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# Surface Topography: Metrology and Properties



## PAPER

# 3D tooth microwear texture analysis in fishes as a test of dietary hypotheses of durophagy

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## Abstract

An understanding of how extinct animals functioned underpins our understanding of past evolutionary events, including adaptive radiations, and the role of functional innovation and adaptation as drivers of both micro- and macroevolution. Yet analysis of function in extinct animals is fraught with difficulty. Hypotheses that interpret molariform teeth in fishes as evidence of durophagous (shell-crushing) diets provide a good example of the particular problems inherent in the methods of functional morphology. This is because the assumed close coupling of form and function upon which the approach is based is weakened by, among other things, behavioural flexibility and the absence of a clear one to one relationship between structures and functions. Here we show that ISO 25178-2 standard parameters for surface texture, derived from analysis of worn surfaces of molariform teeth of fishes, vary significantly between species that differ in the amount of hard-shelled prey they consume. Two populations of the Sheepshead Seabream (*Archosargus probatocephalus*) were studied. This fish is not a dietary specialist, and one of the populations is known to consume more vegetation and less hard-shelled prey than the other; this is reflected in significant differences in their microwear textures. The *Archosargus* populations differ significantly in their microwear from the specialist shell-crusher *Anarhichas lupus* (the Atlantic Wolffish). Multivariate analysis of these three groups of fishes lends further support to the relationship between diet and tooth microwear, and provides robust validation of the approach. Application of the multivariate models derived from microwear texture in *Archosargus* and *Anarhichas* to a third fish species—the cichlid *Astatoreochromis alluaudi*—successfully separates wild caught fish that ate hard-shelled prey from lab-raised fish that did not. This cross-taxon validation demonstrates that quantitative analysis of tooth microwear texture can differentiate between fishes with different diets even when they range widely in size, habitat, and in the structure of their trophic apparatus. The approach thus has great potential as an additional tool for dietary analysis in extant fishes, and for testing dietary hypotheses in ancient and extinct species.

## 1. Introduction

In the context of the past, functional morphology is widely used as an approach to inferring how ancient animals functioned, shedding light on aspects of behaviour and interactions with the environment. An understanding of function also underpins our understanding of past evolutionary events, including adaptive radiations, and the role of functional innovation and adaptation as drivers of both micro- and macroevolutionary patterns and processes. This approach to

function, of course, requires the relationship between form and function to be well understood, and this has been the goal of decades of functional and biomechanical analysis of extant animals. But structure and function can be less well coupled than is assumed by uncritical application of functional morphology to extinct organisms. Expression of morphological traits is influenced by a variety of factors, including some that are closely linked to environmental conditions (responses to selection, phenotypic plasticity and non-selective effects; e.g. Langerhans *et al* 2003, Binning

and Chapman 2010, Binning *et al* 2010), and others that are not directly linked (genetic and developmental factors). In extant fishes, these morphological traits form the basis of models with which to estimate prey capture and prey processing efficiency, but prey availability (as a function of predation or seasonality) and biological interactions, like intraspecific and interspecific competition for food resources, also shape the diet.

Although some studies of fishes have highlighted interspecific differences in diet associated with diverging morphologies (Wainwright and Richard 1995, Bellwood 2003, Cochran-Biederman and Wine-miller 2010), at the inter-population scale, diet and ecomorphology do not necessarily match (Cutwa and Turingan 2000, Binning and Chapman 2010). Such variability, along with the observed many-to-one mapping of form to function in fishes (i.e. the fact that several morphological combinations have similar functional properties Wainwright *et al* 2005), explains why a direct link between morphological features and feeding performance (Wainwright and Richard 1995) or observed diet (Binning *et al* 2009) has rarely been observed.

For all these reasons, analysis of feeding and diet in fishes provides a widely cited, classic illustration of the pitfalls and limitations of functional morphology applied to extinct organisms (Lauder 1995), and how, without the possibility of direct observation or experimental evidence of function, inferences of specific roles for particular fossil structures are likely to be weak. Here we explore the relationship between diet and tooth microwear in shell crushing fishes in order to test the hypothesis that 3D microwear texture analysis can provide a proxy for direct observation of diet and feeding in fossil fishes, as suggested by Purnell *et al* (2012). Shell crushing in fishes provided one of Lauder's cautionary tales (1995), and there is clear evidence of mismatch between the consumption of shell-bearing food items and apparent morphological specialisation for shell crushing (Cutwa and Turingan 2000, Binning and Chapman 2010). This phenomenon—that morphological specialists often behave like generalists—is generally referred to as Liem's paradox (Liem 1973, 1980), particularly in the context of dietary preferences of fishes.

The extant sheepshead seabream (*Archosargus probatocephalus*, Walbaum 1792) exemplifies many of the difficulties of inferring diet from functional morphological analysis. This species exhibits anatomical traits consistent with the hypothesis that it is a specialist shell-crusher (Hernandez and Motta 1997 and references therein), but in some ecosystems sheepshead are the main plant consumer (Castillo-Rivera *et al* 2007), and the species is known to exhibit significant between-population differences in diet in lagoons from the same region (Cutwa and Turingan 2000).

