Automatically Determining the Origin Direction and Propagation Mode of High-Frequency

³ Radar Backscatter

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4	Elevation angles of returned backscatter are calculated at SuperDARN radars
5	using interferometric techniques. These elevation angles allow the altitude
6	of the reflection point to be estimated, an essential piece of information for
7	many ionospheric studies. The elevation angle calculation requires knowledge
8	of the azimuthal return angle. This directional angle is usually assumed to
9	lie along a narrow beam from the front of the radar, even though the sig-
10	nals are known to return from both in front of and behind the radar. If the
11	wrong direction of return is assumed, large uncertainties will be introduced
12	through the azimuthal return angle. This paper introduces a means of au-
13	tomatically determining the correct direction of arrival and the propagation
14	mode of backscatter. The application of this method will improve the accu-
15	racy of backscatter elevation angle data and aid in the interpretation of both
16	ionospheric and ground backscatter observations.

1. Introduction

Coherent-scatter High Frequency (HF) radars, such as those that make up the Su-17 per Dual Auroral Radar Network (SuperDARN) [Greenwald et al., 1995; Chisham 18 et al., 2007, are sensitive to E- and F-region ionospheric irregularities. These radars 19 also detect a significant amount of ground backscatter (groundscatter) via diffuse 20 reflection, which can be used to study the ionosphere below the plasma density peak. 21 Investigations of the ambient and disturbed ionosphere both require accurate knowl-22 edge of the radar backscatter locations, which can be obtained with accurate knowl-23 edge of the elevation angle-of-arrival, azimuthal angle off the radar boresite, and the 24 time-of-flight. 25

The time-of-flight for signals to travel from and return to the SuperDARN radars is interpreted as a distance. The HF radar emits a multi-pulse signal at a frequency between 8-20 MHz along a narrow, steerable beam that lies at a specified azimuthal angle from the radar boresite. In standard operations, the returning signals are detected at a gate length of 300 μ s, translating to distance bins (or range gates) of 45 km. This gate length is a compromise, chosen to provide sufficient frequency and spatial resolution to accurately determine the line-of-sight Doppler velocities.

The vertical angle-of-arrival, or elevation angle (Δ), can be determined with the aid of an interferometer, a second, smaller antenna array that is displaced from the main radar array. The phase lag (Ψ_0) between the signals measured at the two arrays, determined from the cross-correlation function of the combined signals, can be used to calculate the elevation angle [*Farley et al.*, 1981]. The two arrays are typically

separated by a distance of 100 m (a distance longer than one wavelength at even the lowest frequency used by SuperDARN), which results in a 2π ambiguity in phase lag

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and aliasing in the elevation angle [Milan et al., 1997; McDonald et al., 2013].

Although the SuperDARN radars are designed to send and receive signals from the forward look-direction (the "front lobe" or "front field-of-view" of the radar), backscatter signals are received from both in front of and behind the radar [*Milan et al.*, 1997; *Bland et al.*, 2014]. Without direction of arrival information, rear fieldof-view backscatter is interpreted as originating in the front field-of-view. Moreover, backscatter assumed to originate from the wrong field-of-view causes the part of the elevation angle calculation that corrects for the 2π ambiguity in phase to fail, causing errors of tens of degrees in the calculated elevation angle.

2. Motivation

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Standard SuperDARN data analysis assumes that all backscatter returns from the front field-of-view. This is arguably a reasonable assumption for F-region ionospheric backscatter, since most radars are directed so that the forward look direction faces regions prone to ionospheric irregularities. However, there is no such expectation for groundscatter, near-range backscatter from meteor ablation, and (to a lessor extent) E-region ionospheric backscatter.

Another reason why backscatter returning from the rear field-of-view have been largely overlooked is because modeled antenna gain patterns show that the power transmitted to the rear field-of-view is significantly smaller than the power transmit-

ted to the front field-of-view. Milan et al. [1997] showed that the power backscatter 58 received from the rear field-of-view is approximately 20 dB weaker than the backscat-59 ter received from the front field-of-view for log-periodic antennae at transmission fre-60 quencies of 10 MHz, and Sterne et al. [2011] showed that the power transmitted to the 61 rear field-of-view is approximately 33 dB weaker than the backscatter received from 62 the front field-of-view for twin terminated folded dipole antenna at the same trans-63 mission frequency. However, the relative strength of the power transmitted to the 64 front and rear fields-of-view is known to change with transmission frequency [André 65 et al., 1998; Sterne et al., 2011], becoming more equal as the transmission frequency 66 decreases. In addition, recent observations from the Radio Receiver Instrument (RRI) 67 [James et al., 2015], a part of the enhance Polar Outflow Probe (e-POP, Yau and James [2015]) that flies onboard the CAScade, Smallsat and IOnospheric Polar Explorer (CASSIOPE) satellite, indicate that the strength of the signal sent behind the 70 radar may be much greater than expected. 71

RRI measures artificially and naturally generated radio emissions from 10 Hz to 18 MHz. One of its many scientific objectives is to investigate HF radio wave propagation through coordinated experiments with SuperDARN. CASSIOPE often passes in and out of the front and rear fields-of-view of Canadian SuperDARN radars during its operational periods. The detection of radio emissions at the operational transmission frequencies of the different radars by RRI allows the actual transmission range of the coincident SuperDARN radars to be determined.

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One such pass over Saskatoon and Rankin Inlet is shown in Figure 1. In this pass 79 CASSIOPE traveled from the rear fields-of-view formed by Saskatoon and Rankin 80 Inlet, past each radar, and into the front fields-of-view. In this map the radar loca-81 tions, front (northward) fields-of-view, and rear (southward) fields-of-view are shown 82 for Saskatoon (SAS) in black and Rankin Inlet (RKN) in blue. The track followed 83 by CASSIOPE is shown by the path of dots, with the time progression indicated 84 by color: orange denotes the starting time of 4 April 2015, 02:51:10 UT and purple 85 denotes the ending time of 4 April 2015, 03:01:20 UT. The satellite altitude started 86 at 328 km and increased to 443 km. During this period, Saskatoon and Rankin Inlet 87 were operating in modes where they transmitted at 11.210 ± 0.001 MHz and 11.20088 \pm 0.001 MHz, respectively. RRI observed transmissions at these frequencies from 89 both receiving channels, labeled A and B. The voltage received from these frequency 90 bands are shown in the upper and lower panels of Figure 2 for channels A and B, 91 respectively. Following the color-code in Figure 1, data corresponding to the fre-92 quency band used by Saskatoon is shown in black, while the data corresponding to 03 the frequency band used by Rankin Inlet is shown in blue. 94

The voltages plotted in Figure 2 were processed to obtain a consistent measure of signal strength. They have been scaled to account for variations in distance between the transmitting radar and the receiving channels using the inverse square of the radial distance. After correcting for distance between the transmitter and the receiver, the scaled voltages were smoothed using a 0.16 ms (10 sample) boxcar average. This window is small enough that all major features are visible, including the voltage

spikes caused by the SuperDARN radars scanning azimuthally. These peaks occurred 101 approximately once for every degree of latitude CASSIOPE travelled, which is equiv-102 alent to a period of about 15 s. In their respective operating modes both radars took 103 approximately 16 s to perform a complete azimuthal scan through the 16 beams com-104 prising their fields-of-view. Since the track of CASSIOPE had a very small azimuthal 105 component with respect to either radar, the spacecraft remained within the coverage 106 of a single beam during a scan. The peaks were formed by the power contribution of 107 all 16 beams that participated in a scan. The largest power contribution was from 108 the beam in which CASSIOPE was situated. Even though the beams of SuperDARN 109 radars typically have a half-power width of approximately 3.24°, the RRI instrument 110 is sensitive enough to detect the transmission on any SuperDARN beam, even if 111 CASSIOPE is positioned on the opposite side of the field-of-view. 112

Both channels show similar behavior from each radar frequency band. The signal 113 received from Saskatoon peaks behind the radar near 45° latitude, drops off as the 114 satellite flies over the radar, and peaks again at 57° latitude. After the northern peak, 115 the signal drops off over the location of Rankin Inlet, and then increases to a level 116 near the front peak and remains steady. The voltage peaks near the radar show the 117 locations where most signals following $\frac{1}{2}$ -hop propagation paths were received. The 118 second voltage increase north of the radar marks the point where signals following 119 $1\frac{1}{2}$ -hop propagation paths were received. 120

The signal received from Rankin Inlet also shows peaks near the front and rear of the radar. However, a secondary peak at 45° latitude is also observed. This is

caused by the satellite orbit, which lies directly in the path of one of the rear fieldof-view beams at the start of this section of the orbit and moves just outside the rear
field-of-view as CASSIOPE approaches the radar at Rankin Inlet. There may also
be some contribution from Saskatoon, caused by signal leaking across the frequency
spectrum, beyond its specified transmission frequency.

The changes in satellite position within the radar fields-of-view and the presence of signal leakage make it challenging to precisely determine the relative strength of the front and back fields-of-view for Saskatoon and Rankin Inlet using this pass. However, the presence of strong voltage peaks in front and behind both radars, which have different antennae designs, indicates that backscatter detections in the rear field-of-view are a clear possibility. This paper outlines an automated method for distinguishing front backscatter from rear backscatter for radars with an interferometer array.

