfunction but actively and adversely
23 contributes to disease progression and mortality.
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46 There is strong evidence that much of the relationship between impaired cardiac autonomic
47 control and mortality is a result of an increased susceptibility to lethal ventricular
48 arrhythmias (Schwartz 1998). Sudden arrhythmic death is a common mode of death in
49 survivors of myocardial infarction and in chronic heart failure. Animal studies have shown
50 that sympathetic stimulation via the stellate ganglia increases the vulnerability to
51 ventricular fibrillation (VF) of the normal heart (Verrier et al. 1974). Acute ischaemia with
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1 coronary artery occlusion is associated with a surge in sympathetic discharge and has been
2 shown to reduce the threshold for VF (i.e. increased susceptibility to VF), an effect which is
3 prevented by pre-treatment with β -adrenergic blockers (Parker et al. 1990). In contrast, it
4 has been known for over 100 years since the work of Einbrodt and his 'inductorium' that
5 vagal stimulation increases the threshold for VF, i.e. reduced susceptibility to VF (Einbrodt
6 1859). Work in anaesthetised animals has confirmed this effect (Kent et al. 1973; Zuanetti
7 et al. 1987). The seminal work by Schwartz and his group provided insight in the association
8 between autonomic tone and arrhythmic death in a conscious canine model of sudden
9 ischaemic cardiac death. It was found that concomitant vagus nerve stimulation significantly
10 prevented ischaemia-induced VF (Vanoli et al. 1991). Of equal significance, it was found
11 that baseline baroreflex sensitivity was high in animals resistant to VF and low in susceptible
12 animals (Schwartz et al. 1988). These data suggest that high levels of vagal activity can exert
13 powerful anti-arrhythmic effects, which can counter the effects of acute ischaemia and
14 sympathetic activation. Kolman (Kolman et al. 1976), however, showed that vagal
15 stimulation alone did not affect the VF threshold but prevented the decrease in VF
16 threshold induced by simultaneous sympathetic stimulation. Others have noted that the
17 anti-arrhythmic effects of vagal stimulation are most pronounced during sympathetic
18 activation (Vanoli et al. 1991). The effects of sympathetic and vagal stimulation have a
19 different time course of action and the interactions between the two are complicated and
20 unpredictable (Levy 1997). Part of the reason for some of these conflicting data may be due
21 to the fact that the experiments were carried out *in vivo* and interference from circulating
22 catecholamines and other neurotransmitters cannot be excluded.

23 Autonomic nervous system and Heart Failure

1 The link between the autonomic nervous system and SCD is particularly evident in heart
2 failure where it is acknowledged that the increased likelihood of SCD is associated with
3 mechanical dysfunction as assessed by a low left ventricular ejection fraction. A classical
4 hallmark of heart failure, and also in patients following an MI, is abnormal autonomic
5 control (or 'autonomic imbalance'), namely high sympathetic activity and low vagal tone
6 which occurs in all aspects of cardiovascular regulation e.g. alterations of afferent signalling,
7 central processing, ganglionic and efferent innervation in addition to alterations to the heart
8 itself. Changes in autonomic activity appear early in heart failure and often precede other
9 changes typical of the disease. Vagal tone significantly decreased 3 days after cardiac
10 dysfunction and preceded sympathetic over-activity in a canine heart failure model (Ishise et
11 al. 1998). In patients with cardiac dysfunction, sympathetic activation occurs before
12 symptoms and reduced parasympathetic drive is present early in patients with symptomatic
13 heart failure from even mild LV impairment (Grassi et al. 2001). Irrespective of the relative
14 timing that autonomic dysfunction ensues in heart failure, there is strong evidence that
15 impaired neurocardiac control is intimately linked with increased mortality as a result of
16 lethal ventricular arrhythmias (Schwartz 1998). The clinical and prognostic significance of
17 sympathetic over-activation forms the rationale for β -blockers as standard heart failure
18 therapy, whilst parasympathetic regulation has received much less attention.

3. The sympathetic nervous system and ventricular arrhythmias

19 A direct link between sympathetic activity and ventricular arrhythmia was demonstrated in
20 a canine model of SCD where ventricular arrhythmia was preceded by instantaneous
21 sympathetic activity from ambulatory left stellate ganglion recording (Zhou et al. 2008).

1 Whilst it has been acknowledged that sympathetic activation is associated with increased
2 ventricular arrhythmia initiation, the underlying mechanisms are still poorly understood.
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5 Ventricular arrhythmia mechanisms and sympathetic activation 6 7

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9 The electrophysiological mechanisms underlying ventricular arrhythmia can include the
10 classical mechanisms of abnormal automaticity, triggered activity and re-entry, all of which
11 can be enhanced with sympathetic activation. In patients with prior myocardial infarct, the
12 “scar” area contains dead and surviving myocardium with cardiac magnetic resonance
13 studies with late gadolinium enhancement suggesting that myocardial scar quantification
14 can predict arrhythmic events (Gao et al. 2012). It is not difficult to comprehend that the
15 ideal environment of dead tissue in the vicinity of surviving myocardium would create an
16 excellent milieu for re-entrant arrhythmia, i.e. ventricular tachycardia (VT), given the right
17 trigger or stimulus, such as critically timed ectopic beat(s) which can be enhanced with
18 sympathetic activation. However, it should be noted that stable VT is distinct from VF. In the
19 presence of relatively preserved ventricular function or when VT rate is not too fast, VT can
20 remain haemodynamically stable, unless it degenerates into VF which when sustained is
21 invariably unstable and causes cardiac arrest. The mechanisms underlying VF initiation are
22 still poorly understood and how stable VT degenerates into VF is still not clear (Weiss et al.
23 2000). Clinical trials have provided important information that tests used to induce VT in
24 patients with prior MI and low left ventricular ejection fraction would predict future VT
25 events but not necessary VF in patients with implantable cardioverter defibrillators (Daubert
26 et al. 2006). VF can arise without going through a period of stable VT and hence its initiation
27 requires better understanding.
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58 Dispersion of refractoriness 59 60 61 62 63 64 65

1 A major direct electrophysiological effect of the autonomic nervous system on the
2 ventricular myocardium is that on the recovery of excitability. Sympathetic stimulation has
3 been shown to shorten whilst vagal stimulation lengthens the ventricular refractory period
4 (Martins & Zipes 1980). Substantial sympathetic-parasympathetic interaction also occurs in
5 the effect on ventricular refractoriness (Nattel et al. 1981; Morady et al. 1988) but the
6 mechanism behind the increase or decrease in arrhythmogenic tendency is not well
7 understood.
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10 The pioneering work of Han and Moe (Han & Moe 1964) demonstrated that dispersion of
11 recovery was increased by conditions that predispose to VF, e.g. sympathetic stimulation
12 and cardiac glycoside toxicity; and that the increased dispersion was associated with
13 increased VF inducibility. Elegant experiments by Kuo et al. (Kuo et al. 1983) further detailed
14 the initiation of re-entrant ventricular arrhythmias with a critical level of dispersion of
15 monophasic action potential duration. It is logical to extend the proposal to the importance
16 of pre-existing heterogeneity in refractoriness to sustain VF in diseased hearts, which can be
17 exaggerated with sympathetic stimulation to increase the propensity to ventricular
18 arrhythmias. Further work on the dynamics of fibrillation in mathematical modelling
19 investigations using more realistic ionic models of cardiac tissue demonstrated that
20 fibrillation can occur in the absence of pre-existing heterogeneity in refractoriness (Panfilov
21 & Holden 1990). In a homogeneous sheet of cardiac tissue, it was shown that a stable re-
22 entrant or 'spiral' wave can, after a few rotations, "break" around the core and produce a
23 daughter wave which can again break to give more wavelets. This provides a different view
24 to the original multiple wavelet hypothesis proposed by Moe where the re-entry waves
25 arise from heterogeneities in refractoriness. Here, spiral breakup of the rotating waves
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1 occurs without pre-existing non-uniformity of refractoriness. An alternative explanation is
2 required for the conceptualisation of wavebreak and does sympathetic activation exploit
3 this mechanism to promote arrhythmogenesis?
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8 Action Potential Duration Restitution and wavebreaks 9