Although quantitative analysis of dental microwear is a technique widely used for dietary

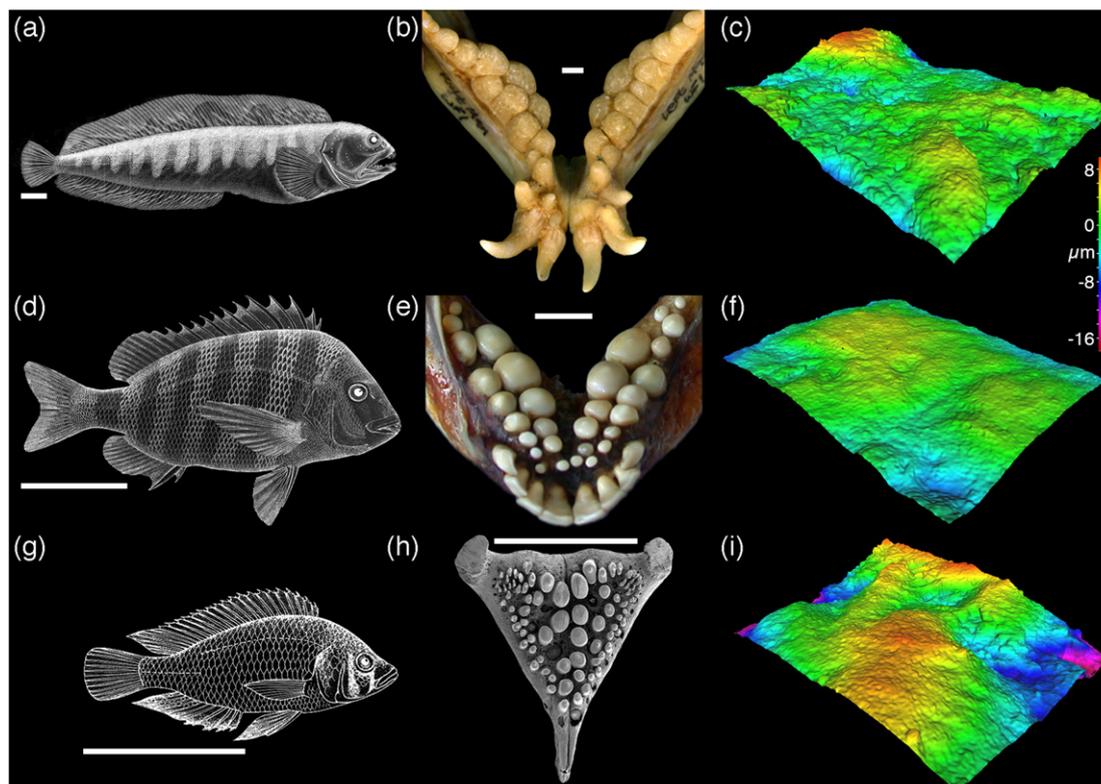
discrimination in fossil and extant mammals (e.g., Walker *et al* 1978, Scott *et al* 2005, Mainland 2006, Gill *et al* 2014), it has rarely been applied to fishes. Purnell *et al* (2006, 2007) conducted a 2D analysis of microwear, based on operator scoring of microwear features, of extant and fossil threespine sticklebacks, *Gasterosteus aculeatus* (Purnell *et al* 2006), revealing that despite biomechanical and developmental differences between actinopterygian and mammalian teeth (e.g. polyphyodonty, non-occlusal tooth contact) the method provided a reliable guide to discriminate between sticklebacks from different trophic niches. The only previous application of 3D texture analysis of tooth microwear in fishes is a proof of concept study of oral and pharyngeal teeth in cichlids (Purnell *et al* 2012). Nevertheless, fishes represent good models with which to test microwear approaches for a number of reasons. They do not employ digestive strategies similar to those of the ruminants (returning the bolus back into the mouth to mechanically process it several times) (see Mountfort *et al* 2002), and while some fishes spit out broken shells as they process their food, they do it before further ingestion, hence there is no contact between teeth and enzymes from the post-pharyngeal digestive tract. Food items are thus the main influence on dental surfaces in the fishes.

Our objective with this study is to explore the potential for quantitative 3D texture analysis of tooth microwear to discriminate between populations of wild-caught fishes with differences in diet by testing the following hypotheses:

**Hypothesis 1:** Within a species, dental microwear texture analysis can discriminate between two morphologically similar populations which have different diets. This is tested through analysis of two populations of *Archosargus probatocephalus* that differ in their degree of herbivory and durophagy.

**Hypothesis 2:** Analysis of dental microwear texture can discriminate between a specialised shell-crusher and more opportunistic generalists which consume some hard-shelled prey. This is tested by analysis of teeth from wild-caught *Anarhichas lupus* (the Atlantic wolffish), and from the two *Archosargus* populations.