3. Method

The origin field-of-view is determined by examining the consistency of the eleva-135 tion angle across all beams at a given range gate and along a single beam, using 136 elevation angles calculated for backscatter assumed to originate from both the front 137 and rear fields-of-view. This is possible because the spatial variations in the elevation 138 angle are different when the field-of-view is changed. Milan et al. [1997] showed that 139 backscatter with the same propagation path and virtual height displays a distinctive 140 pattern when its elevation angle is plotted as a function of beam and range gate, 141 allowing the origin field-of-view to be determined. 142

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This pattern is easily identified visually, as shown in Figure 3. This figure, a 143 reproduction of Figure 4 in Milan et al. [1997], considers each of the 1200 beam 144 and gate combinations in the field-of-view of a typical SuperDARN radar. The top 145 row exactly reproduces the figure in Milan et al. [1997], which used a flat-earth 146 approximation in their example, while the bottom row performs the calculations for 147 a curved Earth. The path length between a ground signal received at the main and 148 interferometer arrays is modeled for each of these beam-gate combinations for $\frac{1}{2}$ -hop 149 backscatter with a virtual height of 300 km. The phase lag is then aliased to account 150 for the radar sensitivity to phase lags between $\pm \pi$. These modeled phase lags are 151 shown for backscatter in the left column of Figure 3. 152

To calculate the elevation angle, the full path length difference must be recon-153 structed. This is done by adding integer multiples of 2π to the modeled phase lags. 154 Done correctly, the expected elevation angle pattern, which shows the elevation angle 155 decreases with increasing range gate in each region with the same alias, is retrieved 156 (illustrated in the middle column of Figure 3). If the 2π ambiguities are incorrectly 157 handled (such as assuming that backscatter originates from the front field-of-view 158 when it originates in the rear field-of-view), then an incorrect pattern in the eleva-159 tion angle emerges (right column of Figure 3). 160

Consider the modeled values of the elevation angle in a limited range of distances from the radar (say between range gates 50 and 60). Across all 16 beams, the elevation angles in the middle column of Figure 3 cluster near 11°, while those in the right column have a broad distribution of values spanning nearly the entire range

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of possible elevation angles. Also, when the elevation angle is calculated assuming the correct origin field-of-view, the elevation angle decreases with increasing range gate (apart from jumps caused by aliasing). The detection algorithm presented here uses these characteristics to determine the origin field-of-view. In essence, it tests the assumption that backscatter originates from each field-of-view and assigns the direction that is most consistent with the modeled values.

The detection algorithm presented here uses six steps to determine the origin field-171 of-view for ground and ionospheric backscatter. The first four steps examine the 172 variations in elevation angle and virtual height, assigning points to either the front 173 or rear field-of-view. This is done by calculating the virtual height, examining the 174 variations in elevation angle across all beams for backscatter at each range gate, testing the realism of the virtual heights for unassigned backscatter in each field-176 of-view, and finally examining the variations in elevation angle along a single beam 177 for any remaining backscatter without an assigned field-of-view. The final two steps 178 take advantage of the tendency of ground and ionospheric backscatter to form spa-179 tially coherent structures that slowly evolve over time by removing any field-of-view 180 assignments that are not consistent with the surrounding backscatter detections. 181

The following subsections discuss these steps in detail. The results of each step in the field-of-view identification process are illustrated using data from Hankasalmi on 16 September 1996, between 05:00 and 06:00 UT. This time and location were shown as an example because it is a period with several different backscatter propagation

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¹⁸⁶ modes. It also contains regions with backscatter returning from coherent structures ¹⁸⁷ in each field-of-view.

3.1. Step 1: Calculate Virtual Height

The first step in the automated field-of-view detection calculates the virtual height (h') for each backscatter observation in both fields-of-view using equation 1. This equation accounts for the curvature of the earth, but assumes that the earth is a sphere rather than an oblate spheroid. The terrestrial radius (R_{\oplus}) is set as the terrestrial radius at the radar location.

$$h' = \sqrt{d^2 + R_{\oplus}^2 + 2dR_{\oplus}\sin\Delta} - R_{\oplus} \tag{1}$$

In the above equation, d is the distance along the signal path to the first iono-193 spheric refraction or reflection point for ionospheric and ground backscatter data, 194 respectively. This distance assumes a straight-line propagation path between the 195 radar and the ionospheric reflection point, and the ionospheric refraction point and 196 the ground. For $\frac{1}{2}$ -hop ionospheric backscatter, this distance is the range gate ex-197 pressed in kilometers. However, for propagation paths where the transmitted signal 198 is reflected off the ground (such as groundscatter or $1\frac{1}{2}$ -hop ionospheric backscatter), 199 d may be found by dividing the range gate, expressed in kilometers, by double the 200 hop number. For example, d for 1-hop groundscatter is half the distance given by 201 the range gate. 202

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Significant errors in the virtual height calculation are introduced by errors in the 203 elevation angle, error in d, by the assumption that the propagation follows straight-204 line paths, and (for propagation paths larger than 1-hop) by the assumption that 205 the reflection and refraction height along the propagation path is the same. Even 206 with these sources of error, the virtual height can be used to successfully separate 207 backscatter into groups by propagation path and virtual height, since for a given 208 period of time backscatter returning from the same geographic area is likely to return 209 along similar propagation paths and so have similar characteristics whether or not 210 they are objectively true. This assumption begins to break down as the number of 211 hops increases. This study considers propagation paths up to 3-hops, encompassing 212 the vast majority of backscatter observed by SuperDARN. 213

The determination of the origin field-of-view begins by computing the virtual height 214 twice, using elevation angles calculated for backscatter originating in both the front 215 and rear fields-of-view. In each field-of-view this virtual height is examined to ensure 216 that the appropriate distance was used. Initially backscatter is assumed to have a 217 $\frac{1}{2}$ -hop or 1-hop propagation path, depending on whether it is ionospheric backscatter 218 or groundscatter. However, if the resulting virtual height is higher than the allowed 219 upper limit (set at 900 km to allow for differences between the actual and virtual 220 altitude, which can become very large when the signal travels horizontally for long 221 distances along Pedersen rays [Chisham et al., 2008]), then the propagation path is 222 increased by 1-hop and the virtual height is recalculated. If this does not succeed 223 in producing a realistic virtual height, then the entire process is attempted one last 224

time, but using an elevation angle calculated with an alias of 2π (the most commonly encountered alias).

After these virtual height adjustments are made, the backscatter are further separated into ionospheric regions. Following the work of *Chisham et al.* [2008] and *Chisham and Freeman* [2013], backscatter is attributed to the D-, E-, or F-region if it has a virtual height that falls within the altitude limits outlined in column 2 of Table 1 and a propagation path that falls within the hop limits outlined in column 3 of the same table. Backscatter that cannot be attributed to one of these ionospheric layers is removed from consideration for that field-of-view.

Figure 4 shows the the elevation angle calculated from the front and rear field-ofview for Hankasalmi on 16 September 1996. The two plots on the left show the front and rear fields-of-view for a scan taken at 05:32 UT, while the two plots on the right show the front and rear fields-of-view for beam 7 as a function of time for the interval of 05:00-06:00 UT. The shape of each backscatter point indicates the propagation path assigned using the process described in the previous paragraph.

Comparing elevation angle patterns of the scans in Figure 4 to the modeled front and rear fields-of-views in Figure 3, the elevation angle variations at each range gate across all beams indicate that the 1F-hop groundscatter originates from the rear field-of-view, while the $1\frac{1}{2}$ F-hop ionospheric backscatter at the furthest range gates originates from the front field-of-view. This may seem counterintuitive, since one typically expects to see both 1F and $1\frac{1}{2}$ F backscatter returning from the same fieldof-view. After all, if a $1\frac{1}{2}$ F propagation path exists, the 1F propagation path must

exist as well. However, it is not improbable that the groundscatter returning to the
radar would have a stronger signal from the rear field-of-view than the front fieldof-view. Several physical conditions make this possible, including a more specular
reflection point or a denser ionosphere to the rear of the radar.

The $\frac{1}{2}D$ and $\frac{1}{2}E$ ionospheric backscatter appears to be mixed between the two 251 fields-of-view, with inconsistent elevation angles at any given range gate across all 252 The $\frac{1}{2}$ F backscatter between range gates 10-20, on the other hand, has beams. 253 consistent elevation angles across all beams in the front field-of-view, and a wide 254 range of elevation angles in the rear field-of-view. When examining the elevation 255 angle variations for a single beam over time, there is less variation in elevation angle. 256 There are some points, however, (such as the $\frac{1}{2}$ F-hop backscatter at 05:32 near range gate 45) which do not match the surrounding backscatter in space or time. 258

3.2. Step 2: Examine Elevation Angle Variations at each Range Gate

The second step in this detection algorithm is to examine the variations in the ele-259 vation angle for a scan of backscatter across all beams at each range gate. Backscatter 260 are grouped by range gate, propagation path, and virtual height. A sliding window of 261 between 2-20 gates (a larger window is used as distance from the radar increases and 262 the accuracy of the range gate decreases [Yeoman et al., 2001]; exact window widths 263 are specified in columns 1 and 2 of Table 2) is used to gather backscatter from all 264 beams for the specified hop. In order to evaluate azimuthal variations (the variations 265 across all beams), the virtual height must be restricted as well. Instead of using 266

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windows at fixed virtual heights, backscatter are grouped together by examining the
distribution of virtual heights in each field-of-view.