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11 It is a normal intrinsic property of the action potential duration (APD) of myocardium to vary
12 according to the activation rate. At slow heart rates, APD is long and with faster heart rates,
13 APD shortens (Figure 1A & B). This property is termed APD restitution. This is important
14 mechanically where the cardiac cycle is shortened at faster heart rates to allow brisker
15 contraction and relaxation for the efficient function of the cardiac pump. APD restitution is
16 also not a novel concept but is embedded in the need for rate correction of the QT interval
17 (surrogate of ventricular APD) on ECG with the recognition of the relationship between QT
18 and heart rate (Ahnve 1985). The APD is dependent on the preceding diastolic interval (DI)
19 and APD restitution describes the situation whereby a shortening of the DI leads to a
20 shortening of the following APD. The relationship can be described by plotting APD against
21 DI which usually assumes an exponential curve (Figure 1C). At slow heart rates, i.e. long DI,
22 changes in DI lead to relative little change in APD with a flat APD-DI relationship. During
23 restitution, APD shortens as DI decreases. At the steep part of the APD restitution curve,
24 small changes in DI would lead to large changes in APD. The importance of the dynamics of
25 the relationship between APD and DI was appreciated as early as 1968 when Nolasco and
26 Dahlen (Nolasco & Dahlen 1968) demonstrated in frog ventricular muscle strips that APD
27 restitution slope increased when stimulus frequency increased with transient alternation in
28 APD lasting longer. At a driving rate where APD restitution slope was +1, persistent
29 alternans occurred. This was interpreted graphically in the light of a feedback mechanism,
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1 which is illustrated in Figure 2. If the APD restitution relationship is shallow with a slope < 1,
2 a brief perturbation e.g. as a result of an ectopic beat will lead to a shorter DI, resulting in a
3 shorter APD. Assuming that the heart rate is maintained constant i.e. cycle length (CL, the
4 sum of APD and DI) is constant and this relationship can be plotted as a straight line with the
5 formula $APD = -DI + CL$ and a slope of -1. The following DI will be longer, which makes the
6 next APD less short as a result of the flat APD restitution curve and a stabilisation of the APD
7 oscillations (Figure 2A). On the other hand, if the APD restitution slope is > 1, a shortening in
8 DI, will lead to more pronounced APD shortening, with amplification of effects on
9 subsequent DI prolongation and setting up of APD alternans (Figure 2B). Thus the negative
10 feedback if APD restitution slope is < 1 and positive feedback if APD restitution slope is > 1
11 serve to dampen or exaggerate oscillations and alternans respectively. It was shown that
12 APD alternans can lead to breakup of spiral waves into a fibrillatory state in a two
13 dimensional tissue simulation without spatial inhomogeneities (Karma 1993). The role that
14 APD restitution slope plays in the generation of fibrillation through wave break was
15 subsequently verified by biological data (Riccio et al. 1999; Garfinkel et al. 2000). It was first
16 shown in arterially perfused canine ventricular endocardial preparations that drugs that
17 reduce the APD restitution slope (diacetyl monoxime (DAM) and verapamil) prevented the
18 induction of VF whilst the drug procainamide which does not reduce APD restitution slope
19 did not prevent VF induction (Riccio et al. 1999). More spectacularly, DAM and verapamil
20 converted VF into stable VT whereas procainamide did not lead to spatiotemporal
21 organisation of VF. Similar findings were shown with bretylium in perfused swine right
22 ventricular preparations, in which there was flattening of APD restitution curve, prevention
23 of wavebreak and thus fibrillation induction and also converted VF into a periodic rhythm
24 (Garfinkel et al. 2000). These studies provide strong experimental support for the
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1 “restitution hypothesis” and opened up lines of investigations both to explore the
2 importance of APD restitution in mechanisms underlying arrhythmogenic conditions and
3 also the possibility of manipulating APD restitution to reduce wavebreak and prevent or
4 treat fibrillation.
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10 Sympathetic nerve stimulation, APD restitution and VF

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14 In order to investigate the mechanisms underlying the autonomic modulation of VF, our
15 group developed an *in vitro* Langendorff perfused innervated rabbit heart preparation (Ng
16 et al. 2001b) which allows controlled stimulation of autonomic nerves without the
17 confounding influence of underlying tonic autonomic activity, haemodynamic reflexes,
18 circulating hormones or anaesthetics. Stimulating sympathetic inputs from within the spinal
19 cord, reduced the stimulus current required to induce VF i.e. the VF threshold (VFT),
20 indicating an increased susceptibility to VF. The slope of the electrical restitution curve was
21 increased (Ng et al. 2007) suggesting a causal link between APD restitution and VFT (Figure
22 3). The increase in APD restitution slope has also been shown in human patients using
23 adrenergic agonists isoprenaline or adrenaline (Taggart et al. 2003).
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41 Electrophysiological effects of sympathetic activation

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44 One strategy to address the pro-arrhythmic effect of sympathetic activation would be to
45 target the specific electrophysiological effects, which requires an understanding of the
46 underlying mechanisms. Sympathetic activation generally shortens ventricular
47 refractoriness and APD (Martins & Zipes 1980). This is believed to be mainly mediated via
48 outward (in particular K⁺) currents which are sensitive to adrenergic stimulation, e.g. slow
49 delayed rectifier K⁺ current, IKs (Volders et al. 2003). This mechanism may also be a
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1 contributory factor to the steepening of the APD restitution curve with sympathetic
2 activation, which implies an increase in outward current or reduction in inward current.
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4 However, other important ion channels are also affected by sympathetic activation,
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6 especially Ca²⁺ channels, which can significantly alter [Ca²⁺] levels and engage other
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8 arrhythmogenic mechanisms such as triggered activity with early / delayed
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10 afterdepolarisations (Pogwizd & Bers 2004). The precise contributions of these various
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12 mechanisms are not well understood.
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18 Laterality and heterogeneous innervation

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22 Whilst the heart requires many processes to be co-ordinated to work efficiently, there is a
23
24 degree of natural heterogeneity within the organ and its associated systems which when
25
26 altered could have implications in arrhythmogenesis. There is consensus that unilateral
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28 stimulation of sympathetic input to the heart can result in differential effects. Pioneering
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30 studies by Walter Randall's group (Ardell et al. 1988) demonstrate that right sided
31
32 sympathetic stimulation increases heart rate to a larger extent than the left, whilst the left
33
34 sided input preferentially affects the atrioventricular node and increases left ventricular
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36 force to a greater extent than the right. These 'laterality' differential effects are extended to
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38 ventricular electrophysiological effects where stimulation / ablation of either stellate ganglia
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40 has differing effects on refractoriness, ECG and VF induction (Haws & Burgess 1978;
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42 Yanowitz et al. 1966; Schwartz et al. 1976). Removing the right stellate ganglia or left sided
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44 sympathetic nerve stimulation (SNS) is associated with an increased susceptibility to VT with
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46 a greater occurrence of early and delayed afterdepolarisations, whilst right SNS has less of
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48 an effect (Priori et al. 1988). The detailed innervation pathway from different sides of the
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50 sympathetic chain and ganglia to the heart and the resultant cardiac electrophysiological
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1 effects from their activation have not been fully described. Differential intra-ventricular
2 effects also exist, with left SNS resulting in a greater reduction of APD at the base of the left
3 ventricle (LV) (Winter et al. 2012). This has important clinical implications as left thoracic
4 sympathetic denervation may serve as effective anti-arrhythmic therapy in post-infarct
5 patients (Schwartz et al. 1992), long QT Syndrome (Schwartz et al. 2004), catecholaminergic
6 polymorphic VT (Wilde et al. 2008) and VT storm (Bourke et al. 2010).
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16 The differences in the functional response to SNS can be due to heterogeneous sympathetic
17 innervation or ion channel distribution, with the resultant ventricular electrophysiological
18 effects. It is known that catecholamine levels are higher at base of the LV (Dahlström et al.
19 1965) whilst there is a significant base→apex distribution of sympathetic nerves in animal
20 and human hearts (Kawano et al. 2003; Ng et al. 2009). The greater basal APD shortening
21 during SNS may significantly alter the spatial properties of ventricular restitution and
22 increase heterogeneity of repolarisation, which is a well-recognised substrate for
23 arrhythmia initiation (as described above). During sinus rhythm it is known that SNS
24 reverses the direction of repolarisation (Mantravadi et al. 2007), from an apex→base
25 direction to a base→apex, owing to the differential response at the LV base. Figure 4
26 illustrates APD plotted against DI during SNS and compared to the same changes in heart
27 rate produced with pacing but without underlying nerve stimulation. There was greater APD
28 shortening seen with sympathetic nerve stimulation, being more prominent at the left
29 ventricular base where there was an increased distribution of sympathetic nerves and of the
30 slow delayed rectifier K⁺ channels (IKs). Transmurally, SNS can also promote triggered
31 activity (delayed afterdepolarisations) within the LV mid-wall (Zhang et al. 2004).
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1 The issue with heterogeneity is made more complex in pathological states whereby
2 following myocardial infarct, there is both denervation (Kammerling et al. 1987) and
3 regional hyperinnervation (Cao et al. 2000) seen, with sympathetic nerve sprouting (Zhou et
4 al. 2004) and increased variability in regional responses which has been linked with
5 increased arrhythmogenicity. In addition to myocardial remodelling that occurs in
6 pathological states following myocardial infarct or in heart failure, there is also evidence of
7 remodelling in the stellate ganglion neurons (Han et al. 2012; Ajjola et al. 2015) and
8 intrinsic cardiac neurons (Rajendran et al. 2016) which adds to the complexity encouraging
9 instability in the already arrhythmogenic environment.
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25 **4. The parasympathetic nervous system and ventricular arrhythmias**

26 Vagal post-ganglionic nerves primarily modulate cardiac activity through the release of
27 acetylcholine acting on post-synaptic muscarinic receptors (mAChRs) to slow heart rate
28 (bradycardia), delay atrioventricular conduction (dromotropy) and reduce atrial force
29 (inotropy) with little effect on ventricular performance. The lack of evidence to support a
30 direct negative ventricular inotropic effect reflects historical data which suggested against a
31 significant cholinergic innervation in the ventricle. This view is however outdated (Coote
32 2013) as modern techniques reveal a dense and intricate network of acetylcholine-
33 containing nerves running over the epi- and endo-cardial surfaces of left and right ventricles
34 and a widespread distribution of mAChRs throughout the ventricle (Brodde et al. 2001).
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36 More importantly, it is worth noting that the vagal-cholinergic pathway is capable of
37 inhibiting the strong inotropic response to adrenergic activation (Brack et al. 2010) and as
38 such has a clear functional role. Dense parasympathetic innervation is demonstrated in all
39 species studied including the mouse (Rysevaite et al. 2011), guinea pig (Batulevicius et al.
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1 2005), rabbit (Pauziene et al. 2016), cat (Johnson et al. 2004), dog (Pauza et al. 2002), pig
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3 (Ulphani et al. 2010), sheep (Saburkina et al. 2010) and human ventricle (Pauza et al. 2000;
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5 Kawano et al. 2003).
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7 8 Vagal modulation of ventricular arrhythmia susceptibility 9