We further test the general validity of the hypothesis that analysis of dental microwear texture provides reliable evidence of diet through a cross-taxon validation, assessing whether microwear texture correlates with diet across different environments (freshwater, shallow marine, deeper marine) body sizes, and tooth locations (oral and pharyngeal jaws). This is achieved by using multivariate analyses of microwear texture in *Archosargus* and *Anarhichas* to predict the diet of individuals of the cichlid *Astatoreochromis alluaudi*. These individuals have known dietary differences, so comparison of the known diet and that predicted by the



**Figure 1.** Teeth and microwear surfaces in the specialised durophage *Anarhichas* (a)–(c), a generalist *Archosargus* from the more durophagous PC-duro population (d)–(f) and a durophagous *Astatoreochromis*, one of the larger wild-caught specimens (g)–(i). (a), (d), (g) Overall body form of the three species (not the individuals analysed); scale bars approximately 10 cm. (b), (e), (h) Lower jaws (b), (e), dentaries, specimens LEIUG 123294, LEIUG 123286; (h), lower pharyngeal jaw, specimen RMNH.PISC 37870); scale bars 5 mm. (c), (f), (i) Digital elevation models showing levelled surface data, measured areas are  $146 \times 111 \mu\text{m}$ ; (c) specimen LEIUG 123291, (f) specimen LEIUG 123286, (i) specimen RMNH.PISC 37870. See text for details of data processing. (a), (d), (g) from Wikimedia commons under a CC BY-SA 3.0 license.

multivariate model provides robust validation of the method.

## 2. Method and materials

### 2.1. Populations sampled

We sampled tooth microwear texture from six groups of fishes that differ in their diet (table 1), and environments (bathymetry, temperature, salinity). In all fishes, however, the functional surfaces of the jaws are composed of multiple molariform or bunodont teeth—a tooth shape that is typically interpreted as indicative of a durophagous diet in fossil fishes (e.g. Jose Poyato-Ariza and Didier Bermudez-Rochas 2009, Goatley *et al* 2010). Our samples include: two populations of sheepshead seabream, *Archosargus probatocephalus* (Teleostei, Sparidae,  $n = 6$  individuals from each population; premaxillae sampled); one group of Atlantic wolffish, *Anarhichas lupus* (Teleostei, Anarhichadidae,  $n = 4$  individuals; premaxillae and vomers sampled); two samples of wild-caught and one laboratory-reared Alluaud's haplo, *Astatoreochromis alluaudi* (Teleostei, Cichlidae,  $n = 3$  for each sample; lower pharyngeal jaws). Figure 1 shows body form, tooth

morphology and the nature of worn tooth surfaces in the three species studied.

The two populations of *Archosargus* are from the Indian River lagoon (previously studied by Cutwa and Turingan 2000) and were provided by Dr Ralph Turingan. One population (IR-herb) comes from the Southern part of the lagoon, the other (PC-duro) comes from the northern area, close to Port Canaveral. Both populations are dietary generalists but the IR-herb population consumes a significantly lower proportion of hard-shelled prey (such as bivalves) and a higher proportion of plant material (mean volumetric contributions: PC-duro—42.55% hard shelled prey, 18.31% plant material; IR-herb—24.58% hard shelled prey, most swallowed whole, 35.70% plant matter) (Cutwa and Turingan 2000, Turingan pers. comm. 2008). There are no clear morphological differences between populations (Cutwa and Turingan 2000).

The *Anarhichas* studied were collected from local processors in Aberdeen and sent to Leicester in 2005. Gut content data was not available but in natural conditions *Anarhichas* from the North Sea always incorporates a large proportion (circa 70% or more) of crushed invertebrates in its diet (Liao and Lucas 2000a, 2000b). The spawning season for wolffish

**Table 1.** Extant fish samples from which tooth surfaces were compared, with references regarding their trophic preferences. RMNH = Naturalis Museum, Leiden; LEIUG, University of Leicester Geology collections.

Taxon	Population/sub-group	Samples	Diet
<i>Archosargus probatocephalus</i>	Indian River lagoon (Florida); IR-herb	LEIUG 123278 to LEIUG 123283	Generalist, mostly herbivorous (Cutwa and Turingan 2000)
	Port Canaveral lagoon (Florida); PC-duro	LEIUG 123284 to LEIUG 123289	Generalist, significantly more durophagous than the Indian River population (Cutwa and Turingan 2000)
<i>Anarhichas lupus</i>	North Sea (Aberdeen), less worn teeth	LEIUG 123290 to LEIUG 123293	Specialised shell-crusher (Liao and Lucas 2000a, 2000b)
<i>Astatoreochromis alluaudi</i>	laboratory-raised (soft diet)	RMNH.PISC 37864, RMNH.PISC 37865, RMNH.PISC 37866	Soft food: minced heart, liver vitamins and tetramin flakes (Smits <i>et al</i> 1996, Purnell <i>et al</i> 2012)
	Small wild ('smaller wild' of Purnell <i>et al</i> 2012)	RMNH.PISC 37867, RMNH.PISC 37868, RMNH.PISC 37869	Molluscivorous (Hoogerhoud 1987, Purnell <i>et al</i> 2012)
	Large wild ('standard-length-equivalent-wild' of Purnell <i>et al</i> 2012)	RMNH.PISC 37870, RMNH.PISC 37871, RMNH.PISC 37872	Molluscivorous (Hoogerhoud 1987, Purnell <i>et al</i> 2012)