The process used to establish virtual height windows is illustrated in Figure 5. The 269 top panels in this figure show the virtual heights for $\frac{1}{2}$ F-hop backscatter gathered at 270 range gate 30 for the front and rear fields-of-view, with the front field-of-view on 271 the left and the rear field-of-view on the right. The right and left panels on the 272 bottom row show histograms of the virtual heights for the front and rear fields-273 of-view, respectively. The histograms are used to establish virtual height windows 274 centered at the heights where backscatter are most likely to occur. A Gaussian curve 275 is fit to each peak in the histogram, and the upper and lower limits of the virtual 276 height window are set to fall within three standard deviations of the fitted maxima. Additional windows are added to encompass any points that fall outside of these 27 established limits. When multiple peaks are detected, their upper and lower limits 279 may overlap. In instances where the overlap is large enough to encompass the peak 280 of another distribution, the upper and lower limits of the smaller peak are adjusted 281 to remove this overlap. If no peaks can be identified, but a global maximum with at 282 least 3 points exists (as may be the case if a peak spans multiple height bins), this 283 global maximum is used to fit a Gaussian curve. Otherwise, virtual height windows 284 are set to span the entire range of heights in windows with widths of 50 km (if the 285 central range gate is less than 45) or 150 km (if the central range gate is 45 or greater). 286 Once the appropriate backscatter have been gathered, the behavior of the elevation 287 angle is examined in each field-of-view. Because the algorithm is looking for a con-288

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sistent elevation angle across all beams, this examination is only performed if there 289 is backscatter from at least three beams in the range gate, propagation path, virtual 290 height window. If there are data from enough beams, a linear regression is performed 291 on the elevation angles. When a linear regression is performed on elevation angles 292 calculated using the appropriate field-of-view, the slope will be negative and the stan-293 dard deviation of the difference between the linear fit and the elevation angles will 294 be small. Thus, backscatter is assigned to a field-of-view when three conditions are 295 met. First, the slope of the linear regression must be flat or negative. Second, the 296 standard deviation of the difference between the linear fit and the elevation angles 297 are required to be less than or equal to 3°. Third, the backscatter being evaluated 298 lies within three standard deviations of the linear fit (the z-score of the backscatter is \pm 3). If these criteria are met for both fields-of-view, the field-of-view with the 300 smaller standard deviation and a better z-score is chosen. 30

Figure 6 builds from Figure 4, adding a black outline to backscatter assigned to each 302 field-of-view by this step in the detection algorithm. Note that the 1F groundscatter 303 and $1\frac{1}{2}$ F-hop ionospheric backscatter, which clearly exhibit patterns identifying the 304 origin field-of-view, were both assigned to the correct field-of-view. The near-range 305 $\frac{1}{2}$ -hop backscatter has been identified as originating mostly from the front field-of-306 view, though much of the backscatter has not been assigned an origin field-of-view at 307 all. Examining the fields-of-view for beam 7 at different times shows that this scan 308 is typical of those seen at other times. The $1\frac{1}{2}F$ backscatter is assigned primarily to 309 the front field-of-view, the 1F groundscatter is assigned primarily to the rear field-310

of-view, and the $\frac{1}{2}$ -hop backscatter has the largest quantity of unassigned points, especially at the nearest range gates.

3.3. Step 3: Test the Virtual Height of Unassigned Backscatter

Since not all backscatter observations will be assigned to a field-of-view using the above method, additional measures must be taken, especially at the nearest range gates. At these range gates, the virtual height alone can sometimes be used to determine the origin field-of-view. This test takes advantage of the physical limits of the bottomside ionosphere.

Virtual heights calculated for both fields-of-view close to the radar often differ by 100 km or more, causing a virtual height in one field-of-view that falls well short of the bottom of the D-region. Backscatter with a physically realistic virtual height in only one field-of-view is thus assigned to that field-of-view for range gates within 500 km of the radar. At these distances, aliasing is not typically a problem.

Figure 7 builds from Figure 6, showing the backscatter assigned each field-of-view after applying Step 3 outlined in black and the backscatter assigned by Steps 1 and 2 as black dots. Comparing points with black outlines and dots shows that this step has identified all the backscatter that had valid virtual heights (and elevation angles) in only one field-of-view. For example, previously unassigned $\frac{1}{2}$ D-hop backscatter at beams 2 and 14, range gate 1 are now identified as returning from the front field-ofview.

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3.4. Step 4: Examine Elevation Angle Variations along each Beam for Unassigned Backscatter

The last step in assigning an origin field-of-view is to consider the elevation vari-330 ations along each beam. The elevation angle variations are tested using the same 331 procedure described in Step 2. The only difference is in the backscatter selection 332 criteria. For each unassigned backscatter point, elevation angles are gathered from 333 extended range gate windows, specified by columns 1 and 3 in Table 2. As before, 334 backscatter must come from the same propagation path. At this step, limits in the 335 virtual height are not considered. Instead only backscatter from a single beam is 336 used. Although this test is only performed if there is backscatter without an as-337 signed field-of-view, all the gathered backscatter are re-evaluated using the standard 338 deviation of the backscatter about the linear regression and the individual z-scores 339 when the slope of the linear regression is negative. 340

Figure 8 builds from Figure 7, showing the backscatter assigned each field-of-view 341 after applying Step 4 outlined in black and the backscatter assigned by Steps 1-3 as 342 black dots. Comparing points with black outlines and dots in the scans on the left 343 shows that this step has assigned fields-of-view for almost all the remaining unas-344 signed backscatter. Some of these assignments are expected, such as the 1F ground-345 scatter at beams 2-4, range gate 39 to the rear field-of-view. Other assignments, 346 though, such as the $\frac{1}{2}$ F backscatter at beams 11 and 12, range gate 11 are clearly 347 appropriately assigned if only the elevation angle along the beam are considered, but 348 not if the azimuthal variations along all beams are taken into account.

3.5. Steps 5 and 6: Test for Consistency

Once a field-of-view has been assigned to as many backscatter points as possible, the spatial and temporal consistency of these assignments can be tested. Both ionospheric and ground backscatter tend to form spatially coherent structures that slowly evolve over time. Thus, the assigned fields-of-view can be tested to ensure that these coherent structures are not split between the two fields-of-view.

This test is performed at each range gate and beam for backscatter points with the same propagation path within the extended range gate window specified in columns 1 and 3 in Table 2. When examining spatial structures, backscatter is gathered for three beams at a time. When examining temporal structures, backscatter is gathered for a single beam in a 20 minute window. The spatial continuity is tested at all times before the temporal continuity is tested.

In each propagation path, range gate, beam, and time window the number of 361 points in each field-of-view is calculated, allowing each backscatter point to be flagged 362 as being part of a structure, being an outlier, or being part of a mixed field-of-363 view region. Backscatter is flagged as being part of a structure if over two thirds 364 of the points are found to lie in one field-of-view, and the point being considered 365 originates from that field-of-view. This fraction of points was chosen to strike a 366 balance between allowing regions of mixed propagation paths and reducing incorrect 367 field-of-view assignments. If a structure is identified and the point being considered 368 originates from the opposite field-of-view, it is flagged as an outlier. If less than 369

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³⁷⁰ two thirds of the backscatter originate from the same field-of-view, all the points are ³⁷¹ flagged as lying in a mixed region.

After performing this test on all backscatter points for a scan (when testing the 372 spatial continuity) or beam (when testing the temporal continuity), the number of 373 times each point is found to be an outlier is examined, unless the backscatter lies 374 within 500 km of the radar and only has a valid virtual height in one field-of-view. 375 Points that were tagged as outliers at least once are examined further. If they were 376 also tagged as belonging to a cluster of backscatter in the same field-of-view less 377 times than they were tagged as being either an outlier or part of a mixed field-of-378 view, their field-of-view assignment is changed. If the outlying backscatter point had 379 previously met the criteria for the opposite field-of-view as outlined in Step 3, and the number of times it was identified as an outlier are greater than the both number of 38: times it was seen in an area of mixed backscatter and the number of times it was an 382 inlier, then the outlying backscatter can be re-assigned to the field-of-view shared by 383 the surrounding backscatter points. However, the assigned field-of-view may only be 384 changed once. If the scan continuity test changes the origin field-of-view designation 385 and this new designation fails the temporal continuity test, the backscatter is not 386 assigned to either field-of-view. 387

Figure 9 builds from Figure 8, showing the final backscatter assignments for each field-of-view in black outlines and the backscatter assigned by completing Steps 1-4 as black dots. Focusing on the points discussed in the previous subsection, Figure 9 shows that $\frac{1}{2}$ F-hop backscatter at beams 11 and 12, range gate 11 have been removed

from both fields-of-view. The 1F groundscatter assigned to the rear field-of-view 392 remains assigned to that field-of-view, and the few points assigned to the front field-393 of-view have been removed. Indeed, the small portions of 1F groundscatter assigned 394 to the front field-of-view between range gates 30 and 45 have all been removed or re-395 assigned to the rear field-of-view from the beginning of this groundscatter formation 396 near 05:00 UT up to the point that it disappears near 05:45 UT. Likewise, the $1\frac{1}{2}F$ 397 backscatter assigned to the rear field-of-view after 05:30 have been removed or re-398 assigned to the front field-of-view. 399

4. Validation

Figure 9 shows that the field-of-view detection algorithm does a good job consistently identifying coherent structures in each field-of-view and can also handle backscatter originating with equal probability in both the front and rear field-of-view. However, not all backscatter is successfully assigned to an origin field-of-view. It is also conceivable that some of the field-of-view assignments are wrong. In this section the field-of-view detection algorithm is tested by using observations of backscatter with a known location.

