Quantum Dynamical Modeling of Competition and Cooperation between Political Parties: the Coalition and Non-coalition Equilibrium 3 Model 4

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Abstract

We propose a model of parties' dynamical decision-making related to becoming a member of a coalition or pursuing a competitive strategy. Our approach is based on the mathematical formalism of quantum information theory. The devised model has no direct relation to quantum physics, only its mathematical apparatus and methodology are applied, in particular the quantum probability and the theory of open quantum systems. The latter describes the most general form of adaptive dynamics of a system interacting with an environ-15 ment. In our model the environment is composed of the electorate, or more specifically the informational bath generated by the parties' electorate, which is a key part of the socio-economic context surrounding the political party as an decision-making entity. The key feature of the quantum model is the ability to capture the strong interrelation of the parties' decision making states, through the notion of entanglement. The preferences of different parties evolve simultaneously and non-separably in the joint information space. We model the approaching of the state of political equilibrium by using the Markov approximation of the quantum master equation. Illustrative examples of numerical simulations are presented to specify, how the model works operationally.

Keywords: Political theory; Game theory; Political Coalitions; Non- separability; Quantum like models; Quantum probability; Entanglement; Quantum
Information Theory; Quantum Master Equation.

31 **Introduction**

Mathematical modeling of creation of coalitions between political parties 32 and, more generally, of establishing cooperation with respect to the spe-33 cial political and economic issues is by now a well researched field. Generally 34 speaking, the choices of political parties depend on a number of psychological 35 and institutional parameters. Different models consider different parameters 36 as being more salient to the parties' decisions. If one would search to con-37 struct a classical stochastic model with multiple loading factors that would 38 also change over the time dynamics, one would obtain an extremely complex 39 model. In this contribution, we propose a model that is based on the formal-40 ism of quantum information theory (quantum Markovian dynamics). The 41 advantage of the devised model is that it reduces essentially the complexity 42 of the classical stochastic models. The model can be potentially adapted 43 to a variety of political issues, where the parties are uncertain in respect to 44 cooperation/non-cooperation with other parties on some political matters. 45 However, as was pinpointed by one of the reviewers of this paper, the topic 46 of (non)cooperation would require a more scrutinized analysis, where the 47 party can often cooperate only to a certain degree, involving several issues 48 on which the party has to decide. In the case of a coalition formation the 49 party has formally only two choices in the form of yes/no. In this piece of 50 work we are proceeding on a formal level, by presenting a model that de-51 scribes an equilibrium state of the parties that operate in a country with 52 multiparty political system. The core decisions that these parties have to 53 make are simplified to the set of two choices to enter a political coalition 54 (alliance) or to abstain from entering a coalition (alliance)¹ 55

In this paper we do not have a possibility to review in detail the "classical methods" for the investigation of the domain of cooperation and competition, including the context of coalition formation in politics, see, e.g., monographs by Davies, Hinich&Ordeshook (1970) and Dhillon (2005) for extended treat-

¹In the spirit of information theory we encode each single party's decision state by the so called quantum bits. Each quantum bit is encoding a probabilistic superposition of obtaining some binary (customarily denoted in quantum information theory as zeros or ones) outcomes. This allows us to represent decision states with the choice outcomes in the form of "yes" and "no" with the aid of qubits. This approach, as will be shown, simplifies the model construction essentially.

⁶⁰ ments. For our purpose, it is important to point out that one of the main ⁶¹ aims of "classical mathematical modeling" is to study the overall existence ⁶² and the process of approaching to the states of an *equilibrium* of preferences ⁶³ for (non)cooperation between parties².

Certainly, game theory plays a crucial role in this setting, since coalition 64 formation is a strategic process that embraces a complexity of factors for each 65 partaking party. Each party has to consider the preferences and aims of the 66 other parties, in order to establish its best strategy and ultimately achieve an 67 optimal equilibrium for all political players involved. For a treatment from 68 a game theoretic perspective on the coalition formation, consult Greenberg 69 (1994) and Riker (1962). In his landmark work on political coalitions Riker 70 (1962) puts forward a well-known theory on political bargaining, stating that 71 the main aim of each separate party is not to win the support of the largest 72 amount of voters, but to form a "minimal winning coalition". According to 73 the theory, such type of party's behavior enables it to save its energy and re-74 sources that would be spent in an extensive election campaign. In contrary, 75 more recent works by Greenberg (1994) and Brams&Fishburn (1992) show 76 evidence on the electorate playing a central role in the formation of party's 77 cooperative/non- cooperative strategy. In the later study, Brams&Fishburn 78 (1992) articulate that voters are active complements in terms of shaping the 79 strategy of the parties in multi-party political systems. In this respect, the 80 ultimate aim for the political parties is to form such coalitions that would 81 satisfy the voters, by bringing a convergence of their political interests and 82 ideology. For instance, Meffert&Geschwend (2010) carried out a study on vot-83 ers in Austria and found out that the voting behaviour of Austrian electorate 84 displays "non- separability". The collected statistics showed that Austrian 85 voters are considering all the election outcomes simultaneously, including the 86 potential coalition possibilities of the parties. The complex mode of voters' 87 information processing can establish voting preferences for some political 88 party, given that it will become a member of a particular coalition. The 89 victory of the political parties depends on the "message" that the existing 90 coalition or the potential coalition members convey to the electorate³. The 91

 $^{^{2}}$ We highlight that in this work we operate with the words "cooperation" and "non-cooperation", as conceived in the classical game theory. In the proposed model these terms more precisely denote the acts of "entering a coalition/ alliance" or "not entering a coalition/ alliance".

³An interesting example of the complex interplay of voters' expectations and the strategies of the political parties is the success of an intricate multi-party coalition, termed "Alliance". This coalition came to power in Sweden in the 2006 parliamentary elections after 4 center-right parties merged together to oppose the leading party, the Social Democrats. The process of coalition formation was accompanied by various disagreements. Finally, the "Alliance" was able to formulate a joint political program, the so called "Manifesto". The

parties that solely focus on the preferences of voters, the so called "vote 92 maximizers", are highly dependent on the voting behavior of the electorate, 93 see the seminal work by Downs (1957). At the same time, a political party 94 may place more value on sustaining its ideology, the so called policy seeking 95 behaviour. The third factor that may determine the strategy of the party is 96 its aspiration for power, fulfilled by the means of increasing the number of its 97 cabinet seats. Strom (1990) explored the above factors' impact on parties' 98 behaviour, and formalized a "three factor" theory of coalition formation. De-99 spite the orthogonal representation of the three key factors; policy seeking, 100 cabinet seats seeking and voter support seeking in this spatial model, the 101 author acknowledges that these factors are often not mutually exclusive but 102 interconnected i.e., they are non-separably coupled in the process of party's 103 decision making. Naturally, the ideology of the party is reflecting the aspi-104 rations of the voters as well as its desire for cabinet sits. Consequently, it 105 becomes not possible for a party to fulfil its goals without the voters support, 106 in a multi-party democracy. Moreover, the support of voters is vital for the 107 very existence of the party on the political arena, where the most multi-party 108 political systems have a requirement of passing an election threshold. 109

We also remark the importance of the timing of the coalition formation, 110 as often discussed in political literature. A pre-election alliance emerges, 111 when the parties participate in the elections process as a joint "team". Sim-112 ilarly, after the elections, the power distribution cannot be altered by other 113 means than by creating a coalition with other parties, in order to form a mi-114 nority/majority government. Notable cases of alliances⁴ that emerged before 115 the elections were held are the "Alliance" in Sweden and "Syriza" in Greece 116 (Widfeldt, 2007; Syriza Party Homepage, 2013). The type of alliance-seeking 117 behavior can be characterized by the parties' need to gain the support of vot-118 ers as a result of the created image by the alliance members. Conventionally, 119 in the process of alliance formation, the involved parties search to keep close 120 their ideological ties on the so called left-right policy axis. In such contexts, 121 the parties are dependent on the beliefs of voters about their success as an 122 alliance. As a consequence, the parties search to be perceived by the vot-123 ers as a strong and reliable political entity, see a discussion in the Electoral 124 Knowledge Network (2012). The impact of voters is even more imperative for 125 the post-election coalition emergence. In some cases the parties are left with 126 no other options, but to establish a coalition agreement to stay in power. 127 Many alliances and coalitions, such as the "Grand Coalitions" in Germany, 128

success of this multi-party coalition was attributed to the transparency of the conveyed information about their coalition plans and the subsequent supportive voting behaviour of the Swedish electorate. For detailed statistics consult the study by Widfeldt (2007).

⁴Pre-election coalitions are often termed alliances.