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11 Classical and more recent studies have consistently shown that stimulation of the vagus
12
13 nerve protects the heart against VT / VF or reduces their incidence. These studies have been
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15 summarised in a previous review (Brack et al. 2013). The first report that vagus nerve
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17 stimulation (VNS) reduced the susceptibility of the ventricle to experimental arrhythmia was
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19 presented by Einbrodt in 1859 (Einbrodt 1859), who demonstrated that VF was harder to
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21 induce in the dog during stimulation of the vagus. The anti-arrhythmic action of VNS was
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23 later reproduced by Scherlag's group (Scherlag et al. 1970) who showed that VNS
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25 interrupted the spontaneous occurrence of VT during coronary artery occlusion . Other
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27 studies in the 1970's (Goldstein et al. 1973; Myers et al. 1974; Kent et al. 1973) confirmed
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29 the anti-arrhythmic action of VNS in the ventricle during ischaemia. Several studies provided
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31 evidence that VNS is also protective against experimental induced VF - with the
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33 demonstration of a VNS dependent increase in the current required to induce VF, i.e. the
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35 ventricular fibrillation threshold (VFT), in the anaesthetised dog (Kolman et al. 1975; Yoon et
36
37 al. 1977). However, it was shown that the VNS-induced increase in VFT was not maintained
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39 in the presence of the non-selective β -adrenergic antagonist propranolol (Yoon et al. 1977).
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41 Moreover, the anti-arrhythmic effect with VNS was only seen with concomitant sympathetic
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43 nerve stimulation and was lost when the left stellate ganglion was crushed (Kolman et al.
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45 1975), supporting significant sympathetic-parasympathetic interactions in the anti-
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47 arrhythmic action of VNS, which are discussed in later.
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VNS, ventricular arrhythmias and myocardial ischaemia

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Coronary artery occlusion with concurrent myocardial ischaemia is well-recognised as a potent trigger of arrhythmia and major cause of fatality in myocardial infarction. There is evidence from *in vivo* studies under anaesthesia in experimentally induced VF, that VNS is protective under these conditions (Scherlag et al. 1970; Goldstein et al. 1973). Conversely, bilateral vagotomy or atropine perfusion increases arrhythmic mortality in anaesthetised cats during (Corr & Gillis 1974) and following coronary artery occlusion (Zuanetti et al. 1987) suggesting that intrinsic vagal activity was protective. In contrast, there was some conflicting data reporting no effect of vagal stimulation on VFT in the ischemic dog heart despite a pronounced response in non-ischaemic controls (Yoon et al. 1977).

To overcome the confounding influence of anaesthetics in experimental animal studies, Peter Schwartz and his group developed an elegant model of SCD in conscious dogs which had been used extensively to study the protective effect of the vagus in conscious animals (Schwartz et al. 1984). In this model, a myocardial infarct is produced via a left anterior descending coronary artery ligation and animals are instrumented with a balloon (to occlude the left circumflex artery at a later date). Animals are allowed to recover for 1 month and surviving animals then have a treadmill exercise test with increasing workload. During the last stage of exercise, the left circumflex coronary artery is occluded for a period of 2 minutes. This combination of adrenergic activation and myocardial ischaemia results in a reproducible and quantifiable measure of SCD, with VF occurring in 50-60% of all post-MI dogs (Schwartz et al. 1988). In the majority of dogs that survived, there was a reduction in heart rate, suggesting that active vagal reflexes are protective and was later confirmed when the group (De Ferrari et al. 1991) directly measured baroreflex sensitivity and

1 demonstrated that protection against ischaemia-induced VF was significantly associated
2 with indirect measures of preserved vagal reflexes. The authors also found that muscarinic
3 blockade with atropine promoted premature ventricular contractions, VT and VF in animals
4 with no arrhythmia during control. The group also studied the effects of direct VNS on the
5 occurrence of SCD in dogs that survived MI (Vanoli et al. 1991). Right VNS, applied before
6 and throughout coronary artery occlusion, reduced the occurrence of VF from 92% in
7 control to 10% during VNS. These data provide strong supportive evidence of the important
8 anti-arrhythmic protection of the vagus nerve on the ventricle.
9

10 Direct anti-VF protection – studies in the isolated innervated rabbit heart

11 Whilst VNS has been shown to protect the heart against ventricular arrhythmias, the *in vivo*
12 studies and the focus on ischaemia induced arrhythmia made it difficult to elucidate if these
13 were due to direct effects of VNS as it is unclear if there was adequate β -blockade and as
14 such these data may reflect *indirect* effects. Using the innervated heart preparation (Ng et
15 al. 2001b), our group studied the effects of VNS on electrical restitution and VFT (Ng 2014).
16 VNS caused a flattening of the electrical restitution slope whilst simultaneously increasing
17 VFT (Ng et al. 2007) with prolongation of monophasic APD and effective refractory period
18 (Figure 3). VFT was inversely correlated with the slope of the APD restitution curve, in
19 keeping with the restitution hypothesis and the effects occurred in the absence of
20 background sympathetic tone strongly supporting a direct anti-arrhythmic action.
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22 Mechanisms underlying the anti-arrhythmic effect of vagus nerve stimulation

23 Over the years, the vagus nerve has been found to do a lot more than just slowing down the
24 sinus rate and its stimulation has far-reaching effects in many processes related to cardiac
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1 function (Olshansky et al. 2008) some of which may play an important role in protecting the
2 heart against ventricular arrhythmias (Brack et al. 2013) (Figure 5).
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5 **I. Accentuated antagonism**

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8 In the intact organism, both divisions of the autonomic system are tonically active. At rest,
9 vagal tone predominates, whilst sympathetic tone predominates during the day. In disease
10 situations such as myocardial ischaemia and heart failure, activity of both systems is
11 disturbed (see earlier). This is important because sympathetic and parasympathetic nerves
12 interact with one another. It was recognised in the 1930's that vagus nerve stimulation
13 (VNS) attenuated the chronotropic enhancement associated with sympathetic stimulation
14 (SNS), with the actual change in heart rate with concomitant VNS and SNS being different
15 from the algebraic sum of the heart rate changes during individual stimulation of each
16 branch (Samaan 1935). This interaction was later coined 'accentuated antagonism' by
17 Matthew Levy (Levy & Zieske 1969) who showed that the vagus exerts a powerful
18 antagonistic effect on the chronotropic actions of SNS, data which were confirmed by
19 others, including our group on the innervated rabbit heart preparation (Brack et al. 2004). A
20 similar antagonistic action has been reported for the control of ventricular performance and
21 intracellular calcium handling (Levy et al. 1966; Brack et al. 2010). With regard to
22 arrhythmogenesis, it was found in anaesthetised dogs during ischaemia that VNS protection
23 was only evident during simultaneous SNS (Kolman et al. 1975), as mentioned above.
24 Similarly, the anti-arrhythmic effect of the cholinergic agonist methylcholine was
25 augmented during norepinephrine perfusion (Rabinowitz et al. 1976). Interestingly, the
26 protective effect of VNS was reported to be abolished during coronary artery occlusion and
27 propranolol administration (Yoon et al. 1977), although this is at odds with other studies.
28 The abolition of VNS mediated protection during ischaemia may however imply that
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1 sympathetic activity could reduce the effect of the vagus because reflex sympathetic activity
2 is increased with ischaemia. At the cellular level, interaction between sympathetic and
3 vagal nerves can occur via pre- and / or post-junctional mechanisms. As an example, for
4 effects of vagal activity on sympathetic stimulation, acetylcholine binds to muscarinic
5 acetylcholine receptors (mAChRs), primarily the m3 subtype present on the pre-synaptic
6 sympathetic nerve terminals to inhibit noradrenaline release and principally the m2 subtype
7 on cardiac myocytes to modulate intracellular cAMP at a number of stages (Muscholl 1980).
8 Conversely, the downstream effects of vagal stimulation via acetylcholine release can be
9 modulated post-synaptically by sympathetic activity through noradrenaline and co-released
10 neuropeptide Y (Potter & Ulman 1994; Herring et al. 2008).
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26 **II. Heart rate reduction and ventricular refractoriness and APD dispersion**