The front field-of-view of the SuperDARN radars at Hankasalmi and Þykkvibær both cover the ionosphere above Tromsø (Figure 10), where the European Incoherent SCATter (EISCAT) ionospheric heater is located. *Yeoman et al.* [2001] used observations from Hankasalmi and Þykkvibær of an ionospheric heating event on 15 October 1998 to evaluate the accuracy of the SuperDARN time-of-flight measurements and in-

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vestigate possible propagation paths. Applying the field-of-view detection algorithm 412 to this dataset shows $\frac{1}{2}$ F-hop detections from beam 5 at Hankasalmi and $1\frac{1}{2}$ F-hop de-413 tections from beam 15 at Pykkvibær. Figure 11 plots the power and elevation angles 414 for beam 5 at Hankasalmi in the left column and beam 15 at Pykkvibær in the right 415 column. The top row in this figure reproduces the first and third panels of Plate 1 416 in Yeoman et al. [2001]. The second and third rows show the front and rear field-of-417 views, respectively, with the elevation angles for points assigned to each field-of-view. 418 The fourth row shows the backscatter not assigned to either field-of-view, with prop-419 agation paths and elevation angles calculated assuming the backscatter originated 420 from the front field-of-view. Note that all backscatter at Hankasalmi is manually 421 flagged as ionospheric backscatter, since the heater-induced irregularities typically 422 have very low line-of-sight velocities when observed from Hankasalmi and so are eas-423 ily confused with groundscatter. The narrow azimuthal spread of the heater-induced 424 ionospheric backscatter, which at most spanned three beams, provided an additional 425 challenge to the field-of-view determination algorithm. 426

Examining the middle two rows shows that the vast majority of backscatter is correctly assigned to the front field-of-view. No backscatter is incorrectly assigned to the rear field-of-view at range gates associated with heater backscatter at Hankasalmi, while a handful of ionospheric backscatter points are incorrectly assigned to the rear field-of-view at range gates associated with heater backscatter at Pykkvibær. To examine these assignments quantitatively, the number of ionospheric backscatter points are gathered between range gate 25-35 at Hankasalmi, as well as range gates

⁴³⁴ 34-39 and 50-59 at Pykkvibær. These range gates encompass the area of $\frac{1}{2}$ F-hop ⁴³⁵ heater backscatter observed from Hankasalmi, the area of $1\frac{1}{2}$ F-hop heater backscatter ⁴³⁶ observed from Pykkvibær, and the two areas where $2\frac{1}{2}$ F-hop heater backscatter were ⁴³⁷ observed from Pykkvibær. The percentage of points correctly assigned to the front ⁴³⁸ field-of-view, incorrectly assigned to the rear field-of-view, and not assigned to either ⁴³⁹ field-of-view for the beams shown in Figure 11, as well as all beams that detect ⁴⁴⁰ backscatter from the heater-induced irregularities, are shown in Table 3.

The algorithm to determine the appropriate field-of-view performs well in both 441 cases, though the results are better at Hankasalmi. This can be attributed, in part, 442 to the mix of propagation paths detected by Pykkvibær. At Pykkvibær, the range 443 gates where heater backscatter are detected are mixed with groundscatter and show large variations in signal power. The ionospheric backscatter returning from the front 445 field-of-view is identified as entirely $1\frac{1}{2}$ F-hop between range gates 34-39 and 50-59, 446 while the ionospheric backscatter incorrectly assigned to the rear field-of-view, or not 447 assigned to either field-of-view, is labeled as a mix of $\frac{1}{2}$ F- and $1\frac{1}{2}$ F-hop. The lack 448 of $2\frac{1}{2}F$ propagation paths between range gates 34-39 and 50-59 (and the presence 449 of $1\frac{1}{2}$ propagation paths at range gates greater than 50) disagrees with the more 450 rigorous propagation path analysis performed by Yeoman et al. [2001]. This reveals 451 a weakness in the propagation path determination. As the upper limit of the F-452 region virtual height is set to accommodate Pedersen propagation paths, $2\frac{1}{2}$ -hop 453 and greater propagation paths in the E- and F-region are extremely unlikely to be 454 attributed. Instead of $2\frac{1}{2}$ F-hop backscatter, a $1\frac{1}{2}$ F-hop propagation path with a long 455

⁴⁵⁵ period of horizontal travel after the first hop is preferred. In addition, multiple hop ⁴⁵⁷ propagation paths that have different peak heights (such as $1\frac{1}{2}$ FE-hop backscatter) ⁴⁵⁸ are not allowed. This limitation is not as problematic as it may appear, the longer ⁴⁵⁹ and mixed region propagation paths make up a small portion of the total SuperDARN ⁴⁶⁰ backscatter [*Chisham et al.*, 2008].

Another difference between the performance at Hankasalmi and Pykkvibær is the 461 greater amount of low power backscatter (defined as backscatter with power at or 462 below 10 dB) at range gates associated with heater-induced backscatter. This did 463 not appear to play a role in identifying the wrong field-of-view: 27.16% of the data 464 incorrectly assigned to the rear field-of-view had low signal power, while 22.92% of the 465 data correctly assigned to the front field-of-view had low signal power. However, close to half (40.11%) of the ionospheric backscatter not assigned a field-of-view had signal 467 powers at or below 10 dB. Other factors influencing the poorer performance of the 468 field-of-view determination algorithm at Pykkvibær when compared to Hankasalmi is 469 the position of the heater backscatter near the edge of the radar field-of-view. Recall 470 that the heater-induced ionospheric backscatter spanned at most three beams, less 471 than are typically seen with naturally occurring ionospheric backscatter, and narrower 472 structures are more difficult to test for spatial trends and consistency (Steps 1-5) than 473 wider structures. 474

5. Performance

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The performance of the field-of-view detection algorithm can be judged in a more 475 qualitative method under a variety of ionospheric conditions. This section presents 476 three examples with different types of backscatter whose origin field-of-view can be 477 determined by physical reasoning. The first example shows a period of time when the 478 auroral oval expands, causing ionospheric backscatter to pass over the radar. Next, 479 the groundscatter at Hankasalmi, which shows a distinct double-sunrise signature, is 480 presented. Finally, the assignment of meteor ablation is shown to produce a more 481 spatially consistent velocity pattern when the origin field-of-view is known. 482

5.1. Geomagnetic Storm

This example shows previously unpublished SuperDARN data for a period during 483 a geomagnetic storm, in which the Dst ranged from -14 to -65 nT. During this period, 484 it appears that the auroral oval has expanded to latitudes south of Pykkvibær. Fig-485 ure 12 shows the Doppler line-of-sight velocities measured from beam 0 at Pykkvibær 486 on 10 October 1997. The top panel shows the velocity from all backscatter points, 487 regardless of field-of-view, while the bottom three panels show the backscatter for 488 the front, rear, and unassigned fields-of-view in descending order, with propagation 489 path indicated by marker shape. In all cases, the velocity shown is the Doppler 490 line-of-sight velocity for the front field-of-view. This means that positive (blue) ve-491 locities indicate a southwest drift for backscatter in front of the radar and a northeast 492 drift for backscatter behind the radar. Conversely, the negative (red) velocities indi-493 cate a northeast drift for backscatter in front of the radar and a southwest drift for 494 backscatter behind the radar. The black vertical lines mark times where the entire 495

scan of data is plotted in Figure 13. These scans again show the backscatter velocity, 496 but plotted at their magnetic backscatter location after accounting for origin field-of-497 view. During the interval shown in Figure 12 the interplanetary magnetic field (IMF) 498 geocentric solar magnetospheric (GSM) B_{ν} component was consistently strong and 499 positive. The GSM B_z component was weak and negative until 17:00 UT, when it 500 strengthened (remaining negative) until 18:50 UT. After this time GSM B_z weakened 501 and remained predominantly negative for the rest of the period shown here. Under 502 these IMF conditions, it is expected that an asymmetric twin cell convection pattern 503 has formed, and is expanding equatorward. This convection patter leads to predom-504 inantly westward (sunward) and equatorward flows measured by radars in the dusk 505 flank region, shown in Figure 13. 506

Looking at the top panel in Figure 12, two patches of backscatter, one at range gates 507 45-75 and another starting at range gate 45 and shifting closer with time, are seen 508 moving towards the radar between 15:00-16:30 UT. At this point the far range gate 509 ionospheric backscatter is no longer seen (slow moving ionospheric backscatter and 510 groundscatter have appeared instead), though the near-range gate backscatter can 511 still be seen at progressively closer range gates until 18:00 UT, when the Doppler line-512 of-sight velocity abruptly changes direction. This ionospheric backscatter is detected 513 at progressively increasing range gates. The change in velocity direction coupled with 514 the change in range gate drift suggests that the red patch of ionospheric backscatter 515 seen near range gate 15 at 20:00 UT is the same ionospheric irregularity region shown 516 in blue near range gate 30 at 15:30 UT. 517