is followed by the loss and replacement of the whole dentition (Liao and Lucas 2000b); the material available included individuals with heavily damaged tooth surfaces (assumed to be teeth that have accumulated wear for the greater part of the annual replacement cycle), and other individuals with teeth that are less worn, retaining a visual aspect similar to that seen on the dental surfaces of *Archosargus*. In order to ensure fair comparisons between populations (*Archosargus* and *Astatoreochromis* shed teeth more frequently than *Anarhichas*) only the less worn teeth are included in this analysis (for details see Darras 2012).

The studied lower pharyngeal jaws of *Astatoreochromis* come from three populations: one sample was laboratory-raised with a controlled, soft diet of minced heart and liver, with vitamins and Tetramin flakes (sample numbers RMNH.PISC 37864, 37865, 37866, 'laboratory'). The other two samples were captured in Mwanza Gulf and Kissenda Bay of Lake Victoria, and are separated based on their size compared to the laboratory sample: specimens RMNH.PISC 37870, 37871, and 37872 are similar in standard length but have larger lower pharyngeal jaws ('large wild'), while specimens RMNH.PISC 37867, 37868, and 37869 ('small wild') have lower pharyngeal jaws of similar dimensions but smaller standard length compared to the lab-raised fish. The same samples were used by Purnell *et al* (2012).

In some respects, our small sample sizes are not ideal, but importantly they allow us to test the discriminatory power of dental texture microwear analysis in situations where only a few specimens are available, and this is a real issue for many palaeodietary studies because of the scarcity of well-preserved fossil material. Furthermore, if our methods can provide reliable information from few individuals, this has the potential to reduce the impact on wild populations of sampling for dietary analysis.

## 2.2. Surface texture data acquisition

Because the translucency of enameloid creates difficulties for data capture using focus variation microscopy, surface data from *Astatoreochromis* were acquired directly from gold-coated teeth (Purnell *et al* 2012), and all other data were acquired from high fidelity surface replicas. These were prepared using Coltène-whaledent Speedex light body polyvinylsiloxane moulding compound, and EpoTek 320 LV black epoxy. Both were mixed and applied following the manufacturer's instructions. Analysis of accuracy and precision of moulding compounds indicates that replicas made this way compare favourably with the most accurate and precise moulding compounds, with very small absolute differences in parameter values between replica and original (Goodall *et al* 2015).

High-resolution 3D surface data were captured, following the methods of Purnell *et al* (Purnell *et al* 2012, 2013), with an Alicona Infinite Focus microscope G4b (IFM; Alicona GmbH, Graz, Austria; software version 2.1.2), using x100 objective to give a field of view of  $146 \times 111 \mu\text{m}$ . The Alicona Infinite Focus microscope G4b has a CCD of  $1624 \times 1232$  pixels. In theory, for a field of view of  $146 \mu\text{m}$ , this equates to a lateral sampling distance of  $0.09 \mu\text{m}$ , but the limits imposed by the wavelength of white light mean that lateral optical resolution is actually about  $0.35\text{--}0.4 \mu\text{m}$ . For all samples, vertical resolution was set at 20 nm, and the lateral resolution factor for the IFM was set at 0.3. Exposure and contrast settings were manually adjusted to maximise data quality. After manual deletion of defects, point clouds were exported as sur files and imported into SurfStand (software version 5.0). Surfaces were then automatically treated by levelling the surface and removing gross tooth form with a 2nd order polynomial function, and applying a robust spline filter, with a nesting index of 0.025 mm. The resulting scale limited roughness surface was then used for calculation of ISO 25178-2 standard

parameters (ISO 25178-2 2012). More details of materials and techniques can be found in the supplementary material, including short definitions of ISO parameters (table S1).

### 2.3. Statistical analysis

For *Archosargus* analyses were based on a maximum of five samples (surface data collected from different teeth) per individual. Using multiple samples to a degree mitigates the effects of small sample numbers but there is a risk that assumptions of independence of observations are violated. In this case, however, the risk is small because the independence of texture data from samples within an individual is comparable to the independence of data from individuals within a population (based on pairwise comparisons of samples; see supplementary material): in both cases fewer than 50% of pairwise comparisons yield significant correlations. Furthermore, it is unlikely that our approach is significantly inflating the risk of type I errors (incorrectly rejecting the null hypothesis of no difference between populations) because analysis using a mean value for each individual yielded similar results to those presented below (see supplementary material).