27 An obvious aspect to consider regarding the antiarrhythmic protection during VNS is the
28 resultant bradycardia. Reducing heart rate using the I_f blocker ivabradine increases VFT
29 (Vaillant et al. 2008) suggesting that the effect of VNS may be due to heart rate. This should
30 however be regarded with caution as there is recent evidence that ivabradine can have
31 effects on ventricular repolarisation (Melgari et al. 2015) and may have other
32 'antiarrhythmic' effects (Koncz et al. 2011) as opposed to a pure effect on heart rate alone.
33
34 Nonetheless, the protective effect of VNS during coronary artery occlusion in the post-
35 infarct canine exercise experiments was either reduced (Goldstein et al. 1973) or abolished
36 (Schwartz et al. 1984) when heart rate was controlled. VNS prevented VF in 55% of animals
37 that were rate controlled vs. 92% of control dogs in sinus rhythm, suggesting that
38 bradycardia 'is important but not essential'. We have shown that the anti-VF effect of VNS is
39 preserved during constant pacing in the isolated innervated rabbit heart (Ng et al. 2007). In
40 addition, we have shown that despite differential heart rate effects of left and right VNS, the
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1 anti-fibrillatory effect is equipotent (Ng et al. 2001a). The mechanisms by which a reduction
2 in heart rate could protect from ventricular arrhythmias is not understood, but may include
3 rate-dependent alterations in ventricular APD, refractoriness and dispersion of both factors.
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5 It is recognised that VNS prolongs ventricular APD and effective refractory period (Martins &
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7 Zipes 1980; Ng et al. 2007; Mantravadi et al. 2007) which are inversely correlated to heart
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9 rate, and that slower heart rates decreases the dispersion during ischaemia (Kent et al.
10
11 1973). Spatial repolarisation heterogeneity provides a substrate for the generation of
12
13 ventricular arrhythmias and dispersion of APD is a known mechanism underlying circus
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15 movement re-entry around refractory regions (Kuo et al. 1985). Although previous
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17 investigators have noted that the effects of VNS on electrophysiology are uniformly
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19 distributed (Martins & Zipes 1980), with no effects of APD dispersion, it is known that the
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21 SNS promotes a greater degree of APD shortening in basal regions of the ventricle (Ng et al.
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23 2009), data which is supported by histological studies of sympathetic nerve distribution
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25 (Kawano et al. 2003). When there is background sympathetic tone, VNS may prevent
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27 arrhythmias by antagonising sympathetic mediated changes in spatial repolarisation (see
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29 accentuated antagonism). This idea may be particularly relevant in disease states such as
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31 heart failure where there is an increased activation and influence of the sympathetic
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33 nervous system.
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47 **III. Nitric Oxide (NO) and APD restitution**

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49 Muscarinic acetylcholine receptors (mAChRs) are activated by acetylcholine and there are
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51 five subtypes (m1-5) with m2 being the dominant subtype in the heart. A reduction of
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53 arrhythmia protection using the mAChR antagonist atropine in earlier studies suggests that
54
55 mAChR activation is important in the modulation of antiarrhythmic property of vagus nerve
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57 stimulation. In autonomically-intact dogs, spontaneous ischaemia-induced VF increased *in*
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1 vivo following i.v. atropine (Goldstein et al. 1973), with similar conclusions drawn from
2 control experiments in the dog model of SCD (Schwartz et al. 1984; Vanoli et al. 1991). This
3
4 is supported by data during direct VNS where atropine abolished protection (Yoon et al.
5
6 1977). The mAChR agonist choline is cardioprotective against ischaemia induced
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8 arrhythmias, an effect that is blocked by the m3-AChR antagonist 4-DAMP (Wang et al.
9
10 2012) supporting the importance of muscarinic activation in the tentative protective effect
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12 of parasympathetic activation against ventricular arrhythmias. However, this is at odds with
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14 our findings in the innervated heart preparation, where the protection of VNS against VF
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16 was preserved in the presence of atropine despite the effects of bradycardia and
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18 prolongation of effective refractory period being blocked (Brack et al. 2011).
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26 NO is simple free radical gas generated by a family of enzymes known as NO synthases
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28 (NOSs) of which there are 3 isoforms – the constitutive calcium-dependent nNOS (NOS1)
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30 and eNOS (NOS3) and the calcium-independent, cytokine-inducible iNOS (NOS2). nNOS is
31
32 mainly found in neuronal tissues while eNOS is present in vascular endothelium, platelets,
33
34 myocardium and endocardium. iNOS is expressed in macrophages and other tissues with
35
36 immune stimulation. The important role of NO in the cardiovascular system was first
37
38 demonstrated in the mediation of acetylcholine-induced vasodilatation (Furchgott &
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40 Zawadzki 1980; Ignarro et al. 1987; Palmer et al. 1987) but it is now known that NO
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42 mediates many aspects of cardiac function at the organ level (Kelly et al. 1996). NO
43
44 production is also intimately involved in the neural control of the cardiovascular system
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46 (Zaninger 1999) in both central and peripheral aspects of vagal control. There are some
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48 parallels to the control in other bodily systems such as the gastrointestinal tract (Grundy &
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50 Schemann 2007). In the heart NO has been shown to modulate the actions of the
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1 parasympathetic nervous system, regulating the change in heart rate in response to
2 parasympathetic stimulation (Conlon & Kidd 1999) with data supporting a pre-synaptic
3 action to reduce the bradycardic response to VNS (Herring et al. 2000). It was also noted
4 that NO modulates changes in A-V conduction in response to VNS in a similar manner
5 (Conlon & Kidd 1999) proposing that NO may have a role in the ventricular effects of VNS.
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7 We directly studied this using the innervated rabbit heart preparation .
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12 Our group has been investigating the role of NO in mediating the vagal protection against VF
13 initiation in the isolated innervated heart preparation especially in relation to the effects on
14 APD restitution as electrophysiological mechanism (Ng 2014). We first established in the
15 preparation that VNS caused a flattening of the APD restitution slope, increased effective
16 refractory period and increased VFT (Ng et al. 2007) (Figure 3). In the presence of the non-
17 specific nitric oxide synthase (NOS) inhibitor NG-nitro-L-arginine (L-NA), the increase in VFT
18 and flattening of the APD restitution slope with VNS were abolished, whilst the increase in
19 effective refractory period was preserved (Brack et al. 2007) (Figure 6). The response to VNS
20 was restored by supplementation with L-arginine, the NO substrate which competes with
21 LNA for binding to NOS. These data tentatively show that APD restitution is important as a
22 mechanism underlying the protection from VF provided by VNS and that NO plays a key role
23 in this protective effect. The protective effect of vagally-released NO is supported by data
24 using NO donors in other studies. Nitro-glycerine epicardially applied during coronary artery
25 occlusion (Kumar et al. 2000) or sodium nitroprusside perfusion (Kalla et al. 2016) during
26 experimentally induced VF in nonischaemic hearts mimics the effect of VNS. However, the
27 experiments provided only indirect evidence. Through use of the NO-sensitive dye DAF-2
28 DA, our group developed a novel fluorescence technique (Patel et al. 2008) for measuring
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1 NO in the whole heart and were able to provide, for the first time, direct evidence of NO
2 release in the ventricle resulting from VNS (Brack et al. 2009) (Figure 7). NO-dependent
3 fluorescence increased during both left and right cervical VNS with the intensity of
4 stimulation. Perfusion of L-NA significantly decreased background fluorescence, indicative of
5 a reduction in basal NO production (presumably from the endothelium), and abolished the
6 increase in fluorescence seen with VNS. TRIM, a specific antagonist of the neuronal form of
7 NOS (nNOS or NOS I), had no effect on background fluorescence but abolished the increase
8 in fluorescence with VNS, supporting the notion that NO production during VNS occurs
9 through nNOS activation (Brack et al. 2009). Following this, we have extended these findings
10 to show that these effects of the vagus do not occur through muscarinic activation, because
11 perfusion with atropine at a concentration sufficient to block changes in heart rate did not
12 influence the vagal effect on DAF-2 fluorescence, the reduction of the slope of the electrical
13 restitution curve or the increase in VFT (Brack et al. 2011). In addition, this study indicates
14 that the protective effects do not involve the endothelium (a substantial source of NO in the
15 heart), because effects are preserved after functional endothelial denudation or during
16 inhibition of vasoactive intestinal peptide, which is a neuroactive peptide released together
17 with acetylcholine (Henning et al. 2001). It has previously been suggested that vagally
18 released acetylcholine and NO can act through parallel independent signalling pathways
19 (Jumrussirikul et al. 1998). Given our evidence that NO production during VNS occurs
20 through nNOS independent of the endothelium, vasoactive intestinal peptide or
21 acetylcholine, it would support the existence of a separate network of parasympathetic
22 nitrergic antifibrillatory neurons within the ventricle (Jones 2009). It is known that nNOS is
23 present in many parasympathetic neurons innervating the heart, and there is also evidence
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1 of a subpopulation of intracardiac nerve fibres that solely contain NO, coursing towards the
2 ventricle in humans (Hoover et al. 2008), providing supportive evidence for our hypothesis.
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5 **IV. Anti-inflammatory action**