The application of the field-of-view determination method produces origin fields-518 of-view and ionospheric propagation paths that support this interpretation. The 519 second panel in Figure 12 shows a patch of $\frac{1}{2}$ F-hop backscatter (mislabeled $1\frac{1}{2}$ F-hop 520 backscatter due to the large virtual height limit of the $\frac{1}{2}$ F-hop ceiling, needed to 521 accommodate Pedersen propagation paths) moving towards the radar at far range 522 gates between 15:30-16:54 UT. At closer range gates, $\frac{1}{2}$ F-hop backscatter has been 523 identified, and can be seen to be traveling towards the radar across the 16:00 UT 524 front field-of-view in Figure 13. The variation in line-of-sight velocity across the 525 front field-of-view, which increases away from beam 0, shows that the irregularity 526 has a large velocity component along beam 0. 527

The slow-moving, far range gate backscatter is identified primarily (but not exclu-528 sively) as 1F groundscatter, while the slow-moving near range gate backscatter that 529 is seen between 16:00-18:00 UT is tagged as $\frac{1}{2}$ E-hop backscatter. This $\frac{1}{2}$ E ionospheric 530 backscatter is seen across all beams in the 17:30 UT fields-of-view in Figure 13. Un-531 like the 16:00 UT fields-of-view, there is backscatter close to the radar in the rear 532 field-of-view at this time. This backscatter was identified as $\frac{1}{2}$ D-hop backscatter, 533 though this (as well as the other small patches of backscatter assigned to the rear 534 field-of-view) may be the result of unresolved aliasing. Focusing on the F-region 535 backscatter, the velocities are much smaller at all beams and range gates now at 536 17:30 UT then they were at 16:00 UT. However, the fastest line-of-sight velocities 537 are still seen at the most eastern beams. Both this and the transport of the $\frac{1}{2}$ F-hop 538

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⁵³⁰ irregularity from range gates 35-45 to range gates 5-20 demonstrate the movement of ⁵⁴⁰ plasma towards Iceland.

The ionospheric backscatter that is seen by beam 0 in Figure 12 moving towards the 541 radar until 18:00 UT and then is seen moving away from the radar is labeled $\frac{1}{2}$ F-hop 542 backscatter, and is seen in the front field-of-view when the velocity is positive and in 543 the rear field-of-view when the velocity is negative. The final fields-of-view at 18:30 544 and 19:30 UT in Figure 13 show scans when the $\frac{1}{2}$ F-hop backscatter seen by beam 545 0 is in the rear field-of-view. At these times $\frac{1}{2}$ F-hop backscatter is also seen in the 546 front field-of-view at the opposite side of the scan (near beam 15). These velocities 547 indicate that the plasma is flowing sunward and equatorward over Pykkvibær. 548

Examining the ionospheric backscatter at 19:30 UT in more detail reveals that $\frac{1}{2}$ E-hop backscatter was assigned to the front field-of-view around beam 0, while $\frac{1}{2}$ D-550 and $\frac{1}{2}$ F-hop backscatter were assigned to the rear field-of-view. This separation seems 55 appropriate, since the $\frac{1}{2}$ E-hop backscatter has a higher velocity than the surrounding 552 $\frac{1}{2}$ D- and $\frac{1}{2}$ F-hop backscatter. However, the ionospheric backscatter assigned to the 553 D-region appears to behave just like the F-region backscatter. This suggests that 554 while aliasing has not interfered in the field-of-view assignment, it has resulted in 555 an incorrect propagation path assignment. This is to be expected when propagation 556 paths assume triangular propagation paths and do not examine other characteristics 557 that are used to identify ionospheric regions. Treating the $\frac{1}{2}$ D-hop backscatter as 558 $\frac{1}{2}$ F-hop backscatter, and focusing on the F-region backscatter, the velocities in both 559 fields-of-view at 18:30 UT and 19:30 UT present a consistent picture. The largest 560

speeds are seen near the radar at beams 8-15 in front of the radar and beams 0-6 behind the radar, showing the movement of $\frac{1}{2}$ F-hop irregularities over Pykkvibær, as suggested by Figure 12.

The bottom panel in Figure 12 shows that the algorithm presented here has the most difficulty determining the origin field-of-view when backscatter was returning from several different propagation paths in the same area. This is not surprising since the phase lag, determined from the cross-correlation function of the combined signals from the main and interferometer arrays will be less reliable when signals from multiple propagation paths are returning to the radar [*Farley et al.*, 1981; *Reimer and Hussey*, 2015].

Despite a few areas where it was difficult to assign the origin field-of-view or as-571 sign a realistic propagation path, the application of the field-of-view determination 572 algorithm has made it possible to correctly interpret the direction of the convection 573 pattern over Iceland. If one assumed that all the ionospheric backscatter originates 574 from the front field-of-view, the velocity directions at 18:30 and 19:30 UT would have 575 been interpreted as northward flows over Iceland, accompanying a shrinking auroral 576 oval. The corrected field-of-view, in contrast, shows sunward flows associated with 577 an expanding auroral oval, which is consistent with the expected behaviour for the 578 prevailing IMF conditions described at the beginning of this section. Applying this 579 field-of-view determination method to the SuperDARN data used to produce the map 580 potentials will reduce instances of disagreement between different radar observations 581 and improve the spatial coverage. 582

5.2. Groundscatter

The next example looks at the groundscatter seen on 14 December 1995 at Han-583 kasalmi. This date is near the Northern winter solstice, meaning that the F-region 584 electron density will be low in the front field-of-view, which covers the polar cap, and 585 sunrise will occur late in the day and be followed closely by sunset. The rear field-of-586 view, however, looks out over an area of higher F-region electron density due to the 587 seasonal anomaly and will experience a much longer period of daylight. Thus, it is 588 expected that the front field-of-view will return groundscatter for a shorter period of 589 time at a further range gate (since a lower electron density allows an HF signal at a 590 given frequency to travel further than a higher electron density would) than the rear 591 field-of-view. 592

Milan et al. [1997] found this expectation to be true. Figure 9 of Milan et al. [1997] presented the backscatter power for Hankasalmi on 14 December 1995 between 05:00-16:00 UT. Their figure is reproduced in the top panel of Figure 14. This plot shows an arc of backscatter that begins at range gate 60 on 05:00 UT, moves down to about range gate 20 at 11:00 UT, before moving back up to range gate 45 at 15:00 UT. A much smaller arc can be seen at range gates 45 and 30 between 10:00-12:00 UT.

Striations are clearly visible in the backscatter power in both of these arcs. In the larger arc the striations move to larger range gates as time progresses, while in the smaller arc they are angled in the opposite direction. *Milan et al.* [1997] identify these striations as the signature of atmospheric gravity waves propagating towards the equator. The opposing directions of the striations are consistent with a single

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wave propagating from the pole to the equator if the smaller arc originates in the front field-of-view and the larger arc originates from the rear field-of-view.

The second and third panels of Figure 14 confirm the interpretation of *Milan et al.* 606 [1997]. The high power regions of the smaller arc and the $1\frac{1}{2}$ F-hop ionospheric 607 backscatter are primarily placed in the front field-of-view, while the larger arc is 608 primarily placed in the rear field-of-view. Some notable exceptions are seen. The 609 first is a patch of $\frac{1}{2}$ F-hop ionospheric backscatter near 08:30 UT, range gate 20 is 610 seen to originate from the front field-of-view. This is an appropriate field-of-view 611 assignment; ionospheric backscatter at these latitudes is more likely to occur in dark-612 ness (the current situation in the front field-of-view but not the rear field-of-view) 613 than in daylight. 614

The next exception occurs rear fields-of-view near 11:00 UT, range gate 25-30. These 1F groundscatter observed at these range gates lies at the boundary where 1F groundscatter from the rear field-of-view transitions to 1F groundscatter from the front field-of-view. Thus, while it is not a problem to see 1F groundscatter at these locations, the power signatures in both the front and rear field-of-view are more in keeping with the 1F groundscatter from the opposite field-of-view. This highlights a weakness in the field-of-view identification algorithm in transitional regions.

Another problem region can be seen in the $\frac{1}{2}$ F ionospheric backscatter near 09:30 and 12:45 UT, range gate 60. This backscatter has been placed in the rear field-ofview, though the majority of the ionospheric backscatter between 09:30 and 12:45 UT was placed in the front field-of-view and assigned a $1\frac{1}{2}$ F propagation path (with

some exceptions that were not assigned to either field-of-view). A reason for this mis-assignment is that the ionospheric backscatter was observed in fewer beams at the beginning and end of its lifetime. When a backscatter structure spans a small

spatial area, it can be difficult to identify the variations in elevation angle.

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The final exception lies near 14:00 UT, range gate 20 and consists of a mix of $\frac{1}{2}$ F and 1F backscatter. This patch can be seen in the top panel as a high power region that does not exhibit the striations associated with the rear field-of-view groundscatter. Thus, rather than groundscatter from the rear field-of-view, it is more appropriately interpreted as ionospheric backscatter from the front field-of-view with a low line-ofsight velocity along this beam.

The bottom panel shows that field-of-view assignments were most difficult to make for low power backscatter (22% of the unassigned points), backscatter with a narrow spatial extent (such at the ionospheric backscatter, most likely noise, at range gate 70), and at places where backscatter from a mix of origin fields-of-view and propagation path are observed. This is consistent with the results presented in Sections 4 and 5.1.