For tests of the hypotheses that microwear texture differs between populations, between three and five samples per individual were used so that no single specimen would overweight the analysis, and to limit the risk of over-dispersion. Similar sampling was used for *Anarhichas*. Roughness parameters exhibiting non-normal distributions (Shapiro-Wilks test) were log-transformed; if they still deviated from normality they were rank-transformed. Rank-transformation does not provide normally distributed data but allows parametric testing of a power equivalent to that of non-parametric alternatives (Conover and Iman 1981, Zimmerman 2012). All analyses were performed with JMP 11 (SAS Institute, Cary, NC, USA).

Data were explored using t-tests, analysis of variance (ANOVA), correlations, pairwise testing (Tukey HSD and pairwise t-tests), principal components analysis (on correlations; PCA) and linear discriminant analyses (LDA). Where homogeneity of variance tests (Bartlett and Levene tests) revealed evidence of unequal variances, Welch ANOVA was used. The significance of LDA was assessed using Wilks' Lambda.

## 3. Results

### 3.1. Testing hypothesis 1: microwear texture does not differ between populations of *Archosargus*

Comparing tooth surface textures in *Archosargus* from the IR-herb and PC-duro populations reveals that 8 ISO parameters differ significantly between populations (table 2). These are Sdq, Sdr, Vmc, Vvv, Sk, Smr1, Sa and Vvc/Vmc, most of which capture aspects of the aerial material ratio of the roughness surface—

**Table 2.** Results of t-tests comparing the two populations of *Archosargus*. Texture parameters showing a significant difference and the associated information highlighted in bold. O: test performed on the original, untransformed data; L: test performed on log-transformed data; R: test performed on rank-transformed data. <sup>w</sup> indicates Welch t-test.

Parameter	Data	t-statistic	df	p-value
Sq	R	-1.8827	56	0.0649
Ssk	R	0.1387	56	0.8902
Sku	R	1.8498 <sup>w</sup>	52.779	0.0699
Sp	L	0.9384	56	0.3526
Sv	R	-0.4943	56	0.6230
Sz	R	-0.8297 <sup>w</sup>	50.883	0.4106
Sds	L	-1.5503	56	0.1267
Str	R	-0.8576	56	0.3948
Sal	R	-0.5725 <sup>w</sup>	48.245	0.5696
<b>Sdq</b>	<b>R</b>	<b>-2.2048</b>	<b>56</b>	<b>0.0316</b>
Ssc	R	0.644	56	0.5221
<b>Sdr</b>	<b>R</b>	<b>-2.6214</b>	<b>56</b>	<b>0.0113</b>
Vmp	O	-0.5507 <sup>w</sup>	45.589	0.5846
<b>Vmc</b>	<b>R</b>	<b>-2.3684</b>	<b>56</b>	<b>0.0213</b>
Vvc	R	-1.7410 <sup>w</sup>	51.336	0.0877
<b>Vvv</b>	<b>O</b>	<b>2.5001</b>	<b>56</b>	<b>0.0154</b>
Spk	O	0.6018 <sup>w</sup>	46.75	0.5502
<b>Sk</b>	<b>R</b>	<b>-2.2193</b>	<b>56</b>	<b>0.0305</b>
Svk	O	1.7045	56	0.0938
<b>Smr1</b>	<b>O</b>	<b>-2.9426</b>	<b>56</b>	<b>0.0047</b>
Smr2	O	-1.6863	56	0.0973
Ssz	L	-0.2057 <sup>w</sup>	38.671	0.8381
<b>Sa</b>	<b>R</b>	<b>-2.0956</b>	<b>56</b>	<b>0.0407</b>
<b>Vvc/Vmc</b>	<b>O</b>	<b>-3.0151</b>	<b>56</b>	<b>0.0039</b>

Sdq is the root mean square gradient of the surface; Sdr, the developed interfacial area ratio, is the percentage difference between the surface area of the texture compared to the cross sectional area of the surface; Vmc is volume of material making up the core of the surface; Vvv is the void volume of the valleys; Sk, core roughness depth, is the peak to valley depth of the surface with the predominant peaks and valleys removed; Smr1, the surface bearing area ratio, is the proportion of the surface which consists of peaks above the core material; Sa is the average height of surface; Vvc/Vmc is the ratio of the void volume to the material volume of the core of the surface (see table S1 for short definitions of all parameters). These results allow us to reject the null hypothesis that tooth microwear texture does not differ between two morphologically similar populations of a species that have different diets.

### 3.2. Testing hypothesis 3: microwear texture does not differ between specialist and opportunist shell crushers

Whether dental microtexture records qualitative (shell-crusher versus herbivore) or quantitative differences (proportion of crushed, hard-shelled prey in the diet) was tested by comparing the two populations of *Archosargus* with individuals of *Anarhichas* (with twice as much crushed, hard-shelled prey in its typical diet compared to the PC-duro population of *Archosargus*).