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8 Over the past 15 years, it has become increasingly evident that the inflammatory response is
9 under control by the autonomic nervous system in a process termed 'the inflammatory
10 reflex' (Tracey 2002). Upon activation, locally released inflammatory cytokines such as
11 tumor necrosis factor (TNF) activate sensory nerves relaying information to central
12 processes. After processing, efferent activity is altered to regulate the injury response.
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14 Vagotomy, not only augments the cytokine response to injury but heart rate variability is
15 also inversely correlated to cytokine levels (Sloan et al. 2007). Lower levels of cytokines are
16 generally thought to be beneficial to restrict the inflammatory response following
17 myocardial ischaemia, heart failure and myocarditis - where arrhythmias contribute
18 significantly to mortality. How the inflammatory reflex regulates arrhythmia is not
19 understood but may involve both direct anti-arrhythmic actions and indirect effects which
20 ameliorate disease related structural and biochemical processes. VNS improves survival
21 following coronary artery occlusion by reducing infarct size (Katare et al. 2009; Calvillo et al.
22 2011) with beneficial increases in myocardial ATP, reduced levels of apoptosis and cell
23 swelling via inhibition of the mitochondrial permeability transition pore (Katare et al. 2010).
24 This is blocked by atropine with subsequent up-regulation of TNF- α and activation of the cell
25 survival TNF receptor 2, nuclear factor kappa-B and pAkt pathways. In macrophages, TNF- α
26 can promote connexin-43 expression and gap junction formation (Eugenin et al. 2003). This
27 could correct ischaemia induced conduction abnormalities and is increased during VNS
28 which was more importantly linked to the protection of ischaemia induced VT (Ando et al.
29 2005). The significance of inflammation in chronic heart failure is somewhat unclear,
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1 although serum and cardiac levels of inflammatory cytokines are increased. Their levels are
2 significantly reduced during VNS (reviewed in (Li & Olshansky 2011)). The reduction of
3 cytokines is suggested to occur though acetylcholine acting on nicotinic acetylcholine
4 receptors (nAChRs), primarily the α -7 subtype, on macrophages (Wang et al. 2003).
5 However, α -7 nAChRs are also found on intracardiac nerves and cardiac myocytes
6 (Dvorakova et al. 2005) raising the possibility that extra-macrophage α -7 nAChRs may be
7 involved in reducing inflammation in addition to mediating the functional effects of
8 autonomic nerves (Deck et al. 2005). Genetic up-regulation of cardiac TNF- α is used in
9 experimental heart failure models, highlighting its pathological significance. These animals
10 show increased occurrence of arrhythmias (London et al. 2003). Hearts from these animals
11 had prolonged APD and smaller potassium currents (Petkova-Kirova et al. 2006) and
12 dysregulated intracellular calcium homeostasis leading to altered contractile function,
13 mirroring those seen in clinical heart failure. In addition, cytokines indirectly increase
14 arrhythmias by promoting the formation of non-conductive scar and fibrotic tissue (Klein et
15 al. 2000).
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40 **V. Indirect antiarrhythmic and non-arrhythmic beneficial effects of VNS**

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42 There has been significant research effort spent in preclinical and clinical arenas exploring
43 the utility of VNS in the chronic setting in heart failure to improve cardiac and
44 haemodynamic function. Any potential changes in cardiac or overall function may also have
45 important impact on ventricular arrhythmogenesis. The effects of chronic vagal stimulation
46 on arrhythmia occurrence were investigated in conscious rats with MI-induced heart failure
47 (Zheng et al. 2005) where right cervical VNS conducted 3-5 months post-MI for a period of 7
48 days prevented the occurrence of premature ventricular and supraventricular contractions.
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50 The effects of chronic VNS were studied in dogs with microembolism induced heart failure
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1 (Sabbah et al. 2011) in which VNS was applied using a negative-feedback loop to maintain
2 heart rate at a level 10% below baseline for a 3-month period. While the main focus of this
3 study was on haemodynamics and ventricular remodelling, the effect of VNS on gap junction
4 protein expression was documented. Heart failure causes translocation of the gap junction
5 protein connexin away from the intercalated discs which regulate cell-to-cell excitability
6 allowing the passage of small ions between adjacent cardiomyocytes. Disruptions to this
7 cell-to-cell coupling play an important role in arrhythmogenesis and altered conduction
8 through the myocardium. Reduced expression of connexin-43, the primary form expressed
9 in the ventricular myocardium, slows ventricular conduction and increases the dispersion of
10 transmural APD which is linked to the increased incidence of tachyarrhythmias and SCD (Ai
11 & Pogwizd 2004; Wang & Gerdes 1999). VNS therapy reverses the reduction in connexin-43
12 mRNA and protein expression associated with heart failure (Sabbah et al. 2011) providing
13 indirect evidence that VNS may have additional anti-arrhythmogenic properties in the failing
14 heart via these mechanisms.
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37 The effects of VNS can be mimicked by the use of pharmacological agents. Pyridostigmine, a
38 cholinesterase inhibitor decreases the rate of acetylcholine degradation at the post-
39 ganglionic synapse and prevents the occurrence of single ventricular ectopic beats in
40 patients with New York Heart Association class I to III heart failure symptoms and left
41 ventricular ejection fraction less than 45%, although no effect was noted on paired ectopic
42 beats or on the occurrence of periods of ventricular tachycardia (Behling et al. 2003). The
43 suppression of cardiac arrhythmias in congestive heart failure by clonidine (Zhang et al.
44 1998), a centrally acting α_2 adrenoceptor agonist which acts to suppress sympathetic
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1 nervous activity, demonstrates how VNS could be used to antagonise the pro-arrhythmic
2 influence of the sympathetic nervous system.
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6 Despite limited data on the effects of VNS on arrhythmia susceptibility there is good
7 evidence that VNS improves autonomic balance in heart failure in animals studies (Li et al.
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9 2003; Hamann et al. 2013; Zhang et al. 2009; Zhang et al. 1998) reducing sympathetic
10 hyperactivity and increasing vagal tone, highlighting its potential as an anti-arrhythmic
11 therapy. In addition to its anti-arrhythmic properties, chronic VNS has been shown to
12 reverse the contractile dysfunction and adverse remodelling associated with experimental
13 heart failure and appears to be beneficial even when applied at sub-threshold intensities
14 insufficient to cause changes in HR (De Ferrari & Schwartz 2011). These promising preclinical
15 results have spurred on the enthusiastic development of clinical tools to apply VNS in
16 patients with heart failure. This was facilitated by the implantable vagus nerve stimulator
17 technology either already available for the treatment of intractable epilepsy (Binnie 2000)
18 or the development of dedicated vagus nerve electrode and stimulator directed towards the
19 heart (Anholt et al. 2011). A pilot study in 32 New York Heart Association Class II-IV patients
20 showed promise with VNS via an implanted stimulator shown to be safe and feasible,
21 reproducing much of what the animal studies showed i.e. significant improvements in New
22 York Heart Association Class, quality of life, 6-minute walk test, left ventricular ejection
23 fraction and LV systolic volumes which were maintained at 1 year (De Ferrari et al. 2011).
24 This was followed by several larger clinical trials including NECTAR-HF (Zannad et al. 2015)
25 and INOVATE-HF (Gold et al. 2016) which produced, unfortunately, disappointing results.
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27 The NECTAR-HF trial (with 96 patients implanted with VNS stimulators) failed to reproduce
28 the functional improvement shown in the previous pilot study, albeit with different
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1 technology, whilst there was some symptom improvement. The INOVATE-HF trial (with 436
2 patients implanted with VNS stimulators) designed as an outcome trial failed to show
3 benefit of VNS in the hard endpoints of death and heart failure events whilst there again
4 appeared to be some subjective symptomatic improvement. A smaller ANTHEM-HF trial
5 (Premchand et al. 2014) (with 60 patients implanted with VNS stimulators) showed some
6 positive results similar to the initial pilot study. These clinical results have significantly
7 reduced the momentum, which was built in the clinical translation of VNS in patients with
8 heart failure and hence would not help in addressing the question whether VNS has a
9 favourable effect on ventricular arrhythmia events. This would require an alternative clinical
10 approach or different design of clinical studies.
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27 **5. Conclusions**

28 Sudden cardiac death as a result of malignant ventricular arrhythmias remains a major
29 unsolved clinical problem. Abnormal autonomic activity is the hallmark in cardiac diseases
30 and there is strong evidence of an important association between the autonomic nervous
31 system and the occurrence of ventricular arrhythmias although the precise mechanisms
32 remain to be clarified. The significant role that autonomic modulation plays in the initiation
33 and maintenance of ventricular arrhythmias and the possibility that nerve stimulation (as
34 opposed to pharmacological analogue manipulation) could be exploited as a novel
35 therapeutic modality has only received recent attention. Much more needs to be known in
36 relation to the cellular mechanisms and downstream pathways. The autonomic nervous
37 system may hold the key to the prevention and successful treatment of these important
38 arrhythmias.
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Figure Legends