Italy and the Netherlands, as well as the coalition in the UK, were created
in order to secure cabinet sits for the party members. This strategy enabled
the parties to form a Government with majority sits, see mass-media coverage in Financial Times (2012), BBC News (2010), (2013), Spiegel Online
International (2013).

The coalition formation is a complex process and an optimal equilibrium 134 has to be established for the whole arrangement of participants. The voters 135 definitely have a great impact on the strategic planing of their representative 136 political parties. The voters are effectively shaping the strategy of these 137 parties through their voting behavior on the election day. However, the 138 parties that enter a coalition also keep in mind that the voters' support 139 can swing in favour of an another political party, if their interests become 140 neglected. The party's success in the subsequent elections can be easily 141 jeopardized. 142

As Downs (1957), p.35, formulated in his milestone work: ... "the main goal of every party is the winning of elections. Thus, all its actions are aimed at maximizing votes."

In the proposed model, the timing of the coalition formation can be tuned 146 with the aid of appropriate Hamiltonian and Lindblad operators that incor-147 porate the internal and external state fluctuations of the parties decision-148 making states. At this stage, we will primarily focus on the second type of 149 coalition formation, the post election coalitions, where the voters' behavior 150 greatly shapes the final choices of the political parties. In fact, the ultimate 151 decisions of the parties can be very distinct from their initial preferences⁵ 152 Similarly to classical game-theoretic models, the proposed modeling, based 153 on the mathematical tools of quantum physics, captures the approaching of 154 a stable state of a decision equilibrium. 155

¹⁵⁶ 1.1 A Note on Non-separability of Political Decisions

Non-separability or strong interrelation of political decisions has been explored in more recent political studies and spatial representations of such preferences where devised (Lacy &Niou, 2000; Lacy, 2001; Finke, 2009; Finke &Fleig, 2013). These studies show that preferences of voters and also Governments are often not evolving in isolation; the issues and their outcomes are not unconditioned and unconstrained, but irreducibly connected with each

⁵As we can see, the above mentioned examples of coalitions are in a sense "exotic" in terms of the very polar ideological position of the coalition members. Despite of the initially rival political behavior, these parties can arrive to an equilibrium state of political cooperation, at least in a short term perspective. We show how this behavior can be captured mathematically in a simulation, see the Figures, 1-2 in section (4.3).

other by the decision-making states of the subjects. We briefly outline the
characteristics of non-separability as defined in political science. We adopt a
classical definition from Lacy (2001). Non-separability can be also characterized by the different "degrees" of its strength as well as different directions
of its appearance.

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Let $\mathbf{J} = \{1, ..., J\}$ be a set of issues. Let $\mathbf{o} = (o_1, ..., o_j)$ be a \mathbf{J} -tuple of outcomes across all J issues. Define x and y as mutually exclusive and exhaustive non empty subsets of the \mathbf{o} . x' is an outcome that differs from x on at least one issue, and y'differs from y on at least one issue. Now suppose individual i has a reflexive and transitive weak preference relation⁶, $\succeq i$, ordering all \mathbf{J} -tuples of policy outcomes. Then i's preferences are:

• separable iff for all $x, y, y', (x, y) \succeq i(x', y)$ and $(x, y') \succeq i(x', y')$.

• completely non-separable iff for all x there exists a y and y' such that $(x, y) \succeq i(x', y)$ and $(x', y') \succeq i(x, y')$. (Lacy 2001, p. 240)

Non- separability reveals a more complex nature of human preferences, 178 where in a political context the outcomes of one political issue in sense gen-179 erate preferences for the outcomes of other issues. In contrast to what is of-180 ten assumed in traditional political science studies, preferences are not fixed 181 over time and isolated from other decision-making contexts. In political lit-182 erature, this phenomenon has been mainly studied among voters (due to 183 the possibility to obtain detailed statistics through surveys and opinion/exit 184 polls). Non-separability of governmental and party decisions has not been 185 so widely explored at this stage. However, Finke (2009) and Finke &Fleig 186 (2013) present statistics on the existence of EU member states' non-separable 187 behavior related to several political issues. 188

As mentioned above, we propose for a quantum formulation of the non-189 separability of parties' decision- making states in the context of coalition 190 construction, where the preferences of different parties can strongly interre-191 late with each other. The motivation for this development stems from the 192 findings elaborated by Zorn & Smith (2011), Khrennikova, Haven & Khren-193 nikov (2014), Khrennikova & Haven (2016) and Khrennikova (2015). These 194 studies provide broad argumentation, including empirical evidence on the 195 non-classical origins of non-separability, i.e. it is not just about the proba-196 bilistic conditioning of decision outcomes in a Bayesian fashion. 197

⁶To establish for a formal representation of a preference relation, economic axioms are serving as building blocks that allow to establish an ordering of preferences. Reflexivity steams from the axiom of preference completeness and pertains to a preference equivalence, where e.g., for outcomes x and y, $x \sim y$, iff x = y.

It is worth to mention that the probabilistic features of the quantum 198 formalism are closely linked to the state space representation. When we talk 199 about the multifariousness of the representation of preference states and the 200 classical spatial models of voting resting on the Euclidean (weighted) linear 201 space, characterized by metric distances between the preference points, we 202 witness that due to the geometric properties of the Euclidean space this 203 representation suffers from the constraints of detecting the various specific 204 features of non-separability, such as its direction. When the direction of 205 measurement matters we are faced with the violation of the principle of 206 commutativity. With other words, the direction of non separability should 207 not play any role, in spatial models, for instance, an outcome A followed by 208 an outcome B would not differ from a different ordering of their realization. 209 Likewise, the Euclidean space representation of preferences is not coupled 210 to the probabilistic nature of the outcomes, which lies at the heart of the 211 quantum representation of the observables. A more comprehensive account 212 on the similarities and differences of spatial models based on the Euclidean 213 state space as opposed to the Hilbert space can be found in Khrennikova 214 &Haven(2016). 215

1.2 Applicability of Quantum Formalism to Decision processes

Recently, the mathematical formalism of quantum theory and its method-218 ology found a variety of applications beyond physical phenomena: in cog-219 nition, psychology, psychophysics, economics, finance, and most recently in 220 politics. Since the number of publications in this novel field of research in-221 creases rapidly and the diversity of applications is vast, we refer only to the 222 monographs by Busemeyer & Bruza (2012), Haven & Khrennikov (2013) and 223 references in them. Modeling of decision-making processes in a quantum 224 framework is becoming an established interdisciplinary field. Some notable 225 contributions are by Busemeyer, Wang and Townsend (2006), Pothos & Buse-226 meyer (2009) and Lambert-Mogiliansky & Busemeyer (2012). This paper can 227 be considered as a part of this development, namely decision-making pro-228 cesses in politics. 229

Inside the quantum-like field, essential efforts were made in the foundational studies, in particular, on the justification of the applicability of the methods of quantum theory to cognition, psychology and decision-making. The main motivation for such applications lies in the complex probabilistic structure of human decision-making and judgement. Since more than half a century, when the the foundational "rational economic decision theories"

were firstly formalized, psychologists collected experimental statistical data 236 that exhibited features paradoxical from the viewpoint of classical decision 237 theories, which rest upon the classical probability theory, see for example, 238 the seminal experiments carried out by Tversky and Shafir (1992) and Shafir 239 & Tversky (1992). Various fallacies of human reasoning were discovered, 240 e.g., conjunction and disjunction effects, order effects and framing effects. 241 Essentially, one can treat these fallacies as an exhibition of contextuality 242 of human behaviour, where human judgements and choices are intrinsically 243 context-dependent. The features of the experimental data can be mathe-244 matically formalized as violations of the laws of classical probability theory. 245 More specifically, the formula of total probability is violated, as well as the 246 Bayesian updating scheme. As a consequence, Bell's inequality (which deriva-247 tion is based on the possibility to represent statistical data, by using a single 248 classical probability space) is also violated. It is well-known that statistical 249 data, collected in quantum physical experiments, contravene the laws of clas-250 sical probability theory. For example, the basic quantum effect, interference, 251 demonstrated in the two slit experiment, is probabilistically equivalent to the 252 violation of the formula of total probability (Feyman and Hibbs, 1965). Due 253 to the very similar features of psychological and quantum experimental data, 254 it became natural for the researchers in this field to apply the formalism of 255 quantum theory interdisciplinary. A particular focus is placed on geometric 256 properties and probability theory of QM, to model cognitive processes. 257

As a result of the endeavours by the constantly growing "Quantum Cogni-258 tion" community members, the statistical data collected in cognitive psychol-259 ogy, sociology, and politics was successfully modeled, including the descrip-260 tion of aforementioned psychological effects, see e.g., Pothos and Busemeyer 261 (2009), Asano et al. (2012), Lambert-Mogiliansky and Busemeyer (2012), 262 Bysemeyer and Bruza (2012), Haven and Khrennikov (2013), Busemeyer 263 et al. (2006), Wang and Busemeyer (2013) and Khrennikova (2014a), (2014b). 264 Nevertheless, by borrowing the mathematical apparatus of quantum physics 265 one confronts a following foundational problem, namely: Can one guarantee 266 that the quantum probabilistic formalism would completely capture the deci-267 sion making processes of individuals? It is fair to say that this was the im-268 plicit assumption of the modern decision theories under risk and uncertainty 269 that utilized the classical Kolmogorovian probability theory as a complete 270 mathematical apparatus for dealing with the involved uncertainties. Simi-271 larly, at this stage of development, one cannot guarantee that some of the 272 surfacing psychological effects or their combinations will be in accord with 273 the principles of quantum theory. However, one should stress that even in 274 the quantum physical community, nobody can guarantee that in the future 275 developments, the present quantum formalism will not be modified e.g., to 276

²⁷⁷ correspond with the Einstein's general relativity.