**Table 3.** Results of ANOVA comparing the more herbivorous (IR-herb) and durophagous (PC-duro) populations of *Archosargus probatocephalus* and the shell crushing specialist *Anarhichas lupus*. Texture parameters showing a significant difference and the associated information highlighted in bold. O: test performed on the original, untransformed data; L: test performed on log-transformed data; R: test performed on rank-transformed data. <sup>w</sup> indicates Welch ANOVA.

Parameter	Data	F	df	p-value
<b>Sq</b>	R	<b>47.335<sup>w</sup></b>	<b>2, 47.486</b>	<b>&lt;0.0001</b>
Ssk	R	1.5177	2, 72	0.2262
<b>Sku</b>	R	<b>45.5724<sup>w</sup></b>	<b>2, 46.624</b>	<b>&lt;0.0001</b>
<b>Sp</b>	L	<b>13.0876<sup>w</sup></b>	<b>2, 34.054</b>	<b>&lt;0.0001</b>
<b>Sv</b>	R	<b>48.5575<sup>w</sup></b>	<b>2, 47.614</b>	<b>&lt;0.0001</b>
<b>Sz</b>	R	<b>39.9498<sup>w</sup></b>	<b>2, 45.932</b>	<b>&lt;0.0001</b>
Sds	L	1.6690	2, 72	0.1956
Str	R	1.8065	2, 72	0.1716
Sal	R	1.7186 <sup>w</sup>	2, 34.204	0.1944
<b>Sdq</b>	R	<b>58.2821<sup>w</sup></b>	<b>2, 47.832</b>	<b>&lt;0.0001</b>
<b>Ssc</b>	R	<b>35.4044<sup>w</sup></b>	<b>2, 46.083</b>	<b>&lt;0.0001</b>
<b>Sdr</b>	R	<b>41.3338<sup>w</sup></b>	<b>2, 46.689</b>	<b>&lt;0.0001</b>
<b>Vmp</b>	R	<b>86.5841<sup>w</sup></b>	<b>2, 47.306</b>	<b>&lt;0.0001</b>
<b>Vmc</b>	R	<b>16.6877</b>	<b>2, 72</b>	<b>&lt;0.0001</b>
<b>Vvc</b>	R	<b>21.2352</b>	<b>2, 72</b>	<b>&lt;0.0001</b>
<b>Vvv</b>	R	<b>17.6099</b>	<b>2, 72</b>	<b>&lt;0.0001</b>
<b>Spk</b>	R	<b>85.5723<sup>w</sup></b>	<b>2, 47.466</b>	<b>&lt;0.0001</b>
<b>Sk</b>	R	<b>17.4150</b>	<b>2, 72</b>	<b>&lt;0.0001</b>
<b>Skv</b>	R	<b>20.9252</b>	<b>2, 72</b>	<b>&lt;0.0001</b>
<b>Smr1</b>	O	<b>12.6061<sup>w</sup></b>	<b>2, 35.978</b>	<b>&lt;0.0001</b>
Smr2	R	1.2609	2, 72	0.2896
<b>S5z</b>	L	<b>20.4487<sup>w</sup></b>	<b>2, 31.178</b>	<b>&lt;0.0001</b>
<b>Sa</b>	R	<b>21.666</b>	<b>2, 72</b>	<b>&lt;0.0001</b>
<b>Vvc/Vmc</b>	O	<b>10.234<sup>w</sup></b>	<b>2, 35.586</b>	<b>0.0003</b>

Results indicate significant differences between trophic categories for the majority of textural parameters (table 3), with the few parameters that do not distinguish between the trophic categories (Ssk, Sds, Str, Sal, Smr2) also failing to show differences between the two populations of *Archosargus* (see above).

Pairwise t-tests reveal that nine parameters differ significantly ( $p < 0.05$ ) between the three trophic categories: Sku, Sdq, Sdr, Vmc, Vvv, Sk, Smr1, Sa and Vvc/Vmc (except for Sku these are the same parameters that differ in the ANOVA of the two *Archosargus* populations). Of these, all but three parameters exhibit a trend of increase in value with increasing durophagy (lowest in *Archosargus* IR-Herb, and highest in *Anarhichas*; for Sku, Smr1 and Vvc/Vmc PC-duro have the lowest values). The more conservative HSD test finds fewer three way differences between the trophic categories (Sdr and Vvc/Vmc) but separates *Anarhichas* from the two *Archosargus* populations on all other parameters that differ. These results allow us to confidently reject the null hypothesis that microwear texture does not differ between specialist and opportunist shell crushers, with clear pairwise differences between trophic groups that correspond to the amount of shelly prey in their diet.

