The value of transcriptomics to advance knowledge of the 1 immune response and diagnosis in TB 2

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22 Abstract

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Blood transcriptomics in tuberculosis have revealed an interferon-inducible 24 signature that diminishes upon successful treatment, promising improved 25 diagnostics and treatment monitoring, which are essential to eradicate 26 tuberculosis. Sensitive radiography revealing lung abnormalities and blood 27 transcriptomics have demonstrated heterogeneity in active tuberculosis 28 29 patients and exposed asymptomatic latent individuals, suggesting a continuum of infection and immune states. Here, we describe the immune response to M. 30 tuberculosis infection revealed using transcriptomics, and differences between 31 clinical phenotypes of infection that may inform temporal changes in host 32 immunity associated with evolving infection. We also review the diverse 33 34 reduced blood transcriptional gene signatures that have been proposed for tuberculosis diagnosis and identification of at-risk asymptomatic individuals, 35 36 and suggest novel approaches for developing such biomarkers for clinical use.

Tuberculosis (TB) remains a major health problem worldwide and is the leading 38 39 cause of mortality from a single infectious agent, with 1.67 million reported deaths in 2016¹. The complexity of the immune response upon airborne 40 transmission of the causative agent Mycobacterium tuberculosis and during 41 progressive disease remains poorly characterised and understood^{2,3}. Confirmation 42 of active TB is based on the combination of symptoms and pathology 43 (radiographically or histologically identified), as well as microbiological evidence 44 of infection in sputum, typically by culture, which can take up to 6 weeks, and/or a nucleic acid amplification test 1,4 (Table 1). However, a sputum sample from 45 46 patients can be hard to obtain, and although bronchoalveolar lavage can be used 47 as a substitute, this is prohibitive in countries with limited resources and difficult 48 in children^{4,5}. Furthermore, *M. tuberculosis* can disseminate from the lung and 49 cause disease throughout the body. Thus, alternative tests are required to improve 50 and support the diagnosis of TB. 51

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It is estimated that one fourth of all individuals worldwide have been infected by 53 *M. tuberculosis.* The majority of infected individuals generate an effective 54 immune response to possibly eliminate or control the infection and remain 55 clinically asymptomatic, termed latent TB infection (LTBI), which is not 56 transmissible. A small proportion of about 5-15% of latent individuals, however, go 57 on to develop active TB disease at some stage during their lifetime¹. Current 58 diagnosis for LTBI involves testing reactivity to mycobacterial antigens, determined 59 by a tuberculin skin test (TST), or an *M. tuberculosis*-specific interferon- γ (IFN- γ) 60 release assay (IGRA), which can demonstrate whether a T cell-mediated immune 61 response has been elicited in response to the infection⁶, but both tests have poor 62 prognostic value. These tests cannot determine whether the infection has been 63 cleared, whether the individual is controlling the infection or may have subclinical 64 disease, or whether the individual will go on to develop active TB (Fig. 1). Thus, 65 these methods incompletely capture the spectrum of infectious states observed 66 after exposure to *M. tuberculosis* infection. 67

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Heterogeneity of LTBI was recognised by epidemiological differences in the risk of 69 TB between recent and remote infection⁷. In a cohort of 35 asymptomatic LTBI 70 individuals with HIV-1 co-infection, combined positron emission and computed 71 tomography (PET-CT) identified ten individuals with pulmonary abnormalities 72 73 suggestive of subclinical active disease who were substantially more likely to progress to clinical disease⁸. These findings challenge the classical view that 74 divides TB into two states -latent infection or active disease- and urge the 75 identification of biomarkers predictive of progression⁸. Progression from LTBI to 76 active TB disease can be clinically subtle and individuals with subclinical TB have 77 been reported to transmit the organism to others⁹. Earlier identification of active 78 79 TB in individuals with undiagnosed disease is needed to initiate early treatment essential to limit onward transmission. A means of screening high-risk populations 80 to identify people with early disease or those with latent infection at high-risk of 81 developing TB is essential for early application of prophylactic therapy to prevent 82 TB. 83