Another advantage of the quantum formalism is that this is a complete 278 theory i.e., it is not an ad hoc modification of the classical probability the-279 ory⁷. Quantum probability is a theory that is composed of valid and complete 280 set of rules, such as Born rule. By applying this formalism to cognition, psy-281 chology, political studies one doesn't need to construct complicated models, 282 taking into account all the impact factors. Another complication of the model 283 construct is related to the impossibility to determine some of the decision-284 making factors empirically, at least with a good precision. By representing 285 the cognitive phenomena with the aid of the quantum formalism one can 286 talk about the minimization of the complexity that classical theories would 287 carry, even if the construction of a classical probabilistic model is formally 288 attainable (i.e., "hidden variables may exist"). 289

On the conceptual level, the notions used in quantum theory deeply res-290 onate with the heuristics of cognitive modeling. For example, consider the 291 notion of superposition of states: the majority of psychologists would accept 292 that human mind can be in a superposition of a few mental states, i.e., the 293 preferences on some matter are not fixed, but vacillate as time passes. How-294 ever, such a qualitative explanation would be merely a heuristic statement. 295 In contrary, the quantum formalism provides a mathematical justification for 296 the above mentioned effect. One can allude to formal models, describing the 297 psychological phenomena (cf. with the Euclidean models used in political 298 studies, section 1.1) Last but not least, we point to the non-Boolean struc-299 ture of quantum logic, which can be mathematically confirmed, e.g., in the 300 violation of the distributivity and commutativity axioms. The former occurs 301 for a variety of human judgements, e.g., when the statistical data cannot be 302 expressed by the means of the formula of total probability. The violation of 303 commutativity is manifest in an "order effect", whose investigation plays an 304 important role in psychology. In quantum models this effect is represented 305 by the means of non-commutative observables. 306

³⁰⁷ 1.2.1 Evolution of the field of "quantum political studies"

Political decision making is a special sphere where humans have to make many decisions with far- reaching implications for the individuals and the society in general. This can involve ballot casting in different types of elections from local to national. On the party level the involved parties as political

⁷In a nutshell, the quantum probabilistic framework is able to accommodate statistical data that a classical probability model can. At the same time, the quantum probability is a more general theory than the classical probability theory, which can also contain non-classical phenomena.

entities have the responsibilities to strategically plan their political actions, 312 by taking into consideration all the possible consequences. Of course, the 313 decisions made in politics are context specific, i.e., it can be difficult to asso-314 ciate the political decisions with some concrete payoffs and risks as formalized 315 by modern decision theories in economics. Nevertheless, traditional political 316 theory is highly inspired by the modern economic schools and it is naturally 317 assumed that as the political decisions are taken, the individuals act in a 318 rational way, by exhibiting a consistency of their preferences (at least in a 319 short term perspective). If these assumptions of the economic theories hold, 320 a well defined ranking of political preferences can be established. One should 321 note that until the more recent contributions, political preferences were rep-322 resented as separable in the spatial models in politics. To be more specific, 323 each political preference would exist on its own, independently (separably) 324 from other preferences, as conceived in the foundational work by Enelow and 325 Hinich (1984). At the same time, new pieces of information cause changes in 326 the existing preferences and degrees of beliefs. As postulated by the norma-327 tive choice theories, when the information is uncertain, the decision makers 328 update their preferences and beliefs in a Bayesian fashion. 329

Decision making in politics, as well as in other social spheres is of a 330 complex nature and multiple pieces of information have to be considered. In 331 various decision-making contexts, preferences on different issues are strongly 332 interrelated (non-separable) as well as the information is not processed in a 333 classical mode. One may talk about irrationality of human reasoning. At the 334 same time, one could argue that the traditional models of human reasoning 335 may have limitations, whereas the quantum formalism provides a worthy 336 illumination of the observed probabilistic fallacies as well as other paradoxes 337 of human reasoning. 338

In the "quantum political studies" we highlight pioneering articles by Zorn 339 and Smith (2011), Khrennikova et al. (2014), Khrennikova (2015), Bagarello 340 (2015b) and Khrennikova & Haven (2016). The study by Zorn and Smith 341 (2011) is exploring US electorate's voting behaviour in the Congress and 342 Presidential elections, with the focus on the bipartisan tactics of some vot-343 ers. This part of the electorate prefers to "put the eggs in different baskets" 344 (called "ticket splitting" in political literature) by voting, e.g., for Democrats 345 in the Congress election and at the same time basting ballots for a Repub-346 lican President. Zorn and Smith (2011) are interconnecting politics and 347 quantum formalism, by pointing to the role of quantum entanglement as a 348 powerful tool for modeling statistical non-separability of voters' preferences. 349 This idea was reinforced in Khrennikova (2015) and Khrennikova & Haven 350 (2016). The authors showed with the aid of statistics on voters preferences 351 that non-separability cannot be attributed to a simple Bayesian condition-352

ing and accommodated in a classical probabilistic framework (see the above 353 discussion on violation of the laws of classical probability). The features 354 of non-separability emergence indicated that the quantum representation of 355 observables could serve as a noteworthy alternative. In Khrennikova et al. 356 (2014) this approach was combined with the theory of open quantum sys-357 tems, to capture the time dynamics of voters' preferences as well. This theory 358 gives the most general mathematical model of the state's adaptive dynamics 359 of a system interacting with an environment. 360

In a series of papers (Asano, Tanaka, Basieva & Khrennikov, 2011; Asano, 361 Ohya, Tanaka, Basieva & Khrennikov, 2012; Asano, Basieva, Khrennikov, 362 Ohya and Yamato, 2013) the theory of open quantum systems, and more 363 generally quantum adaptive dynamics were applied to model decision mak-364 ing. The applications ranged from modeling irrational behavior in games 365 of the Prisoners Dilemma type to recognition of ambiguous figures (for the 366 latter work, consult Asano, Khrennikov, Ohya, Tanaka and Yamato, 2014). 367 These works introduce the concept of a psychological "bath" to describe the 368 dynamics and stabilization of a mental state to a classical decision-state. 369 Khrennikova et al. (2014) explored the application of the theory of open 370 quantum systems to model the bipartisan behaviour of the American elec-371 torate, by extending the quantum -like treatment of voters' preference states, 372 proposed in Zorn and Smith (2011). The first quantum model of creation of 373 coalitions between political parties, taking into account the impact of the vot-374 ers' behavior, was elaborated by Bagarello (2015b). The author applied the 375 mathematical formalism of quantum field theory to derive dynamical equa-376 tions for evolution of parties' preferences for creation of political alliances. 377

In the present study, following the treatment of this subject in Bagarello(2015b), we propose a model of coalition formation between political parties, by exploring the quantum entanglement of preferences and aspirations of party leaders and their electorates⁸. The model could be potentially applied to more general areas cooperation establishment, with respect to special political and economic issues.