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3 Figure 1. Diagrammatic representation of APD restitution with A. action potential illustrating
4 the timings of diastolic interval (DI) and APD at slow heart rate (long DI). B. With a faster
5 heart rate with shorter DI, APD shortens and during restitution a small change in DI will lead
6 to bigger changes in APD. A slowing of heart rate with the last DI being longer precedes a
7 longer APD at the last beat. C. The relationship between APD and DI can be plotted which
8 usually assumes a mono-exponential curve. The slope during APD restitution can be
9 measured at the tangent or 1st derivative of the curve. The points corresponding to the
10 situations in A. and B. are marked with the purple and blue symbols respectively.
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24 Figure 2. A. Illustration of APD / DI relationship in the situation where the APD restitution
25 slope is less than 1. The top trace starts with 2 steady state beats, followed by a premature
26 beat (with shorter DI) and subsequent rhythm at the same heart rate which means the sum
27 of APD and DI is constant and follows the straight line described by the equation $CL = APD +$
28 DI. The premature beat with shorter DI gives rise to a shorter APD followed by a longer DI,
29 as the APD restitution slope is shallow and less than 1, the ensuing APD will be prolonged
30 but to a limited extent, followed by a less shortened DI (compared to the previous beat) and
31 so on where a steady state of stable APD and DI will be arrived. A. Illustration of APD / DI
32 relationship in the situation where the APD restitution slope is greater than 1. As opposed to
33 A, the premature beat gives rise to a short DI and short APD, which following a same heart
34 rate will give rise to a longer DI, longer APD and then a much shorter DI (compared to the
35 previous beat). A situation of alternating long and short APD will arise and the last stimulus
36 lands on a long APD which blocked activation and can set of re-entry or wavebreak.
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1 Figure 3. Autonomic modulation of restitution, effective refractory period (ERP) and
2 ventricular fibrillation threshold (VFT)
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6 A. Standard restitution curves at baseline and during sympathetic (SS) and vagus nerve
7 stimulation (VS) with maximum slopes indicated by dashed lines. B. First derivative of the
8 fitted curves in A for maximum slope calculation. C. Effect of SS and VS on maximum slope
9 of standard restitution, effective refractory period (ERP) and ventricular fibrillation
10 threshold (VFT). D. Percentage change of data in C. Reproduced with permission from (Ng et
11 al. 2007).
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22 Figure 4. Regional variation of the effects of sympathetic nerve stimulation, expression of
23 adrenergic nerves and ion channels Upper: Heterogeneity of restitution loops over the left
24 ventricle. Restitution loops were measured during sympathetic nerve stimulation (SNS, open
25 circles) and show shorter minimum action potential duration (APD) compared with pacing
26 alone (pace, closed circles) at the Apex (A) and base (B). Lower left: Western blot comparing
27 apex–base differences in KvLQT1 and tyrosine hydroxylase. Top: β -Actin controls. Middle:
28 Tyrosine hydroxylase (TH a surrogate for sympathetic nerves is greater at the base. Bottom:
29 KCNQ1 (KvLQT1), the pore forming main protein subunit responsible for IKS expressed
30 higher at the base. Lower right: Density histograms comparing KCNQ1 and TH levels.
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46 Modified and reproduced with permission from (Ng et al. 2009).
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49 Figure 5. The multifaceted anti-arrhythmic influence of vagus nerve stimulation. An
50 illustration of the numerous anti-fibrillatory actions of the vagus nerve. (LEGEND: VNS=
51 vagus nerve stimulation, APD= action potential duration, red=indirect effects, black=direct
52 effects, \leftrightarrow =interrelated factors)
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1 Figure 6. Nitric oxide mediates the anti-arrhythmic effect of vagus nerve stimulation (VNS)

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3 A&B. The effect of vagus nerve stimulation on electrical restitution (A) and the restitution
4 slope (B) and how this effect is abolished by perfusion with NG-nitro-L-arginine (L-NA) and
5 restored by L-arginine (L-ARG). C-E) The effect of L-NA and L-NA & L-Arg on VNS induced
6 changes in restitution slope, effective refractory period (ERP) and ventricular fibrillation
7 threshold (VFT) respectively. Reproduced with permission from (Brack et al. 2007).
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17 Figure 7. Frequency dependent changes in NO fluorescence during vagal stimulation (VS)

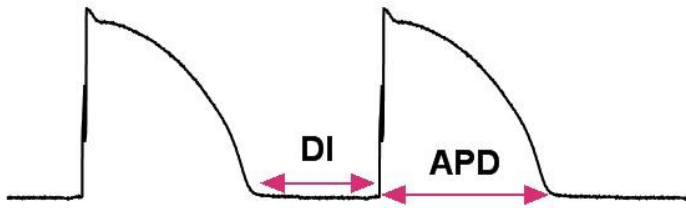
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20 A. Raw data illustrating continuous left ventricular pressure (LVP), aortic perfusion pressure
21 (AP) and DAF-2 fluorescence at the excitation wavelength of 490 nm (F490) at varying
22 intensities of VS. B. mean data showing the effect of varying intensities of VS on F490 and
23 the change F490 (C). Reproduced with permission from (Brack et al. 2009).
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32 Figure 8. Preservation of VNS-dependent changes in electrical restitution and NO
33 fluorescence in the presence of atropine. Effect of atropine on the VNS-dependent changes
34 in electrical restitution and maximal restitution slope. (A) Action potential duration
35 restitution slopes at baseline, during vagus nerve stimulation (VNS) and VNS during
36 perfusion with 0.1 mM atropine. (B) Mean data representing the maximal restitution slope
37 at baseline and during vagus nerve stimulation (VNS) during control, perfusion of 0.1 mM
38 atropine and washout. (C) Raw data illustrating left ventricular pressure (LVP), aortic
39 perfusion pressure (AP), and DAF-2 fluorescence at the excitation wavelength of 490 nm
40 (F490) with VNS during control and perfusion of 0.1 mM atropine. (B) Mean F490 levels at
41 baseline and during VNS during control, perfusion of 0.1 mM atropine and washout.
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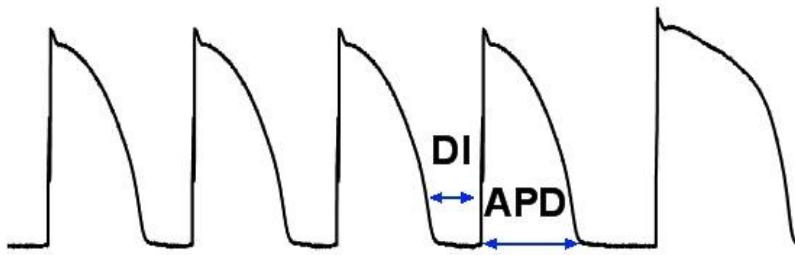
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Figure 1.

A



B



C

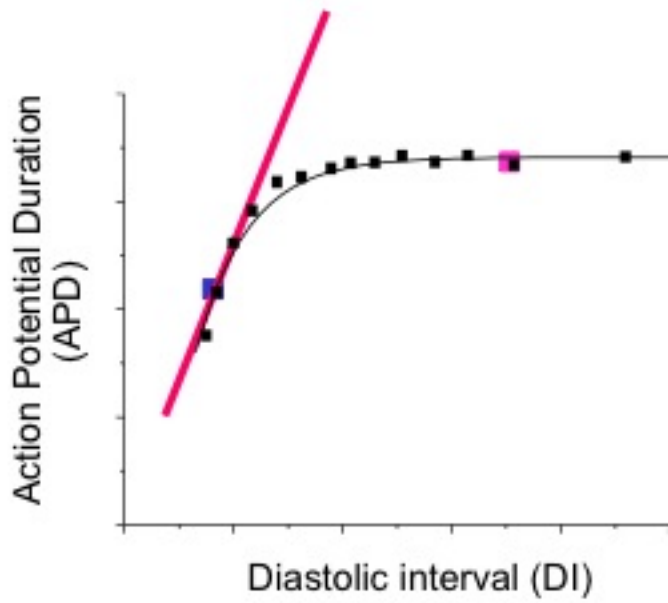
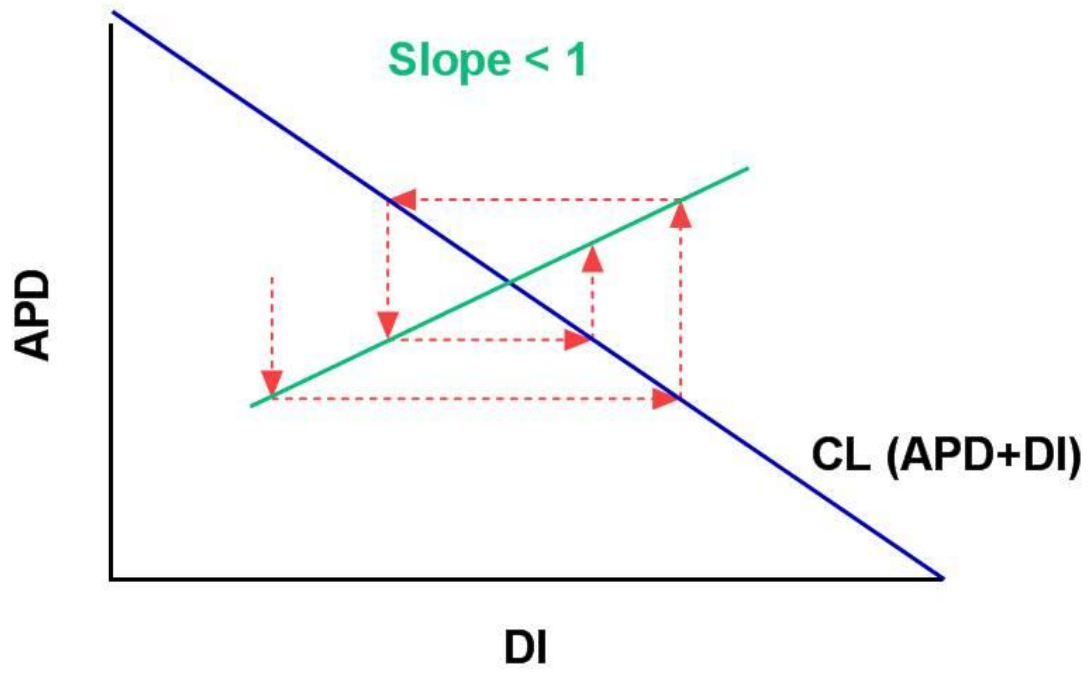
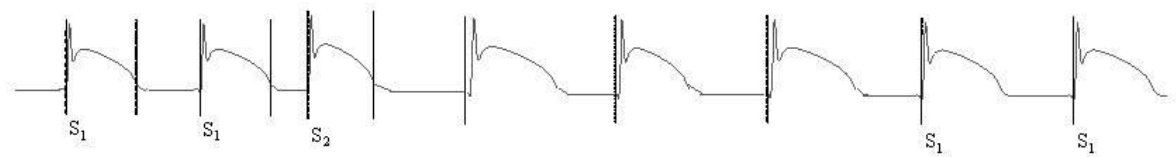