### 3.3. Multivariate models and cross taxon assignment to trophic groups

Principal components (PCA) and LDA were performed on the data from the three trophic groups of *Archosargus* and *Anarhichas*. Only the texture parameters that exhibit pairwise differences were included: Sku, Sdq, Sdr, Vmc, Vvv, Sk, Smr1, Sa and Vvc/Vmc. For the LDA three trophic categories were used—herbivorous generalist (IR-herb *Archosargus*), durophagous generalist (PC-duro *Archosargus*) and specialised durophage (*Anarhichas*).

PCA of these nine parameters (figure 2) reveals separation of trophic categories in a dietary space defined by PC axes 1 and 2, which together explain 85% of the variance. Sdq, Sdr, Vmc, Vvv, Sk and Sa load most heavily on the PC1 axis (0.87–0.95), while Sku (−0.55) and Smr1 and Vvc/Vmc weight onto PC2 (0.89, 0.88). Ranking fish populations from most (*Anarhichas*) to least durophagous (*Archosargus* IR-herb), PC1 is significantly correlated with diet ( $R_s = 0.62$ ,  $P < 0.0001$ ), and although there is a degree of overlap between categories, they occupy different areas of the plot (figure 2): all but one specialised durophage tooth (*Anarhichas*) has negative values for PC1, the durophagous generalists occupy the centre of the plot, with most teeth plotting between PC1 values of −1 and 2.5, and the herbivorous generalists plot mainly between 0 and 4. There is also a degree of separation along PC2, with about half the durophagous generalist *Archosargus* plotting below PC2 values of 0.1, whereas only two teeth from each of the other trophic categories plot in this area.

LDA produced a similar result to PCA analysis (figure 2), but with greater separation between trophic categories. The LDA is highly significant (Wilks' lambda = 0.237,  $p < 0.0001$ ), with axis 1 accounting for 88% of variance. Overall, 79% of teeth were correctly assigned to trophic category based on the nine texture parameters, within category success ranging from 100% for specialised durophage (*Anarhichas*) to 72% for the two generalist (*Archosargus*) populations. Of the durophagous generalist teeth (PC-duro) 3% were incorrectly assigned to the specialised durophage category, and 24% to the herbivorous generalist; of the generalist herbivore teeth (IR-herb) 7% were incorrectly assigned to the specialised durophage category, and 21% to the durophagous generalist. Both canonical axes are correlated with the durophagy rank of the three populations (Axis 1  $R_s = 0.46$ ,  $p < 0.0001$ ; Axis 2  $R_s = 0.37$ ,  $p = 0.0012$ ).

The multivariate analyses provide strong evidence that tooth microwear texture can differentiate between fish populations that vary in the proportions of shelly prey they consume, but we further tested the degree to which the results can be extrapolated beyond the three populations studied by applying the discriminant functions derived from the LDA to teeth from *Astatoreochromis alluaudi*. Such a test gives an indication of whether tooth microwear texture could



differences within a group of individuals. For analysis of *Archosargus*, the morphologically identical populations of fishes studied by Cutwa and Turingan (2000) differed mostly in the proportions of hard prey consumed, but the technique the authors used for dietary analysis (gut content analysis) provides only a 'snapshot' of the diet in the few hours or perhaps days prior to the capture of the animal and will likely provide an estimate of what was available in the environment where and when an individual fed last. Observed variability in diet within a species can be seasonal (Pallaoro *et al* 2006, Fehri-Bedoui *et al* 2009) as well as geographic (Mariani *et al* 2002, Langerhans *et al* 2003) or an interaction of these two factors (e.g. Castillo-Rivera *et al* 2007, Chuwen *et al* 2007) but also simply the result of a sampling bias depending on the technique used. Analysis can also be hampered by a large number of individuals having empty stomachs. In contrast to stomach contents analysis, dental microwear accumulates over a period of days or weeks. This avoids the snapshot bias of stomach contents analysis (Purnell *et al* 2012), but the data remain sensitive enough to track shifts in diet over time, or seasonal patterns (Estebaranz *et al* 2009, Merceron *et al* 2010). If diet changes significantly there is a lag time equivalent to the time it takes for microwear generated by the new diet to replace that reflecting the old diet, but experimental analysis of stickleback teeth suggests that in fishes this lag time can be as short as 4 days (Baines *et al* 2014).

Interspecific comparison highlights the large number of differences in microwear texture between *Archosargus* and *Anarhichas*, reflecting the fact that proportion of hard shelled prey in the *Anarhichas* diet is twice that of the most durophagous population of *Archosargus*. This analysis also confirms that differences in microwear observed between populations of *Archosargus* are quantitative (linked to the proportion of hard shelled prey in the diet) rather than qualitative (linked to the occurrence of crushing). The significant correlations between diet and the multivariate axes derived from analysis of *Anarhichas* and *Archosargus* provide further compelling support. Furthermore, despite the differences in size and habitat between these taxa and *Astatoreochromis*, the multivariate models derived from microwear texture data alone plotted most of the *Astatoreochromis* teeth in the same region of PCA and LDA space as the dietary categories that were closest to their actual diets. The significant correlations of the actual diet of *Astatoreochromis* with the values for PC1 and canonical axis 1 of the LDA predicted from the analyses—a particularly stringent test—gives a clear indication of the potential power of the approach for taxon independent analysis of diet in fishes.