The "environment" that impacts the behavior of the party as a system is a complex combination of factors, where the key role is played by the electorate and their preferences. The role of electorate can be more or less crucial for the formation of the final decision equilibrium depending, on the timing of the coalition formation. The motivation for deriving these methodological assumptions comes from the previous findings in this game-theoretic area

⁸For an extended elaboration on the existence of "pseudo-classical non separability" in decision-making tasks that is mathematically and conceptually reflected in the quantum notion of entanglement, consult Zorn and Smith (2011)

of competition and coalition formation, discussed in the Introduction part. 390 In accordance with the proposed quantum model, the states of preferences 391 for (non)cooperation of a group of political parties $\mathcal{P}_1, ..., \mathcal{P}_n$ are represented 392 in a complex Hilbert space. The key point is that these states are strongly 393 interconnected, i.e., entangled. The preferences of different parties evolve 394 simultaneously and non-separably in the joint information space. We model 395 the approaching to the state of political equilibrium by using the Markov 396 approximation of the quantum master equation. Since the multi-parties' 397 state is represented in the tensor product of the state spaces for each indi-398 vidual party, the dimension of the state space increases exponentially, with 399 the growth of the number of parties. 400

Coming back to the classical modeling of creation of political coalitions, 401 we can add that our approach extends the classical Markov dynamics of 402 approaching the equilibrium state characterizing a yes/no decision of each 403 party, with respect to a political coalition establishment. We use a more 404 general Markov dynamics given by the quantum master equation. In some 405 sense this approach provides a possibility to represent a deeper state of un-406 certainty on the political arena, namely the uncertainty expressed by a su-407 perposition of alternatives. As was already stressed, another distinguishing 408 feature of the model is a possibility to represent a deeper non-separability 409 between preferences of different parties, non-separability in the form of en-410 tanglement. One can also rise the issue of contextuality of decisions on the 411 political arena. Correlations corresponding to entangled states related to 412 the decisions, irreducibly depend on the political contexts. Coming back to 413 coalition modeling, one can say that in such states, political parties do not 414 have their own, intrinsic and fixed preferences for (non)cooperation. Their 415 preferences are characterized by a contextual complexity, with respect to the 416 preferences of other parties.⁹ 417

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The ideas and methods elaborated in this paper, can be considered as 419 first steps towards the application of the theory of open quantum systems 420 for mathematical modeling of political decision processes. We hope that 421 the model and methods developed in this paper, will be applicable to a 422 variety of problems in decision-making and more specifically, cooperation 423 and competition cases. The quantum Markov equation that we apply, is 424 a most widely used approximation of quantum master equation, describing 425 quantum adaptive dynamics. It also provides the most general scheme of a 426

⁹Contextuality is one of the bridges between the standard quantum theory for physical systems and cognition and psychology, see works by De Barros &Suppes, (2009), Dzhafarov &Kujala (2012), Dzhafarov &Kujala (2013) and Asano et al. (2014).

quantum measurement (Zurek, 2003). Hence, it can be considered as an apt 427 candidate for the decision-making processes. Undeniably, to implement this 428 mathematical model to collective decision making (where the political party 429 is considered as a system), one has to justify its applicability. It is well known 430 that its derivation is based on a set of assumptions on interaction of a system 431 and an environment. Therefore, by applying this equation one has to make 432 sure that the assumptions are satisfied. This is especially important to do this 433 procedure, when applying the quantum Markov equation outside its original 434 domain of application. Such an analysis was performed by Khrennikova et 435 al. (2014), in the section "Matching of the Assumptions of Applicability", 436 devising the principles of model's applicability to decision making process in 437 a social environment. In principle, this procedure could be repeated in the 438 context of the present work. At this stage, due to the limited scope, we refer 439 to the aforementioned paper, since exists an essential similarity between the 440 models for adaptive political decision-making of voters in Khrennikova et al. 441 (2014) and the present paper. 442

By formulating a novel mathematical model, based on the formalism of 443 quantum mechanics and quantum information theory, we are aware that the 444 reader may be not familiar with the mathematical and conceptual formalism 445 of quantum mechanics (QM) that is used throughout this paper. We briefly 446 introduce some of the core notions of QM in the next section, 2. The reader 447 can consult books by Jaeger (2007) and Busch, Grabowki & Lahti (1995) 448 for an in depth mathematical treatment of the notions introduced in the 449 following section. 450

451 **2** Brief introduction to quantum formalism

The state space of QM is based on a complex Hilbert space H, i.e., a complex linear space, endowed with a scalar product, denoted as $\langle \psi_1 | \psi_2 \rangle$ which is complete with respect to the norm: $||\psi|| = \sqrt{\langle \psi | \psi \rangle}$. Normalized vectors of H, i.e., ψ such that $\langle \psi | \psi \rangle = 1$, represent a special class of states of quantum systems, namely, the *pure states*. A normalized vector determines a pure state up to the phase factor $e^{i\theta}$, i.e., two vectors ψ_1 and $\psi_2 = e^{i\theta}\psi_1$ determine the same pure state.

To study open quantum systems, i.e., quantum systems interacting with environment, we also have to consider the so-called *mixed states*. They are represented by *density operators*, i.e., operators which are Hermitian, positive semi-definite and trace one. We recall that a linear operator ρ is Hermitian if, for any pair of vectors $\phi_1, \phi_2, \langle \rho \phi_1 | \phi_2 \rangle = \langle \phi_1 | \rho \phi_2 \rangle$; it is positive semi-definite if, for any vector $\phi, \langle \rho \phi | \phi \rangle \geq 0$. We remark that a pure state ψ also can be represented by the density operator – the orthogonal projector onto the vector ψ . Denote it ρ_{ψ} . Any density operator ρ can be represented as a weighted sum of such orthogonal projectors:

$$\rho = \sum_{i} q_i \rho_{\psi_i},\tag{1}$$

where $q_i \in [0, 1]$, $\sum_i q_i = 1$, and (ψ_i) are pure states. This expansion leads to the interpretation of the mixed state ρ as representing an ensemble composed of quantum systems in pure states (ψ_i) . The weight q_i gives the probability to pick up a system in the state ψ_i from this ensemble.

In the quantum formalism observables are represented by Hermitian operators. Consider a state represented by the density operator ρ and an observable represented by the Hermitian operator $A = \sum_{i} a_i P_{a_i}$, where (a_i) are its eigenvalues and (P_{a_i}) are projectors onto the corresponding eigensubspaces ¹⁰. The probability to obtain the concrete value a_i as the result of a measurement is given by the Born's rule, formulated by Born (1926). $p_{\rho}(a_i) \equiv p_{\rho}(P_{a_i}) = \text{Tr}\rho P_{a_i}$. In particular, if ρ_{ψ} is a pure state, then $p_{\rho_{\psi}}(a_i) = \langle P_{a_i}\psi|\psi\rangle = ||P_{a_i}\psi||^2$.

The so called "Dirac's notations" are widely used in quantum information theory. Vectors of H (the Hilbert state space) are called *ket-vectors*, they are denoted as $|\psi\rangle$. Let us restrict our consideration to the case of a finite dimensional H and consider an observable A. As such, the normalized eigenvectors e_i of A form an orthonormal basis in H. Let $Ae_i = a_ie_i$. In Dirac's notation e_i is written as $|a_i\rangle$ and, hence, any pure state can be written as $|\psi\rangle = \sum_i c_i |a_i\rangle, \sum_i |c_i|^2 = 1$.

Qubit states are represented with the aid of some observables with nondegenerate spectra having the eigenvalues 0, 1. Denote the corresponding eigenvectors as $|i\rangle$, i = 0, 1. Then $|\psi\rangle = c_0|0\rangle + c_1|1\rangle$, $|c_0|^2 + |c_1|^2 = 1$. Naturally, each qubit space is two dimensional.

A pair of qubits is represented in the tensor product of single qubit spaces, here pure states can be represented as superpositions of four eigenstates:

$$|\psi\rangle = c_{00}|00\rangle + c_{01}|01\rangle + c_{10}|10\rangle + c_{11}|00\rangle, \qquad (2)$$

where $\sum_{ij} |c_{ij}|^2 = 1$. In the same way the *n*-qubit state is represented in the tensor product of *n* one qubit state spaces (it has the dimension 2^n) : $|\psi\rangle = \sum_{x_j=0,1} c_{x_1...x_n} |x_1...x_n\rangle$, where $\sum_{x_j=0,1} |c_{x_1...x_n}|^2 = 1$. We remark that the dimension of the *n* qubit state space grows exponentially with the growth of *n*.

¹⁰In the finite dimensional case any Hermitian operator can be represented in this form.

Consider the tensor product $H = H_1 \otimes H_2 \otimes ... \otimes H_n$ of Hilbert spaces $H_k, k = 1, 2, ..., n$. The states of the space H can be separable and nonseparable. Non-separable states would be the so called *entangled states*. Let us start with representing mathematically the non- separable and separable pure states. The states from the first class, i.e., separable pure states, can be represented in the form:

$$|\psi\rangle = \otimes_{k=1}^{n} |\psi_k\rangle = |\psi_1 ... \psi_n\rangle, \tag{3}$$

where $|\psi_k\rangle \in H_k$. The states which cannot be represented in this way are called non-separable, entangled. Essentially, the mathematical representation of entanglement is very simple, it means an impossibility of tensor product factorization.