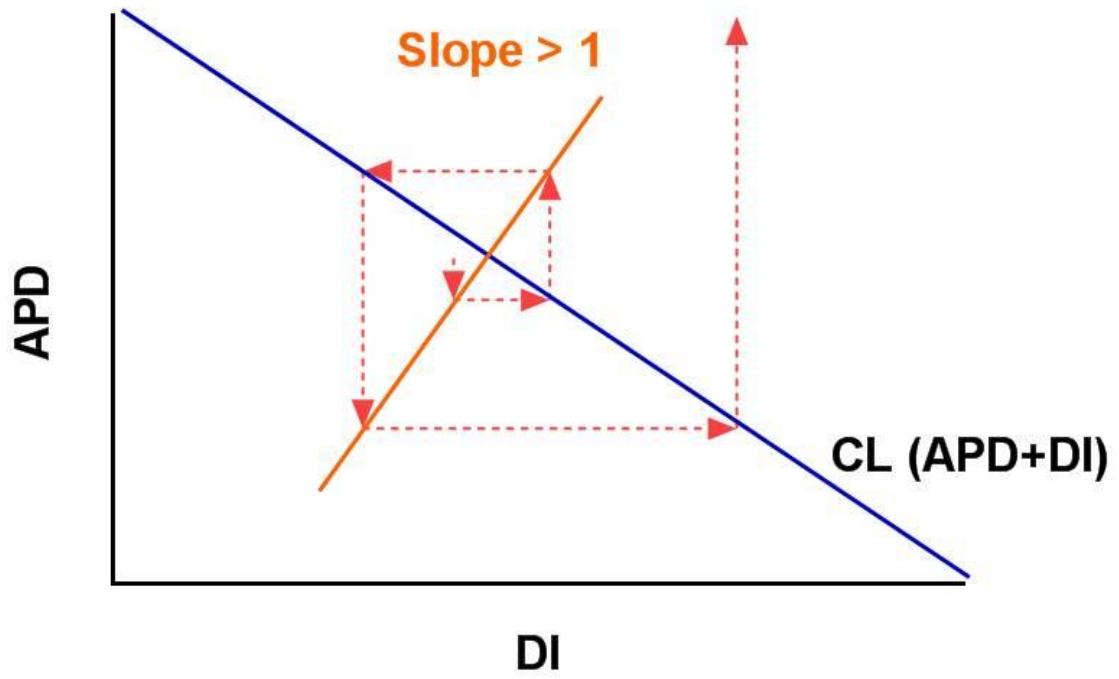
Figure 2.

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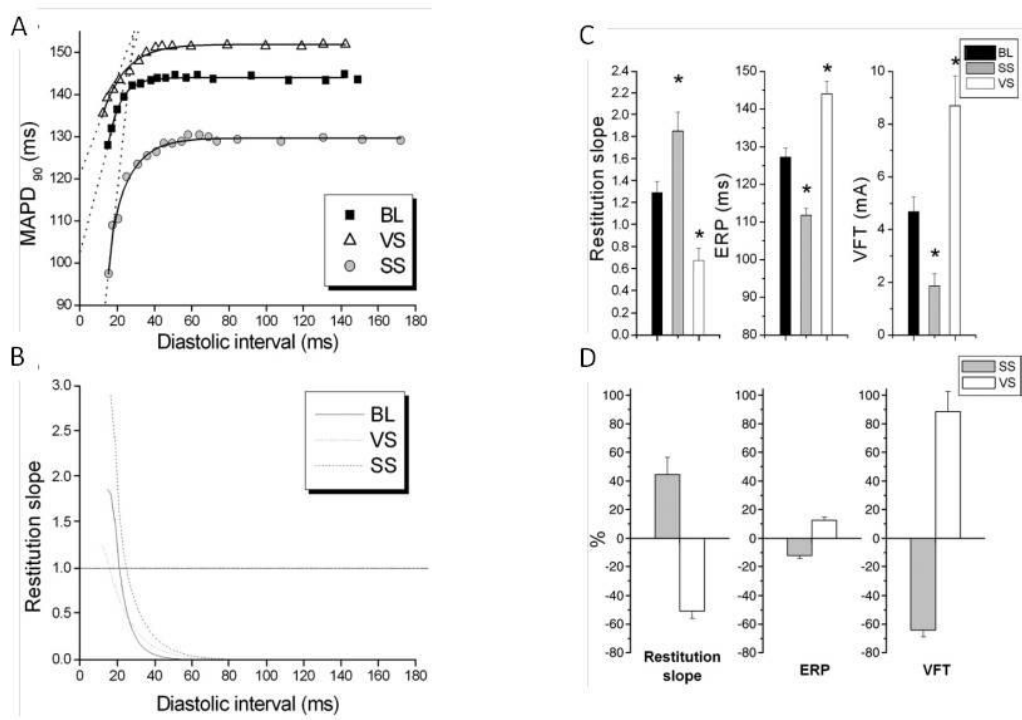
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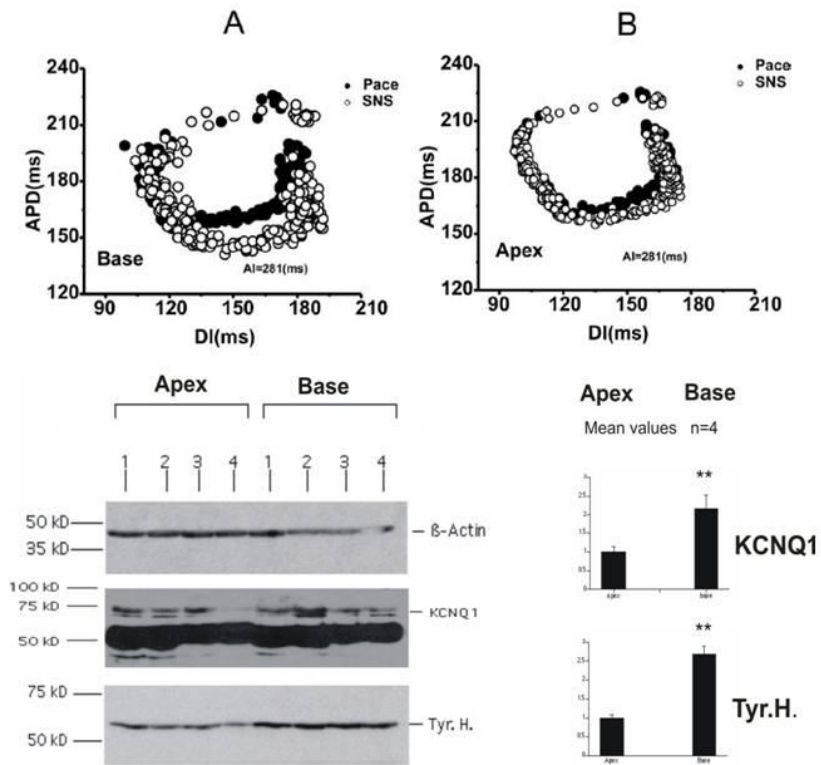
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Figure 3.



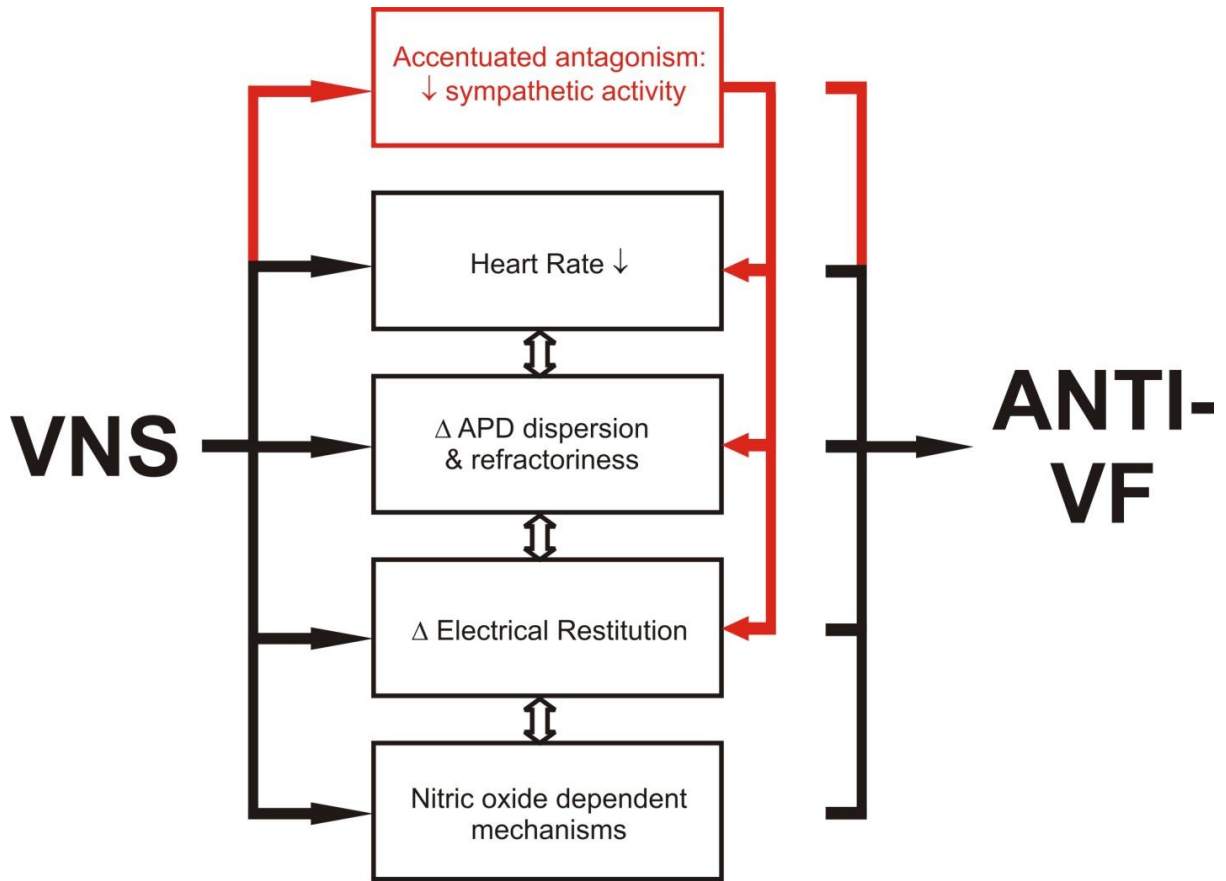
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Figure 4.