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The dynamic relationships that exist between the proposed states of latent TB or subclinical TB and immune factors that influence possible transition between states are not known. Although protective factors have been described, including

IL-12, IFN- γ and TNF, our understanding of the early phase of *M. tuberculosis* 88 infection or progression to disease in humans is very limited ^{2,10-13,14,15}. Risk factors 89 responsible for a large proportion of TB cases in the general population³ include HIV-coinfection¹⁶, anti-TNF therapy^{15,17}, vitamin D deficiency¹⁸, protein energy 90 91 malnutrition¹⁹, pregnancy²⁰ and intercurrent viral infections^{21,22}. A better 92 understanding of the early immune response to *M. tuberculosis* infection in 93 94 individuals who control the infection after recent contact, remain sublclinical or go on to develop disease would greatly advance the development of improved 95 96 diagnostics.

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- 98 Blood transcriptomics elucidate the host response in TB
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Blood transcriptomic profiling has provided an unbiased analysis and 100 comprehensive overview of host factors perturbed upon infection and in active TB. 101 A transcriptional signature dominated by IFN-inducible genes was identified in the 102 whole blood of active TB patients, but not in healthy controls and the majority of 103 individuals with LTBI²³. This IFN-inducible gene signature included genes 104 downstream of both IFN- γ and type I IFN, and was diminished upon successful 105 treatment^{23,24}. This transcriptomic signature has been confirmed in several studies worldwide with independent clinical cohorts²⁴⁻³⁴, and in meta-analyses combining 106 107 several of these cohorts³⁵⁻³⁸. An under-abundance of a type II IFN response in the 108 transcriptional blood signature in TB patients, with downregulation of IFNG and 109 TBX21 has also been found³⁸. 110

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Type I IFN has a deleterious effect in the control of TB in mouse models^{2,21,39-45}. 112 consistent with a correlation between an IFN-inducible transcriptional signature in 113 the blood and radiographic lung disease in human TB²³ and in non-human primate 114 models⁴⁶. Production of type I IFN by macrophages infected with different strains 115 of *M. tuberculosis* can result from differential activation of the pattern recognition 116 receptors TLR2 or TLR4 and its downstream adaptor protein TRIF⁴⁷. The cytosolic 117 DNA sensor cGAS has a central role in the detection of mycobacterial DNA⁴⁸⁻⁵⁰ or 118 mitochondrial DNA⁵¹ released in the host cytosol, and the induction of type I IFN 119 transcription in macrophages. Although *M. tuberculosis* can induce type I IFN in 120 macrophages by these diverse pathways, various studies^{22,43,52,39-42,45,53} have shown 121 that elevated type I IFN, resulting from either virulent strains of M. tuberculosis^{40,41,47}, genetic deletion of type I IFN-regulatory genes such as $tpI-2^{43}$ or adjuvant^{42,53} or viral coinfection²², are required to induce detrimental effects to 122 123 124 the host upon *M. tuberculosis* infection (Fig. 2). High amounts of type I IFN could 125 result from differences in the genetic background^{39-41,54}, the mycobacterial challenge dose or strain^{40,41,47} or microbiome composition^{55,56,2}. An association 126 127 between impaired type I IFN signaling and increased resistance to TB has been 128 reported⁵⁷. Patients with an inherited deficiency in the gene encoding ISG15⁵⁸ are 129 more susceptible to mycobacterial infections⁵⁹, although there is some debate as 130 to whether it is the increase in type I IFN that is responsible for the susceptibility 131 132 to TB.