For example, we consider the tensor product of two qubit spaces. In each of them we select an orthonormal basis, denoted as $|0\rangle$, $|1\rangle$. The corresponding orthonormal basis in the tensor product has the form $|00\rangle$, $|01\rangle$, $|10\rangle$, $|11\rangle$. Then so called Bell's states (Bell, 1987):

$$|\Phi^+\rangle = (|00\rangle + |11\rangle)/\sqrt{2}; \quad |\Phi^-\rangle = (|00\rangle - |11\rangle)/\sqrt{2}; \tag{4}$$

513

$$\Psi^{+}\rangle = (|01\rangle + |10\rangle)/\sqrt{2}; \quad |\Psi^{-}\rangle = (|01\rangle - |10\rangle)/\sqrt{2} \tag{5}$$

514 are entangled.

Now consider a quantum state given by a density operator in H. This state is called separable, if it can be factorized in the product of density operators in spaces H_k :

$$\rho = \otimes_{k=1}^{n} \rho_k, \tag{6}$$

otherwise the state /rho is called entangled. We remark that an interpretation of entanglement for mixed states is even more intricate than for the pure states.

Although the notion of entanglement is mathematically straightforward, its physical interpretation is one of the main challenges of modern quantum foundations. In this paper we have no possibility to discuss the problem of interpretations of entanglement in quantum physics versus cognition and psychology. We proceed operationally and use entanglement as a mathematical tool for representation of correlations in *a multi-contextual framework*, see, e.g., De Barros and Suppes (2009) for a foundational discussion.

⁵²⁸ 3 State space of the (non)coalition creation ⁵²⁹ model

⁵³⁰ 3.1 One party preference state space

On the political arena each party \mathcal{P}_i can either prefer to cooperate or not with 531 other parties, $\mathcal{P}_i, i \neq j$. The preference space of \mathcal{P}_j for cooperation with the 532 fixed party \mathcal{P}_i can be mathematically represented (by applying the notations 533 of QM) as one qubit space H with the basis $(|0\rangle, |1\rangle)$ encoding preferences 534 for (non)cooperation. The dichotomous nature of the outcomes, in the form 535 of yes/no stems from the requirements dictated by the election procedure in 536 a multi-party political system. We remind that we treat the cooperation or 537 non-cooperation in the setting of this model as a decision of some party to 538 form (not to form) a coalition with some other party(ies). Clearly, a creation 539 of a coalition does not guarantee that all policies of a party are supported by 540 other members of the coalition. The process of establishing a coalition can 541 be very fragile, i.e., if you give up some of your policies you lose the potential 542 and existing voters as a party. We do not have a possibility to analyze the 543 whole life cycle of the coalition, thus we treat the agreement (disagreement) 544 of entering a coalition as a "final destination" of a party's decision-making 545 process. 546

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One of the main rationales for the quantum-like information description is that \mathcal{P}_j 's preferences can be in the *superposition of non-cooperation and cooperation*. Such superpositions are naturally represented in the quantum formalism as:

$$|\psi\rangle = c_0|0\rangle + c_1|1\rangle,\tag{7}$$

where c_0 and c_1 are complex numbers, $|c_0|^2 + |c_1|^2 = 1$. Here $|c_0|^2$, $|c_1|^2$ give the 552 probabilities p_0, p_1 that \mathcal{P}_j will make the decisions to (non)cooperate. We 553 remark that complex numbers have not only amplitudes, but also phases, 554 $c_k = |c_k| e^{i\theta_k}$. In the quantum formalism the phases, more precisely the rela-555 tive phase $\theta_2 - \theta_1$, also play an important role. The presence of relative phases 556 contribute nontrivially to the state dynamics, either the Schrödinger dynam-557 ics describing the evolution of the preference state of a party in the isolation 558 from a "social environment" or the dynamics based on the quantum master 559 equation taking into account interaction with a "social environment". Here 560 the situation differs crucially from say classical Markovian state dynamics 561 which takes into account only the probabilities p_0, p_1 . 562

For the fixed political party \mathcal{P}_j , the complete state space for preferences for (non)cooperation, H_j is represented (in accordance with quantum information theory) as the tensor product state space corresponding to preferences for other political parties $\mathcal{P}_i, i \neq j$. By denoting the latter as H_{ji} we write

$$H_j = H_{j1} \otimes \ldots \otimes H_{j(j-1)} \otimes H_{j(j+1)} \otimes \ldots \otimes H_{jn}.$$
(8)

The dimension of this space is equal to $d = 2^{n-1}$. Here *n* is the total number of political parties under consideration.

Such a state space, i.e., reflecting only the preferences of one fixed political party for other parties, represents another purely quantum information effect, namely, *entanglement*: entanglement of the (non)cooperation preferences of \mathcal{P}_{j} for other parties. The states of the space H_{ji} can be *separable and nonseparable* (entangled).

From the interpretational viewpoint, the notion of entanglement is one 574 of the most complicated notions of QM. One of the features of entanglement 575 (in the framework of our modeling) is that the party \mathcal{P}_i cannot treat its 576 preferences for (non)cooperation with the parties $\mathcal{P}_i, i \neq j$, separately. The 577 party \mathcal{P}_j cannot split its preference state $|\psi\rangle \in H_j$ into the preference states 578 related to individual \mathcal{P}_i . To proceed to a decision on (non)cooperation with 579 the fixed $\mathcal{P}_i, \mathcal{P}_i$ takes into account its possibilities of (non)cooperation with 580 all $\mathcal{P}_k, k \neq j, i$. 581

The presence of entanglement (non-separability effect) is even stronger in the multi-parties preference state space, see section 3.2.

Finally, we remark that even separable preference states carry an essential degree of quantumness, related to the superposition effect. Suppose that each qubit state $|\psi_i\rangle$ in (7) is superposition of the preferences for non-cooperation and cooperation, see (7). Then this state has the form of superposition

$$|\psi\rangle = \sum_{X} c_X |X\rangle,\tag{9}$$

where $|X\rangle = |x_1...x_{j-1}x_{j+1}...x_n\rangle$, $x_j = 0, 1$ and $\sum_X |c_X|^2 = 1$, the numbers $|c_X|^2$ give the probabilities p_X of \mathcal{P}_j 's decisions on (non)-cooperation with other parties. An arbitrary (pure) state $|\psi\rangle$ of preferences of the political party \mathcal{P}_j for (non)cooperation with the political parties $\mathcal{P}_i, i \neq j$, can be represented in the form (9). This superposition state also encodes the quantum interference effect.

⁵⁹⁴ 3.2 Multi-parties preference state space

In the light of the previous considerations, we can say that the preferences of each party \mathcal{P}_j for (non)cooperation with other parties can be mathematically represented by the tensor product of one qubit state spaces, corresponding to the party's the preferences for (non)cooperation with other individual parties. This space was previously denoted as H_j . The real coalition formation perspective involves the preferences of all parties for each other. The complete preference state space for all parties involved, is mathematically represented as the tensor product $H = \bigotimes_j H_j$. In the qubit representation its vectors have the form:

$$|\Psi\rangle = \sum_{\mathcal{X}} C_{\mathcal{X}} |\mathcal{X}\rangle,\tag{10}$$

where $\mathcal{X} = X_1...X_n, X_j = x_1...x_{j-1}x_{j+1}...x_n, x_j = 0, 1 \text{ and } \sum_{\mathcal{X}} |C_{\mathcal{X}}|^2 = 1.$ The dimension of this space is equal to $D_n = 2^{n(n-1)}$. We remark that

605 D_n increases considerably with the increase of the number of parties on the 606 political arena. The appearance of one additional party (of the size and 607 political influence, such that this party is taken into account by other par-608 ties) increases essentially the dimension of the state space and hence, the 609 complexity of the process of decision making. For example, $D_2 = 4$, but al-610 ready $D_3 = 64$, and the appearance of the fourth party would lead to a state 611 space of a very large dimension, $D_4 = 4096$ (correspondingly, the emergence 612 of a fifth party on the political arena, implies a drastic complication of the 613 political situation, $D_5 = 1048576$). In the political reality, the state space 614 is a proper subspace of H, because some types of cooperation would be in 615 principle impossible. 616

Coming back to the example of coalition creation at the Swedish political 617 arena, theoretically, the left parties, such as the Left-party (Vänster partiet) 618 and the Social-Democratic party cannot reach to a decision of cooperation 619 with the nationalist party- the Swedish democrats and vice versa. This con-620 strain reduces eightfold the dimension of the state space. Further, the two 621 leftist parties are typically cooperating with each other on the Swedish polit-622 ical arena. In principle, they can be treated as a single party - the state space 623 dimension shrinks by a factor 4. Effectively, simply as the result of the princi-624 ple of disagreement between the leftist and nationalist parties, the dimension 625 is reduced by a factor 32. In the case of the existence of five major parties 626 this leads to the state space of the dimension $D'_5 = 32768 \ll D_5 = 1048576$. 627 There can be other political constraints minimizing the state space dimen-628 sion¹¹. Nevertheless, even with all these constraints, due to the elevated 629

¹¹The Swedish Green Party (Miljöpartiet) cooperates actively with the Social-Democratic party, but, for many questions, its cooperation with the Left-party is impossible; at the same time the Social-Democratic party demonstrates (that is relatively new party to be parliamentary represented) the wish to cooperate with both, the Swedish Green Party and the Left-party. The Swedish Moderate Party (Moderaterna) can in principle cooperate with the Swedish Democrats, but the cooperation with the Left Party is completely excluded. See Widfeldt (2014) for a detailed discussion. Thus, even the

dimension of the state space, the task of modeling of the process of approaching a consensus between parties (even if they are few of them) can become a complex multi-dimensional mathematical problem.