5.3. Meteor Ablation

This final example compares a period of two weeks of meteor ablation line-ofsight velocities with coincident neutral wind speeds. When meteoroids enter the atmosphere, they burn up and produce short-lived ion trails in the D-region. The D-region ionosphere drifts with the neutral atmosphere, allowing ionospheric observations in this region to reveal information about the dynamics of the mesosphere and

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lower thermosphere (MLT). The meteoroid trails are capable of reflecting HF signals,
and are commonly observed at distances within 400 km of SuperDARN radars [*Hall et al.*, 1997]. Since Doppler line-of-sight velocities will have the wrong sign if they
are placed in the wrong field-of-view, the performance of this detection method for
near range backscatter can be verified by comparing the MLT neutral winds to the
meteor ablation drifts.

Meteor ablation is selected using the criteria outlined by *Chisham and Freeman* [2013] for Saskatoon from beam 0 and beam 15. These two beams were chosen because each beam is aligned with the geographic meridian in one of the fields-of-view. For beam 0 the line-of-sight velocity is directed North-South in the front field-of-view, and beam 15 is aligned North-South in the rear field-of-view, as illustrated in the left panel in Figure 15. In this figure beam 0 is highlighted in the front field-of-view in blue, while beam 15 is highlighted in the rear field-of-view in magenta.

Neutral wind speeds are obtained for the locations that meteor ablation was de-660 tected using the 2014 version of the Horizontal Wind Model (HWM14, Drob et al. 661 [2015]). HWM14 is an empirical model of the neutral winds, which uses over 50 years 662 of ground- and space-based observations from across the globe to provide a statistical 663 view of the quiescent and disturbed neutral winds at a specified altitude between the 664 ground and the exobase. The meridional (North-South) winds are obtained for the 665 time and location of the meteor ablation observations, allowing a comparison to be 666 performed between the SuperDARN observations and the model. Because HWM14 667

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is a statistical model, two weeks of data surrounding the Northern winter solstice
(14-28 December 2001) are used in the comparison.

The right panels in Figure 15 show histograms of the differences between the meteor 670 ablation Doppler line-of-sight velocities (with signs adjusted so that the velocities are 671 positive when moving northward in both fields-of-view) and the HWM14 meridional 672 neutral winds. The top panel shows the histogram for meteor ablation from the front 673 field-of-view of beam 0, the middle panel shows the histogram for the rear field-of-674 view data from beam 15, and the bottom panel shows the histogram for beam 0 675 using the meteor backscatter that was removed from the front field-of-view (meteor 676 ablation that was placed in the rear field-of-view or not assigned a field-of-view). The 677 histograms used 5 m s⁻¹ bins for the velocity differences. The means and standard 678 deviations of the differences have also been calculated and are shown in the upper 679 left corner of the histogram plots. 680

Comparing the means and standard deviations shows that the distributions all 681 behave similarly. In each case the mean velocity difference lies close to zero and 682 there are large standard deviations. Examining the histograms, however, shows that 683 the mode of the binned velocity differences for beam 0 is -2.5 m s^{-1} when using 684 only meteor ablation assigned to the front field-of-view (top panel). The mode for 685 unassigned backscatter and backscatter assigned to the rear field-of-view for beam 686 0, however, lies near -57.5 m s^{-1} . This shows that the agreement between the beam 687 0 meteor ablation velocities and the HWM14 neutral winds has been improved by 688 selecting backscatter known to originate in the front field-of-view. 689

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6. Conclusions

Ionospheric and ground backscatter has been found to return from both the front and rear fields-of-view of SuperDARN radars. Incorrectly assuming that all backscatter returns from the front field-of-view can cause elevation angle errors on the order of tens of degrees and lead to incorrect interpretations of ionospheric convection. However, the origin field-of-view can be determined using interferometer data. This study presents a method to determine the origin field-of-view for backscatter detected at SuperDARN radars with an interferometer.

The determination method takes advantage of characteristic patterns seen in the 697 elevation angle to distinguish the origin field-of-view. This is done in six steps: calcu-698 lating the virtual height, examining the azimuthal variations in elevation angle, con-699 sidering the physical limits of the ionosphere, examining the variations in elevation 700 angle along a single beam, testing for consistency in the field-of-view assigned to spa-701 tial structures, and testing for consistency in the temporal evolution of backscatter. 702 In a test case with ionospheric backscatter returning from a known location (includ-703 ing beams 4-6 at Hankasalmi and beams 13-15 at Pykkvibær), this method correctly 704 identified the field-of-view for 77.82% of the ionospheric backscatter, misidentified 705 the field-of-view for 2.09% of the ionospheric backscatter, and was unable to de-706 termine a field-of-view for the remaining 20.09% of the ionospheric backscatter in 707 the regions disturbed by the ionospheric heater at Tromsø. The small percentage 708 of incorrect field-of-view assignments made under the difficult conditions presented 709

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by heater-induced ionospheric backscatter demonstrate the robustness of the field-of-view detection algorithm.

Several different types of SuperDARN backscatter were processed using the field-712 of-view determination method, including groundscatter, E- and F-region ionospheric 713 backscatter, and meteor ablation. In all cases that re-examined previously published 714 data, the origin fields-of-view were found to be consistent with the previously posited 715 physical explanations. The (previously unpublished) case of an F-region irregularity 716 apparently changing direction as the polar cap expanded is now clearly seen to travel 717 past the radar, moving from the front to the rear field-of-view. Groundscatter obser-718 vations separated into front and rear fields-of-view clearly showed the difference in 719 sunrise on either side of the radar and also showed a consistent pattern of atmospheric gravity waves. Finally, meteor ablation assigned to the front field-of-view was seen 721 to show better agreement with climatological neutral wind speeds when backscatter 722 assigned to the rear field-of-view or no field-of-view was removed. Thus, this study 723 has established the importance of accounting for the origin field-of-view when us-724 ing ionospheric and ground backscatter from a HF coherent scatter radar (such as 725 those that make up SuperDARN), and presented a reliable automated method to 726 accurately determine the origin field-of-view. The application of this method to HF 727 radar data processing will reduce the error in location-dependent quantities, such as 728 elevation angle, virtual height, and the Doppler velocity. 729

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(CSA) for the e-POP project, and from the Natural Science and Engineering Re-732 search Council of Canada (NSERC) under the Discovery Grants and Discovery Ac-733 celerator Supplements Programs. A python implementation of this detection process 734 is available as part of the DaViTpy python toolkit in the davitpy/pydarn/proc/fov 735 directory. The Virginia Tech SuperDARN database (sftp://sd-data.ece.vt.edu) is au-736 tomatically accessed by the DaViTpy python toolkit. This toolkit contains up-to-date 737 public access usernames and passwords that may be used to access the data without 738 installing DaViTpy. 739

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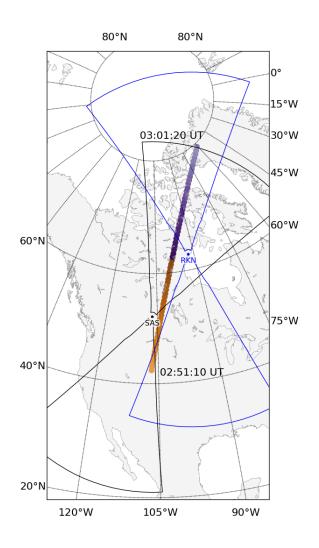


Figure 1. CASSIOPE pass (filled circles) over Saskatoon (SAS, black) and Rankin Inlet (RKN, blue) on 5 April 2015 with the area covered by the radar field-of-views outlined. The earlier times of the satellite pass are in orange, while the later times are in purple. For each SuperDARN radar, the front field-of-view extends to the north and the rear field-of-view extends to the south.

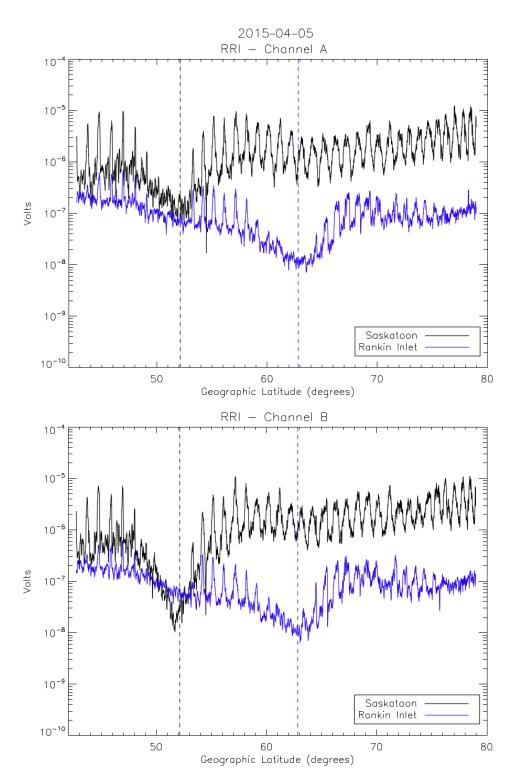


Figure 2.Mean voltage measured by RRI over Saskatoon (black) and Rankin Inlet (blue)on 5 April 2015. The SuperDARN radar locations are shown by the dashed vertical lines.D R A F TNovember 4, 2015, 5:25pmD R A F T

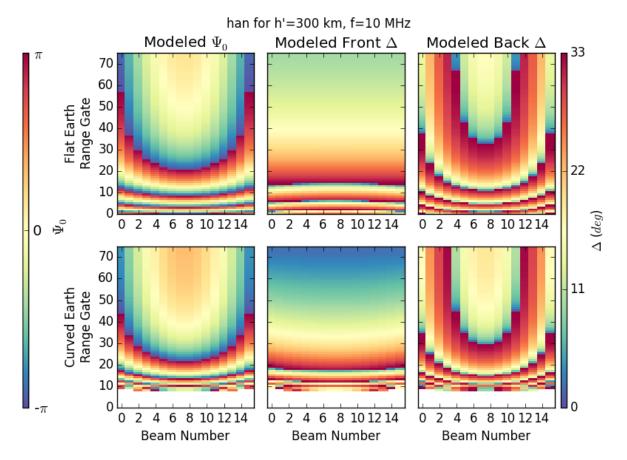


Figure 3. Phase lag (a), elevation angle for backscatter originating from the front field-ofview (b), and elevation angle for backscatter originating from the back field-of-view (c) for modeled ionospheric backscatter returning from a virtual height of 300 km with a frequency of 10.0 MHz at Hankasalmi. The top row assumes a flat earth and reproduces Figure 4 from *Milan et al.* [1997], while the bottom row assumes a curved, spherical earth.