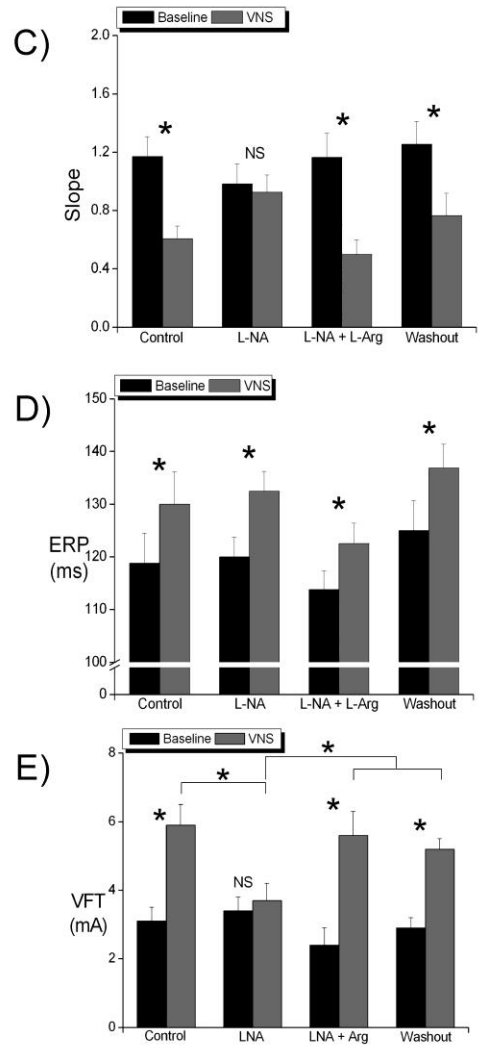
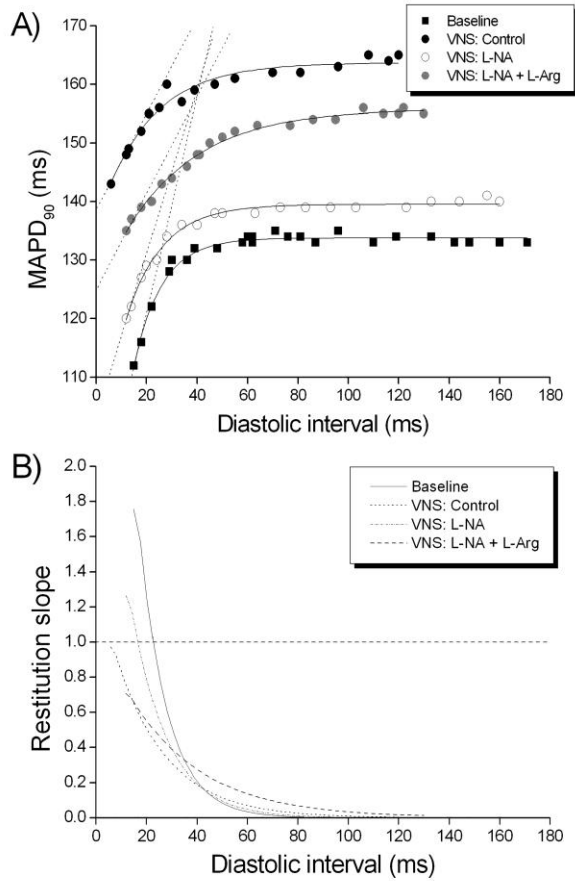
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Figure 5.



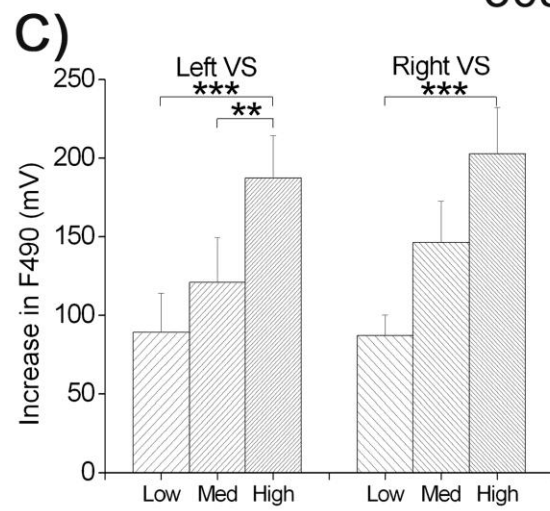
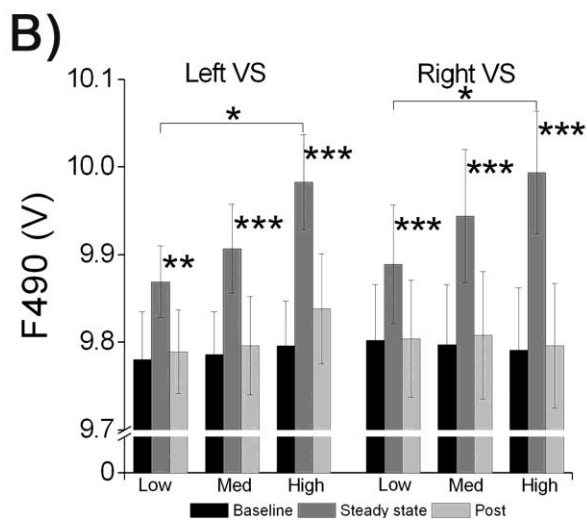
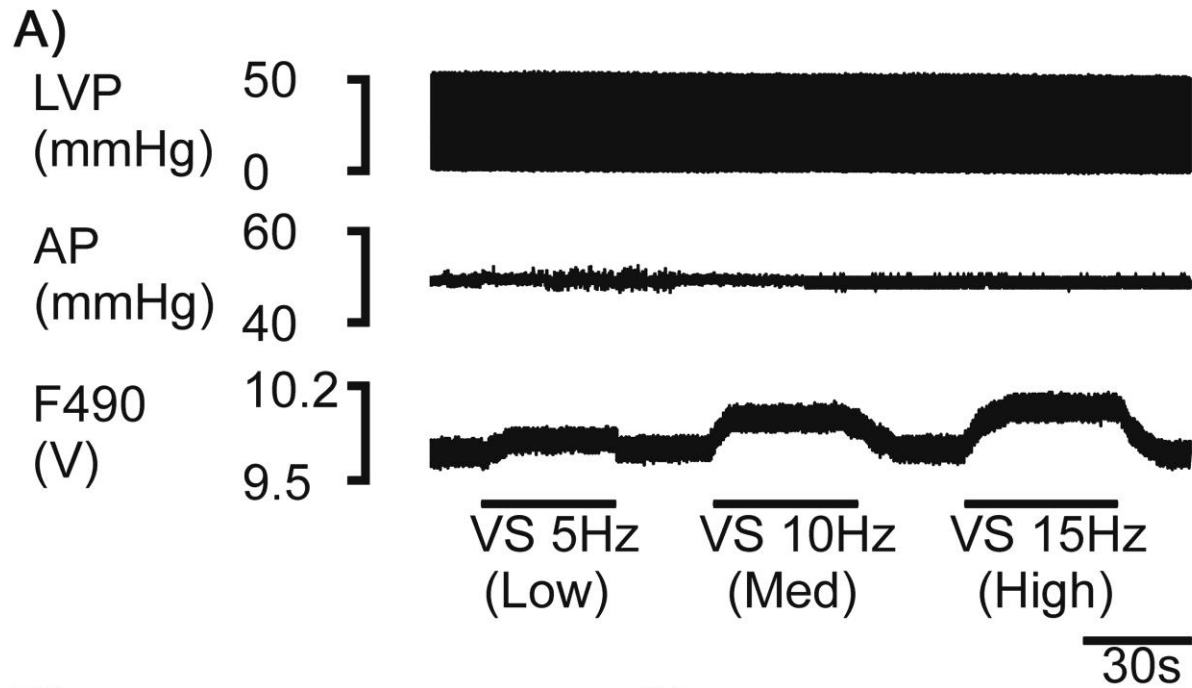
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Figure 6.



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Figure 7.



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Figure 8.