In the preference space H we again obtain both quantum effects, namely, superposition and entanglement. As a result of entanglement, the political parties in a sense "lose their individual control over decisions on (non)cooperation with other parties." The decisions of each political party \mathcal{P}_j are irreducibly connected with the possible decisions of other parties.

Mathematically, a preference state is separable if it can be represented in the form:

$$|\Psi\rangle = \otimes_{j=1}^{n} |\Psi_{j}\rangle = |\Psi_{1}...\Psi_{n}\rangle, \tag{11}$$

where $\Psi_j \in H_j$. An entangled state cannot be represented in this way. For $n \geq 3$, there exists an another kind of entanglement- the multipartite entanglement, that has new features, absent in the case of bipartite entanglement. Its interpretation is even a more complicated task than of the bipartite entanglement.

⁶⁴⁵ 4 Decision making and state's dynamics

Following the tradition of quantum-like modeling of the dynamical processes 646 of decision making, c.f. Busemeyer et al. (2006), Asano et al. (2011), Asano 647 et al. (2012), Busemeyer and Bruza (2012), Bagarello (2012), Haven and 648 Khrennikov (2013), Pothos and Busemeyer (2013), Khrennikova et al. (2014), 649 Bagarello (2015a), Bagarello (2015b) and Khrennikova & Haven (2016) we 650 represent the process of establishing of cooperation between political parties 651 as a quantum state dynamics. The simplest quantum state evolution is de-652 scribed by the Schrödinger's equation. It models an evolution of the state 653 of a quantum system, which can be treated (at least with some degree of 654 approximation) as isolated from the outer informational surrounding. If the 655 influence of the environment cannot be neglected, then the state evolution is 656 modeled by the quantum master equation. The latter is typically very com-657 plicated, this is why its (quantum) Markovian approximation is very popular 658 in many applications. 659

political arena of such a small country as Sweden is characterized by a high complexity of constraints on the information state space. There are of course different factors that can always shift these constrains, for instance, in a situation when totally opposite parties in terms of their policy and ideology come to a cooperation agreement. These types of coalitions can emerge as a result of a strong mutual aspiration for power and the particular timing of coalition formation. Further examples can be found in section 5.

We point out that decision-making models based on Schrödinger's equation and the quantum master equation (which describes the nontrivial influence of an environment) differ substantially.

4.1 Decision making process by Schrödinger's equation

We start with a brief mathematical remark to delineate the core features of such a construct of a system's quantum dynamics. Solutions of Schrödinger's equation different from stationary ones are represented as linear combinations of imaginary exponents (combinations of sines and cosines). Such linear combinations fluctuate as functions of time and no limit exists for $t \to \infty$. They cannot approach a concrete state with the time increasing, i.e., $\lim_{t\to\infty} \psi(t)$ does not exist.

Therefore, in applications to the dynamics of cognitive systems, to make a 672 decision, decision makers in the process of coalition creation, e.g., the leaders 673 of a political party, would have to intervene into the dynamics of the state 674 in an "authoritarian way" leading to a type of "collapse of the state". It 675 is important to discern that such a collapse would be produced by a deci-676 sion of any political party, if their preference states are entangled with the 677 preference state of other parties. Decisions of such a type can of course be 678 possible and even quite common for parties with very strong leaders or in-679 ternal party spirit. In such a context, decisions (related to establishing a 680 coalition with other parties) would be made in isolation, without the adjust-681 ment to the aspirations of the electorate, as well as of the society in whole -682 the so called "common social environment". 683

Besides of the fluctuating behaviour of the solutions, another problem-684 atic feature of Schrödinger's dynamics for preference states is that, as was 685 already pointed out, it preserves the stationary states of Hamiltonians for-686 ever. Suppose that there are two parties $\mathcal{P}_1, \mathcal{P}_2$, then each state space is just 687 a qubit space, i.e., $H = H_1 \otimes H_2$ is the four dimensional state space. If the 688 joint Hamiltonian of the pair of parties \mathcal{H} has, e.g., the state $\Psi_0 = |00\rangle$ as 689 an eigenstate, i.e., $\mathcal{H}\Psi_0 = \lambda_0 \Psi_0$, then the preference state $\Psi(t)$ will have the 690 form $\Psi(t) = e^{-it\lambda_0/\gamma}\Psi_0$, where γ is a factor determining the time scale of the 691 dynamics (if the \mathcal{H} is chosen as a dimensionless quantity). As a consequence, 692 this kind of dynamics in principle cannot lead to establishing a cooperation 693 between these two political parties, i.e., to the state $\Psi = |11\rangle$. 694

Remark 1. (Interpreting Hamiltonian) In QM \mathcal{H} has the dimension of energy and here $\gamma = \hbar$ is the reduced Planck constant $\hbar = h/2\pi$. It has the dimension of action= energy×time. One may search to proceed in the same

way by inventing a notion of "political energy" (or "social energy") which is 698 heuristically quite natural. However, in such an approach, the main challenge 699 is the development of a measurement methodology for such kind of "mental 700 energy." This is a complicated problem that would require further analysis 701 of empirical data and we postpone a discussion on it to future publications. 702 In this paper we proceed operationally, by devising the overall structure of 703 the quantum dynamics applied to party's decision making. At this stage we 704 are preliminarily considering some possible components that could consti-705 tute the social analogue of the Hamiltonian operator. Due to the novelty 706 of the application of quantum-like models to political science and decision 707 processes, the Hamiltonian is treated in the developed model merely as the 708 generator of a state dynamics. It is palpable that a mental state (individual 709 or collective) can evolve over time. In the quantum-like model states (pure) 710 are represented in the complex linear space and the dynamics is also assumed 711 to be linear. In the case of an isolated cognitive (or social, or political) sys-712 tem the state-evolution is described as unitary dynamics. Hamiltonian is the 713 generator of this unitary dynamics. Thus, in our setting the Hamiltonian 714 is in a sense a phenomenological entity. Nevertheless, the question of con-715 struction of a concrete Hamiltonians has to be addressed. In physics there 716 are two basic procedures of constructing Hamiltonians. The most known 717 and widely used is the one based on the Schrödinger quantization procedure. 718 One borrows from the classical physics (presented in the Hamiltonian formal-719 ism) the Hamiltonian function combined from kinetic and potential energies, 720 $\mathcal{H}(q,p) = \frac{p^2}{2m} + V(x)$, and then quantizes it by utilizing instead of classical coordinate and momentum variables, x, p, the corresponding quantum oper-721 722 ators, obtained by the rules postulated by Schrödinger: x is mapped into the 723 operator of multiplication by x and p is mapped into operator proportional 724 to the derivative. We recall that the state space of the Schrödinger repre-725 sentation is given by the space $H = L_2(\mathbf{R}^3)$ of square integrable functions. 726 Unfortunately, this approach is problematic, if possible at all, to generalize 727 to the applications in cognition and decision-making in social and political 728 studies. We do not have a classical Hamiltonian theory of social phenomena. 729 Roughly speaking, there is nothing to quantize. However, there is another 730 way to obtain Hamiltonians, which is more promising for our applications. In 731 quantum field theory, Hamiltonians are often constructed in the Fock space, 732 with the aid of operators of creation and annihilation of quanta (excitations 733 of a quantum field). Processes of creation and annihilation are meaningful 734 not only for quanta of physical fields, but even for quanta of information 735 fields having, e.g., mental, or social, or political interpretation. As the start-736 ing point, one can introduce operators of creation and annihilation a and a^* , 737

⁷³⁸ an introduction can be found in e.g. Miller (2008).