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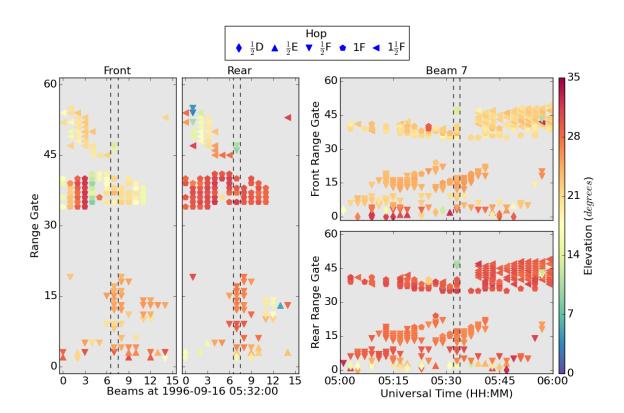


Figure 4. Elevation angle calculated for backscatter originating from the front and rear field-of-view at Hankasalmi for a scan at 05:32 UT and beam 7 from 05:00-06:00 UT on 16 September 1996. The ionospheric region and hop for each backscatter point is indicated by the shape of the marker.

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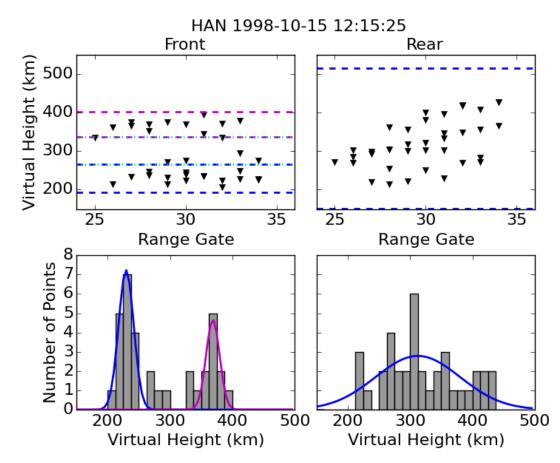


Figure 5. Virtual height distribution for $\frac{1}{2}$ F-hop backscatter centered at range gate 30 at Hankasalmi for a scan at 12:15 UT on 15 October 1998. The top panels show the virtual heights at each range gate and the bottom panels show the distribution of backscatter at these heights. The front field-of-view is shown on the left and the rear field-of-view is shown on the right. Overlaying the histograms are the Gaussian fits used to determine the virtual height windows. The resulting virtual height windows are plotted as dashed lines with the same colors in the top panels. In the top left panel, an additional region, denoted by dotted cyan lines, spans the gap between the two regions assigned by the Gaussian fits.

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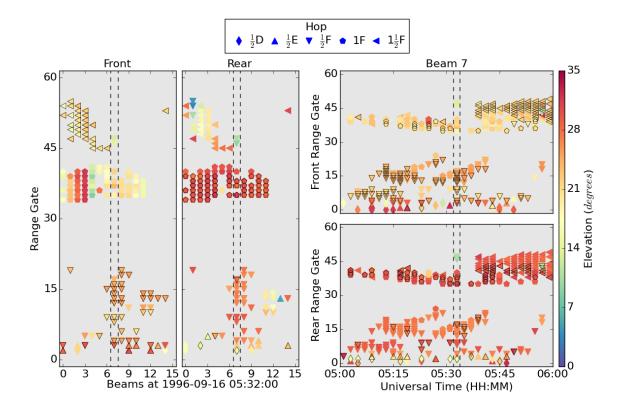


Figure 6. Elevation angle calculated for backscatter originating from the front and rear field-of-view at Hankasalmi for a scan at 05:32 UT and beam 7 from 05:00-06:00 UT on 16 September 1996. The ionospheric region and hop for each backscatter point is indicated by the shape of the marker. Black outlines show points that have been identified as originating in the selected field-of-view by evaluating the elevation angles for range gate, virtual height, and propagation path windows in each scan.

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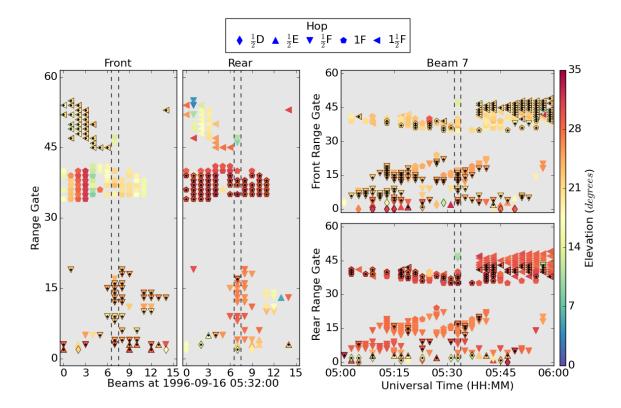


Figure 7. Elevation angle calculated for backscatter originating from the front and rear field-of-view at Hankasalmi for a scan at 05:32 UT and beam 7 from 05:00-06:00 UT on 16 September 1996. The ionospheric region and hop for each backscatter point is indicated by the shape of the marker. Black dots show points that have been identified as originating in the selected field-of-view by evaluating the elevation angles for range gate, virtual height, and propagation path windows in each scan. Black outlines include these points as well as backscatter points that only have a realistic virtual height in one field-of-view.

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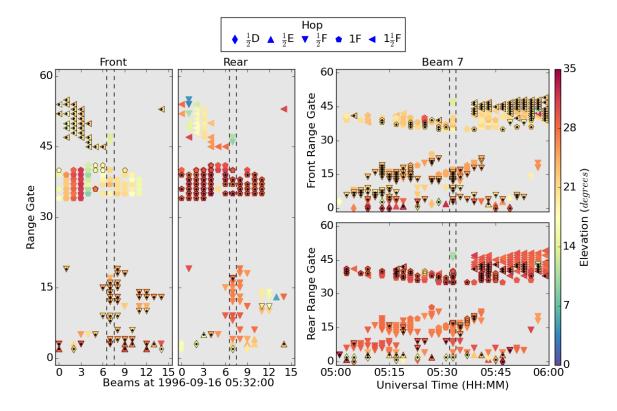


Figure 8. Elevation angle calculated for backscatter originating from the front and rear field-of-view at Hankasalmi for a scan at 05:32 UT and beam 7 from 05:00-06:00 UT on 16 September 1996. The ionospheric region and hop for each backscatter point is indicated by the shape of the marker. Black dots show points that have been identified as originating in the selected field-of-view by evaluating the elevation angles for range gate, virtual height, and propagation path windows in each scan, as well as those that only have a realistic virtual height in one field-of-view. Black outlines include these points as well as backscatter points whose elevation angles were evaluated along a single beam.

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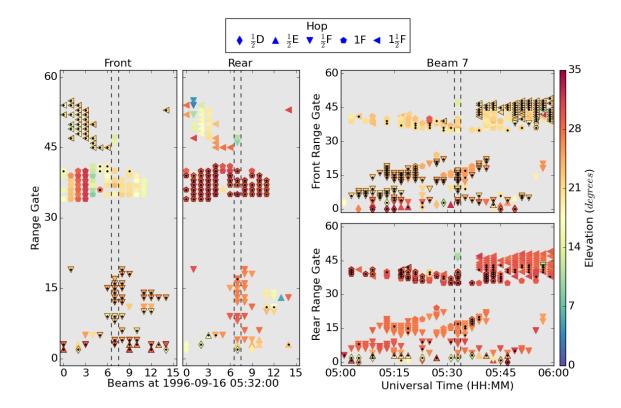


Figure 9. Elevation angle calculated for backscatter originating from the front and rear field-of-view at Hankasalmi for a scan at 05:32 UT and beam 7 from 05:00-06:00 UT on 16 September 1996. The ionospheric region and hop for each backscatter point is indicated by the shape of the marker. Black dots show points that have been identified as originating in the selected field-of-view by evaluating the elevation angles for range gate, virtual height, and propagation path windows in each scan, as well as those that only have a realistic virtual height in one field-of-view. Black outlines show the final field-of-view assignments.