The multivariate analyses demonstrate that dental microwear texture analysis is an effective tool to separate populations of fishes based on the proportion of hard prey they process. However, although the

ANOVA finds significant differences between the microwear textures of the two populations of *Archosargus*, there is a degree of overlap in microwear between teeth from the more durophagous and the more herbivorous populations. Even though the LDA correctly assigns 72% of the *Archosargus* teeth to trophic group on the basis of microwear texture alone, this overlap is also evident in the multivariate analysis. This is not surprising. Stomach content data for the *Archosargus* individuals sampled for microwear were not available, but Cutwa and Turingan (2000) documented variability within populations in the proportion of hard shelled prey and plant matter consumed, and it seems likely that the overlap between the microwear is at least in part a reflection of an overlap in dietary composition in the wild. A further confounding effect comes from the fact that texture samples from within an individual will reflect different periods of tooth use since eruption (teeth are not all shed and replaced at the same time), creating additional non-dietary noise in the microwear signal.

Few previous analyses have used ISO parameters to investigate the relationship between the texture of tooth microwear and diet, but some consistent patterns are starting to emerge. Of the parameters that differ between populations in the analysis presented here, Purnell *et al* (2013) found that Vmc, Vvv and Sk were significantly correlated with diet and increased with increasing 'hard' prey in the diet, as they are in our analysis (see Purnell *et al* 2013, for discussion of 'hard' versus 'soft' 'food'). Sa, in contrast to our results, they found to decrease with the amount of 'hard' prey in the diet of bats. Schulz *et al* (2013) also found Vmc and Vvv, and Sa, to increase with what they interpreted to be more abrasive diets in grazing ungulate mammals. Direct comparisons of parameters with Purnell *et al* (2012) is difficult because they used a different approach to the generation of scale limited surfaces, but the lower pharyngeal jaws of *Astatoreochromis* in their analysis are the same as those analysed here, and our analysis indicates that most of the parameters that differ between populations of *Archosargus* and *Anarhichas* increase with the amount of hard shelled food in the diet of the cichlids (Vmc, Vvv, Sk, Sdq, Sdr).

In terms of the ecological, environmental, and animal size range across which textural analysis of microwear is applicable, our analysis provides the broadest test yet conducted. *Astatoreochromis alluaudi* is found in a variety of freshwater settings in Africa, including lakes and rivers with different degrees of turbidity, oxygenation, etc (Binning and Chapman 2010, Binning *et al* 2010). Both biotic (e.g. abundance and type of food) and abiotic (temperature, depth, salinity) aspects of these environments differ from those found in the Florida lagoons from which *Archosargus* were obtained, and the rocky marine environments of the north Atlantic where the *Anarhichas* were captured. The species analysed differ significantly in size

(common TL for *Archosargus* = 350 mm; max length for *Anarhichas* = 1500 mm; max length for *Astatoreochromis* = 190 mm), and differences in size could influence microwear texture if gape size leads to consumption of a type of prey that induces a significantly different mechanical stress on the dental surfaces. Teeth analysed also differed in their location in the oropharyngeal cavity: *Astatoreochromis* teeth were sampled from the pharyngeal jaws while others were sampled from the oral jaws and vomers. Despite all these potentially confounding factors, and the phylogenetic distance between the taxa involved, our analysis of dental microwear texture correctly discriminated between teeth from individuals with different diets and assigned most teeth to their correct trophic groups. Our analysis thus provides the foundations upon which to base future analyses of diet in extinct fishes and test hypotheses of durophagy that, at present, are based on the hypothesis that fish with a molariform or bunodont 'crushing dentition' ate hard shelled prey. Clearly, this hypothesis is an oversimplification, and we predict that supposedly durophagous fishes had more complex ecological roles than has previously been thought.

## 5. Conclusions

Our results confirm that textural analysis of tooth microwear provides a powerful tool for analysis of the realised rather than the biomechanically possible diet of an organism, and that the approach is applicable to fishes. Dental microwear texture provides significant results even on small samples and animals with no gut content, and thus offers a potential means to reduce the impact on wild fish populations of analysing their dietary ecology. Moreover, our analysis suggests that models based on wild-caught populations can be used to infer diet in other taxa, even where they differ in habitat and body size. This shows that dental microwear texture analysis applied to extinct fishes has the potential to provide a robust new approach to testing of hypotheses of trophic ecology, niche segregation and escalation in jawed vertebrates through almost 400 million years of fossil record.

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### Author Contributions

MAP conceived the study and collected microwear data for *Astatoreochromis*. LPGD collected the microwear data for *Archosargus* and *Anarhichas*. Both

authors designed the study, conducted the analysis, interpreted the results and wrote the paper.

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