We now come back to the unitary evolution of the state of political preferences. The important case of such conservation of the initial preference is the case of the absence of the direct interaction between the parties $\mathcal{P}_1, \mathcal{P}_2$. This situation is described by the Hamiltonian of the form:

$$\mathcal{H}_0 = \mathcal{H}_{01} \otimes I + I \otimes \mathcal{H}_{02},\tag{12}$$

where $\mathcal{H}_{0j}: H_j \to H_j, j = 1, 2$, are Hamiltonians generating the preference 743 dynamics of parties, which do not try to negotiate or send other signals 744 to each other in favour or against a political cooperation. For example, 745 the leaders of \mathcal{P}_1 can have a meeting to discuss their own preferences to 746 non/cooperate with \mathcal{P}_2 (this sort of decision- making activity contributes to 747 \mathcal{H}_{01}). Of course, we understand well that this would be an idealization of the 748 real political situation. On a real political arena, the process of "signaling" 749 between parties and electorates cannot be ignored in principle. However, 750 even in physics the notion of an isolated system is an idealization of the real 751 physical situation, since the vacuum is as well contributing into the system's 752 dynamics. Nevertheless, such a separation of internally and externally gen-753 erated dynamics is a useful approach that can be used both in physics and 754 for social phenomena. 755

These free Hamiltonians can be defined with the aid of "number operators:"

$$N_j|i\rangle = i|i\rangle, i = 0, 1. \tag{13}$$

⁷⁵⁸ In the matrix form we have

$$N_j = \left(\begin{array}{cc} 0 & 0\\ 0 & 1 \end{array}\right). \tag{14}$$

Then $\mathcal{H}_{0j} = \omega_j N_j$, where the parameters ω_j determine the frequencies of oscillations.

We can observe that a combination of such non-interactive dynamics with the impact of a social environment can transfer the non-cooperation state $\Psi_0 = |00\rangle$ into the cooperation-state $\Psi = |11\rangle$. Roughly speaking, even if parties do not interact directly, the social environment, in particular, their own electorate may turn parties' preferences to cooperation, formalized in a joint coalition preference.

Remark 2. (Determination of the initial state) In QM to reconstruct a state, it suffices to know its coefficients in a proper basis. The absolute values of coefficients are given by probabilities (more specifically, by their square roots). The problem of the phase determination is more complex. In general,

one has to use the powerful machinery of the quantum tomography. However, 771 in the simplest case of a qubit-state, i.e., in the case of the two dimensional 772 state space, the phase can be easily determined by using probabilities for 773 measurements of two complementary observables. In decision-making such 774 observables are given by two complementary questions, i.e., questions which 775 exhibit non-commutative effects. Such effects have been well researched in 776 cognitive psychology (the so called "order effects"). Busemeyer et al. (2006), 777 Wang&Busemeyer (2013) and Khrennikova (2014b) actively explore these ef-778 fects and search to model such questions-observables in a quantum frame-779 work, with the aid of experimental data from different decision making con-780 texts. Khrennikova (2014a) performs a so called state reconstruction with 781 the aid of obtained statistics, to examine the applicability of Born's Rule 782 for psychological data. It should be noted that a real experimental realiza-783 tion of studies on political non/cooperation and more specifically coalition 784 formation (in the form of opinion polls) can be considered as a non-trivial 785 problem. 786

⁷⁸⁷ 4.2 Markovian quantum master equation in decision ⁷⁸⁸ making

One of the main distinguishing features of solutions of the Markovian quan-789 tum master equation is that here a non-stationary solution $\rho(t)$ can stabilize 790 to a stationary solution ρ_d representing the collective decision of all parties on 791 (non)cooperation. Opposite to Schrödinger's equation, the quantum master 792 equation can transform pure states into mixed states. This is a dynamical 793 equation in the space of density operators. Therefore the limiting strategy 794 determining the decision on cooperation can be a mixed state even if the 795 initial joint state of parties' preferences was a pure state. Thus in general it 796 determines only the probabilities of various pure strategies. For example, if 797 there are two parties $\mathcal{P}_1, \mathcal{P}_2$ then each state space is just qubit space. If, e.g., 798

$$\rho_d = P|00\rangle\langle 00| + Q|11\rangle\langle 11|, P + Q = 1, P, Q \ge 0, \tag{15}$$

then the probability that both parties will prefer non-cooperation (cooperation) equals to P (to Q). If, e.g.,

$$\rho_d = P|01\rangle\langle 01| + Q|10\rangle\langle 10|, P + Q = 1, P, Q \ge 0, \tag{16}$$

then the probability that \mathcal{P}_1 (\mathcal{P}_2) will prefer non-cooperation and \mathcal{P}_2 (\mathcal{P}_1) will prefer cooperation equals to P (to Q). We remark that the decision-states, e.g., (15), (16) are in some sense classical states. Superposition indeterminacy ⁸⁰⁴ which can be present in the initial state, say

$$\rho_0 = |\Phi^+\rangle \langle \Phi^+| = \frac{1}{2} [|00\rangle \langle 00| + |00\rangle \langle 11| + |11\rangle \langle 00| + |11\rangle \langle 11|], \qquad (17)$$

805 OT

$$\rho_0 = |\Psi^+\rangle\langle\Psi^+| = \frac{1}{2}[|01\rangle\langle01| + |01\rangle\langle10| + |10\rangle\langle01| + |10\rangle\langle10|]$$
(18)

disappears in the process of approaching the stationary state (here the Bell 806 states $|\Phi^+\rangle, |\Psi^+\rangle$ were defined in (4), (5)). This is the most typical scenarios 807 of the evolution driven by quantum Markov master equation. However, for 808 some classes of equations the decision state ρ_d can be a pure state as well, 809 e.g., $\rho_d = |00\rangle \langle 00|$ (the definite non-cooperation preference of both parties) or 810 $\rho_d = |11\rangle \langle 11|$ (the definite cooperation preference of both parties). Moreover, 811 the limiting stationary states can have non-zero off-diagonal elements. In 812 such a case the quantum(-like) indeterminacy is not resolved completely, see 813 section 4.3 for examples. 814

In the general case of n parties the social environment contributing to 815 parties' preference dynamics can be split, into three sub-environments, in a 816 similar way as performed in Bagarello (2015b): $\mathcal{R}_{j}, j = 1, ..., n$, represents 817 the preferences of the stable part of the electorate of the party \mathcal{P}_j ; \mathcal{R} rep-818 resents the preferences of the "unstable electorate", people who either have 819 no definite political preferences or even having some preferences can easily 820 change them. It is natural that \mathcal{R}_j acts only onto the preferences of \mathcal{P}_j , i.e., 821 it is represented by a dynamical generator R_j in the state space H_j . The 822 preferences of the unstable electorate \mathcal{R} have to be taken into account by all 823 political parties; in general these preferences are represented by a dynamical 824 generator R acting in the state space H. 825

The important special case is that the operator of unstable electorate \mathcal{R} 826 acts separately, but in the same way, to the preference state of each party. 827 Here we can invent an operator, say S, acting in the $2^{(n-1)}$ dimensional 828 Hilbert space (all H_i are isomorphic to it). Then the impact of the social 829 environment to the preference state of \mathcal{P}_i is generated by the sum of operators 830 $R_i + S$. One can say that, although the political parties do not try to negotiate 831 directly, they preferences are inter-related through the impact of the unstable 832 electorate. 833

We now write the Markovian approximation of the quantum master equation as expounded in Ohya and Volovich (2011):

$$\frac{d\rho}{dt}(t) = -\frac{i}{\gamma}[\mathcal{H}, \rho(t)] + L(\rho(t)), \qquad (19)$$

where \mathcal{H} is a Hermitian operator acting in H and L is a linear operator act-836 ing in the space of linear operators B(H) in H (such maps are often called 837 super-operators). Typically, the operator \mathcal{H} represents the state dynamics in 838 the absence of environment. However, in general \mathcal{H} can also contain contri-839 bution of the impact of the environment. The super-operator L has to map 840 density operators into density operators, i.e., it has to preserve Hermiticity, 841 positive definiteness, and the trace. These conditions constraint essentially 842 the class of possible generators L. By adding some additional condition the 843 so called complete positive definiteness, we obtain the possibility to describe 844 the class of generators precisely (see the book by Ohya and Volovich (2011) 845 for technical details.) They have the form: 846

$$L\rho = \sum_{k} \alpha_{k} [C_{k}\rho C_{k}^{\star} - (C_{k}^{\star}C_{k}\rho + \rho C_{k}^{\star}C_{k})/2] = \sum_{k} \alpha_{k} [C_{k}\rho C_{k}^{\star} - \frac{1}{2} \{C_{k}^{\star}C_{k}, \rho\}],$$
(20)

where the symbol C^{\star} is used to denote the adjoint operator of C. Hence, the operators R_j are of the form (20), where the operators C_k acts in the (n-1)qubit space, and the operator R is also of this form with the operators C_k acting in the n(n-1)-qubit space. Operators C_k encode the special features of a social environment.