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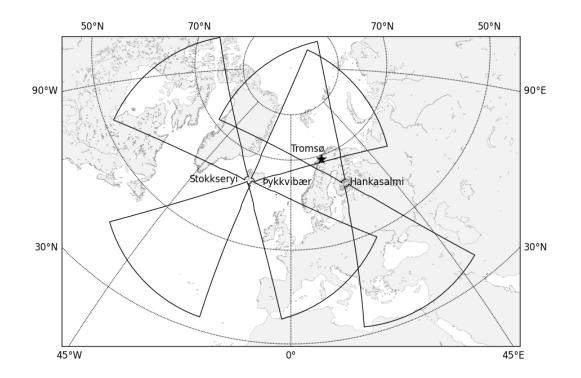


Figure 10. Front and rear fields-of-view for the three European SuperDARN radars: Hankasalmi, Þykkvibær, and Stokkseryi. The location of the ionospheric heater at Tromsø is marked by a black star.

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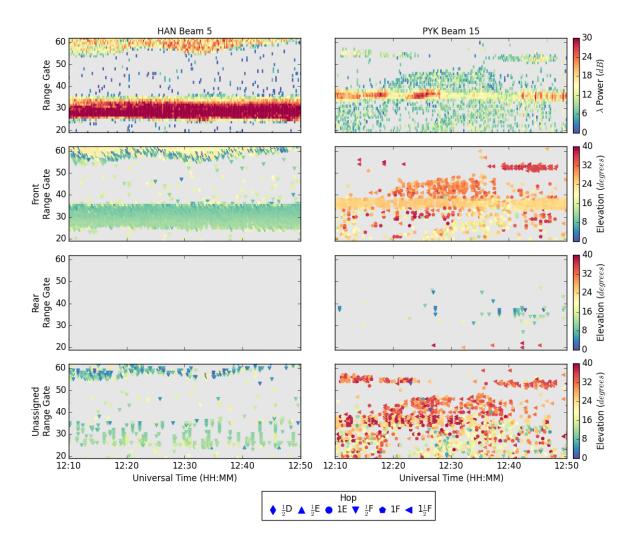


Figure 11. Power and elevation angle for beam 5 at Hankasalmi (HAN) and beam 15 at Pykkvibær (PYK), shown in the left and right columns respectively. The top panel shows the backscatter power, while the middle two panels show the elevation angles for the front and rear fields-of-view. The bottom panel shows the elevation for the front field-of-view for backscatter not assigned to either field-of-view. The shape of each point corresponds to the backscatter propagation path.

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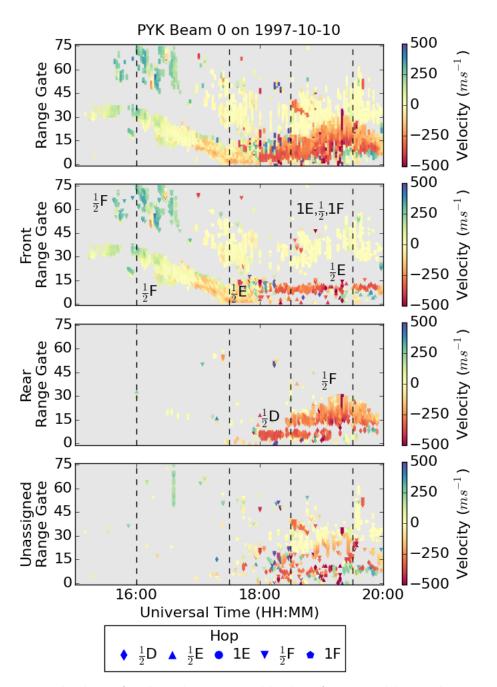


Figure 12. Doppler line-of-sight velocity at Pykkvibær for several hours during a geomagnetic storm. The four panels show all backscatter, backscatter from the front field-of-view, backscatter from the rear field-of-view, and backscatter not assigned to a field-of-view for each panel in descending order. In the bottom three panels the marker shape denotes the ionospheric propagation path. D R A F T November 4, 2015, 5:25pm D R A F T

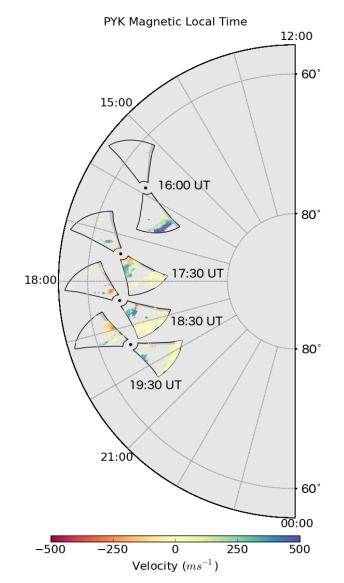
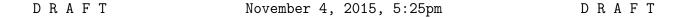


Figure 13. Doppler line-of-sight velocity at Pykkvibær for four times selected during a geomagnetic storm on 10 October 1997, placed on a polar map. This map contains magnetic latitudes from 58°-90°, and magnetic local times (MLT) from noon to midnight. The black circle denotes the radar location, with the front field-of-view extending northward and the rear field-of-view extending southward. The distribution of backscatter, accounting for origin field-of-view, is shown at each UT for the first 45 range gates.



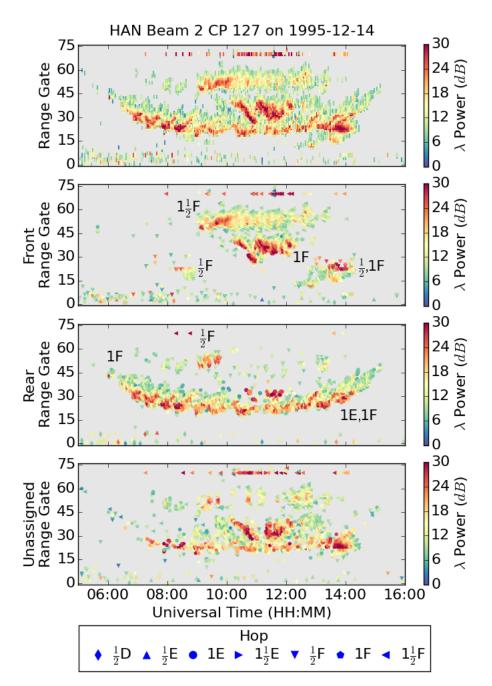
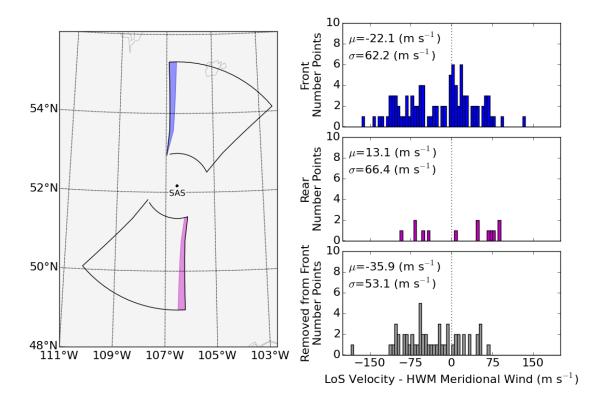


Figure 14. Backscatter power at Hankasalmi on 14 December 1995. The four panels show all backscatter, backscatter from the front field-of-view, backscatter from the rear field-ofview, and backscatter not assigned to a field-of-view for each panel in descending order. In the bottom three panels the marker shape denotes the ionospheric propagation path.

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2001-12-14 to 2001-12-28

Figure 15. Histograms of differences between Doppler line-of-sight (LoS) velocities from meteor ablation at Saskatoon (SAS) and HWM14 meridional neutral winds from the same locations. The leftmost panels shows the geographic region these observations are taken from. To ensure that the Doppler line-of-sight velocities are oriented along the geographic meridian, front field-of-view meteor ablation is selected from beam 0 (highlighted in blue) and rear field-of-view meteor ablation is selected from beam 15 (highlighted in magenta). The right panels show the histograms of the velocity differences for the front field-of-view, the rear field-of-view, and the backscatter removed from the front field-of-view of beam 0 in the top, middle, and bottom panels respectively. The mean (μ) and standard deviation (σ) of the distributions are also given in each panel.

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 Table 1. Ionospheric layer altitude limits and allowed propagation paths

 Ionospheric layer Virtual Height (km)

Layer	Virtual He	Hops	
	Minimum	Maximum	
D	75	115	$\frac{1}{2}$
Ε	115	150	$\frac{1}{2}, 1, 1\frac{1}{2}$
F	150	900	Ĩ All Ĩ

 Table 2.
 Field-of-View scan windows

Applied Range Gates	Window Widths	
	Initial	Extended
1-5	2	5
5 - 25	5	8
25 - 40	10	13
40 - 76	20	23

Table 3. Field-of-View assignments for ionospheric backscatter returning from heater-

induced irregularities

Radar	HAN		РҮК		Both
Beam	5	4 - 6	15	13 - 15	All
Total Points	2107	5660	941	2082	7742
Front	86.43%	84.33%	64.93%	60.14%	77.82%
Rear	0.00%	0.00%	3.08%	7.78%	2.09%
Unassigned	13.57%	15.67%	31.99%	32.08%	20.09%

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