4.3 Numerical simulation

In the case when only two parties are dominating a political arena, the pref-853 erence dynamics is represented in a four dimensional Hilbert space. The 854 density matrix has 16 elements and besides the problem of numerical sim-855 ulation, the more technical problem of visualization arises. At this stage, 856 we search to make the present pilot modeling of the political preference dy-857 namics with the aid of the quantum Markov equation not too complex. For 858 illustrative purpose, we proceed as in Khrennikova et al. (2014), by reducing 859 the dimension of the state space to two. 860

In other words, we consider the two dimensional sub-model of the general four dimensional model presented earlier, corresponding to the political context, in which two parties can either both agree to cooperate or noncooperate in respect to a coalition formation. We reduce the modeling task to the subspace with the basis $e_1 = |00\rangle$, $e_2 = |11\rangle$. It is assumed that at the beginning (i.e., before interaction with the "electorate environment") the two parties were in superposition of the basic states:

$$|\psi\rangle = c_1|00\rangle + c_2|11\rangle, \ |c_1|^2 + |c_2|^2 = 1.$$
 (21)

⁸⁶⁸ We also assume that in the absence of interaction with the "electorate bath"

the state of preferences fluctuates driven by the Schrödinger's dynamics with the Hamiltonian

$$\mathcal{H} = \left(\begin{array}{cc} 0 & \lambda \\ \lambda & 0 \end{array}\right),\tag{22}$$

where $\lambda > 0$ is the parameter describing the intensity of flipping from $|00\rangle$ to 871 $|11\rangle$ and vice versa. The simplest perturbation of such Schrödinger equation 872 is given by the Lindblad term of the form:: $C\rho C^{\star} - (C^{\star}C\rho + \rho C^{\star}C)/2 =$ 873 $C\rho C^{\star} - \frac{1}{2} \{C^{\star}C, \rho\}$. We select the operator C by using its matrix in the 874 basis $e_1, e_2 : C = \begin{pmatrix} 0 & \lambda \\ 0 & 0 \end{pmatrix}$, hence, $C^{\star} = \begin{pmatrix} 0 & 0 \\ \lambda & 0 \end{pmatrix}$, where the parameter 875 λ which is responsible for the interaction between preferences of the two 876 political parties and the electorate is selected the same as in the Hamiltonian 877 (22), just for simplicity of illustration. 878

We comment briefly on the choice of the operator *C*. This operator combines the preferences of the two parties. Hence, it represents the unstable part of the electorate, which demands are contributing to the decisions of both parties. In this model, for simplicity, we did not take into account the "separate electorates" of these parties.

Thus, we proceed with the quantum master equation:

$$\frac{d\rho}{dt}(t) = -i[\mathcal{H},\rho(t)] + C\rho(t)C^{\star} - \frac{1}{2}\{C^{\star}C,\rho(t)\}.$$
(23)

We present dynamics corresponding to symmetric superposition,

$$c_1 = c_2 = \frac{1}{\sqrt{2}},\tag{24}$$

see Fig. 1, and to a strongly asymmetric superposition

$$c_1 = \sqrt{0.9}, c_2 = \sqrt{0.1},\tag{25}$$

⁸⁸⁷ see Fig. 2.

In this dynamics of parties' preferences for establishing or not-establishing 888 a particular political coalition (formally, entering a coalition agreement), the 889 interaction with the "unstable electorate environment" plays a pivotal role. 890 Strong oscillations of the state dynamics that persist in the absence of an in-891 teraction with the "electorate bath", are speedily damped under the influence 892 of "electorate bath" and the matrix elements $\rho_{11} \equiv \rho_{00,00}, \rho_{22} \equiv \rho_{11,11}, \rho_{12} \equiv$ 893 $\rho_{00,11}$, and $\bar{\rho}_{12} = \rho_{21} \equiv \rho_{11,00}$ stabilize to some definite values. This entails 894 that the preferences of the parties, which were in a fluctuating superposition 895 of choices stabilize under the impact of the "electorate bath." 896



Figure 1: Stabilization of the matrix elements of the density operator; the initial state is symmetric superposition of state $|00\rangle$ and $|11\rangle$.



Figure 2: Stabilization of the matrix elements of the density operator; the initial state is stronly asymmetric superposition of states $|00\rangle$ and $|11\rangle$.

In the $\rho_{\rm lim}$ the elements $\rho_{00,00} \approx 0.6, \rho_{11,11} \approx 0.4$ determine the corre-897 sponding probabilities for the particular choices of the parties, e.g. $p(00) \approx$ 898 0.6, p(11) = 0.4 on the Fig.4.3. For illustrative purposes, we selected an in-899 teraction of political parties with the electorate bath, such that both initial 900 states, (24) and (25), generate the same limiting distribution of preferences 901 (in fact, this state can be generated from any initial state). Under the pres-902 sure of the social environment, the parties started with a superposition (24) 903 increase the 00-preference and the parties that started with a superposition 904 (25) decrease this preference. The resulting distribution of choices is the 905 same for both political contexts (with the initial state (24) and with the 906 initial state (25)). 907

The results presented in this section were obtained with the aid of a numerical simulation by using the standard package of "Matematica" software.

5 Concluding remarks

This paper extends the methods of quantum cognitive psychology and decisionmaking to the field of political science. The presented model takes into account the preferences and aspirations of the political parties, their members and their electorate. The author hopes that this contribution will further strengthen the area of research related to interdisciplinary applications of models borrowed quantum formalism and information theory to decision making processes on the political arena.

On the real political arena the state of preferences of a group of political parties is a cocktail of power seeking, policy seeking as well as additional economic and financial factors, non-separably coupled to their decision-making outcomes. In this note, following the methodological approach elaborated in Zorn and Smith (2011), quantum information entanglement is used, to represent the non-separability of all aforementioned factors and their intrinsic multi-parties coupling.

In line with the models used by Asano et al. (2011) and Asano et al. 925 (2012), we adopted the open quantum system theory, to model the process 926 of establishing the so called political behavioral equilibrium, the final deci-927 sion state of (non)cooperation. In this equilibrium state, the parties either 928 firmly decide to establish a political coalition, or continue to pursue a political 929 opposition. In the setting of this exposition, the electorate bath, surrounding 930 the party, plays a pivotal role. An important cluster, constituting the elec-931 torate bath, is the so called unstable part of the electorate. These undecided 932 voters can be categorised by an absence of a definite political ideology and 933 hence, make their decisions "irrationally" from the viewpoint of the neoclas-934

sical economics theories. Nevertheless, the support of this group of voters
can play an imperative role with far-reaching ramifications for the goals and
actions of political parties.

One of the main findings of the introduced theory of open quantum sys-938 tems, is that the obtained equilibrium state exists for a wide class of quantum 939 Markov dynamics. At the same time, when applying the presented model, 940 one should bear in mind that as always is the case in quantum theory, this 941 equilibrium is of a stochastic nature. As such, the quantum approach is 942 not functioning as a deterministic model, for predicting a particular deci-943 sion outcome. Another important characteristic of the model is related to 944 the possibility to describe a class of quantum Markov dynamics, where the 945 final decision state does not depend on the state of initial preferences, see 946 section 4.3 for some concrete examples. At the first sight, this feature of 947 the proposed dynamical model might be considered as unrealistic. One may 948 doubt that such a class of dynamical systems, capturing a dramatic departure 949 from the initial decision-making states of the parties to their final actions, 950 would correspond to the real world process of political coalition formation. 951 Surprisingly, on the real political arena in many countries, one can find nu-952 merous examples of (non)cooperation decisions that match well with class of 953 decision-making dynamics. We highlighted briefly some cases of grand coali-954 tions, discussed in mass-media (BBC News 2010; BBC News, 2013; Spiegel 955 Online International, 2013; Financial Times, 2012). Coalitions between ide-956 ologically distant parties also periodically occurred in European politics. A 957 notable example is the sudden coalition between the opposition parties Fine 958 Gael and Labour in Ireland covered in The Guardian (2011), as well as more 959 recently, the coalition between Liberal Democrats and the Conservatives in 960 the UK (BBC, 2010) and finally, a very recent case of a Right Wing party 961 joining Syriza in Greece discussed in the Wall Street Journal (2015). This list 962 could be extended with other examples, including the cases of ideologically 963 connected parties that did not manage to establish a coalition agreement. 964

In this work we were primarily interested in a class of quantum dynamical systems, producing a unique steady state, independent of the initial conditions. In general, a quantum dynamical system can have a manifold of steady states, corresponding to different initial conditions.

Future studies on the dynamics of coalitions and alliances between political parties will be based on a more extensive analysis of coalition (non)formation cases, in order to establish the concrete parameters for the devised dynamical operators. The cases of "Grand Coalitions" and other exotic coalitions would benefit from further studies, to refine the proposed model. At last, the choice to form a coalition is not the end of the story. The "collapse" of the coalitions, including the success or failure of post-coalition members ⁹⁷⁶ would benefit from a more in-depth exploration, to capture this process by ⁹⁷⁷ a suitable dynamical model.

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