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Various mechanisms account for the adverse effects of type I IFN in TB (reviewed in⁴⁴), including inhibition of IL-1 and prostaglandin E2 (PGE2), which are critical for the defence against *M. tuberculosis* infection^{42,52,60,61}. The adverse effects of type I

IFN on TB may be explained by the induction of IL-10, which suppresses the 137 production of proinflammatory cytokines required for TB control^{52,62}. Elevated IL-138 10 observed in mouse models of TB and human disease² contribute to increased 139 bacterial loads^{2,12,63,64,65}. It is tempting to speculate that blockade of IFN $\alpha\beta R$ 140 signaling, which is currently in clinical trials for autoimmunity⁶⁶ could be applied in 141 conjunction with anti-mycobacterial drugs to reduce high expression of type I IFN 142 143 in the treatment of TB, especially in individuals with very severe disease and/or multi-drug resistance TB. The use of biologics as immunemodulators is supported 144 by findings that individuals with mutations in IL12RB or IFNG¹⁴ have been 145 successfully treated with a combination of an anti-mycobacterial drugs and/or IFN-146 γ or IL-12 respectively. 147

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In some cases, type I IFN may have a protective role against mycobacterial 149 diseases^{67,68}, indicating context-specificity in the pathogenesis of TB⁴⁴. Low 150 amounts of type I IFN are required for the production of IL-12 and TNF⁵², 151 suggesting that low amounts of type I IFN may be protective against TB in the 152 153 context of low *M. tuberculosis* burden. Conversely, high and sustained type I IFN 154 signaling, potentially resulting from different genetic or context-specific effects, including coinfection, may contribute to TB pathogenesis, in part by induction of 155 IL-10 and blockade of the protective factors required to control the mycobacterial 156 infection (Fig. 2). 157

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159 Blood transcriptomics reveal heterogeneity in LTBI and TB progression

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A longitudinal transcriptomic analysis in cynomolgus macaques⁴⁶, which recapitulate the spectrum of clinical outcomes observed in human TB^{69,70}, reported increased transcriptional activity in innate and adaptive pathways early during infection, including an IFN signature. The blood transcriptome correlated with lung inflammation, as measured by PET-CT at early time points post-infection, and with the extent of disease^{46,71}.

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Blood transcriptomics of latent individuals who have pulmonary abnormalities 168 169 suggestive of subclinical active disease and are co-infected with HIV, identified an over-abundance of the classical complement pathway and Fcy receptor 1, and 170 171 increased amounts of circulating immune complexes in individuals with evidence of subclinical disease^{8,72}. The increased expression of classical complement 172 173 components in TB may be a response to increased production of immune complexes at the site of disease and may allow the inhibition of immune complex 174 precipitation by C1g to minimize lung damage 8,72 . The increase in complement 175 components was also observed in a cohort of 6,363 healthy adolescents that were 176 followed for 24 months or $more^{73}$. Individuals who ultimately developed 177 microbiologically-confirmed TB disease more than 6 months after enrolment 178 179 $(n=44)^{34}$ were compared to 106 matched controls who remained healthy during two years of follow up. Transcriptomic analysis of blood collected every 6 months until 180 diagnosis showed a sequential modulation of immunological processes that 181 preceded the manifestation of TB and subsequent clinical diagnosis³⁴. Type I and II 182 IFN signaling, and genes involved in the complement cascade, were observed up to 183 184 18 months before diagnosis, while changes in other inflammatory genes were observed closer to disease manifestation³⁴. However, reinfection is prevalent in 185

high TB incidence countries⁷⁴⁻⁸¹ making it challenging to separate processes arising 186 as a result of reactivation of infection from those caused by reinfection. 187 Independent reanalysis of the same dataset suggested heterogeneity of the 188 complement and $Fc\gamma$ -receptor genes at an individual level⁷². Collectively, these 189 studies^{72,34} suggested that there may be a state consistent with subclinical TB, 190 consisting of a specific increase in IFN response genes and activation of the 191 192 complement cascade, which can be revealed in blood in individuals with no other 193 signs of disease. Both studies restricted their analysis to IGRA⁺ LTBI, assuming 194 IGRA⁻ individuals do not have latent infection. IGRAs have an overall sensitivity of approximately 85% in microbiologically-confirmed active TB, indicating that a 195 proportion of latent infections will be missed using this test alone 82 . 196

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198 Although high TB incidence settings have often been referred to as "real world" TB, TB in low incidence settings remains a burden on public health, and both 199 200 settings need to be addressed in order to eradicate TB. There are clear differences 201 in the priorities, needs and goals for TB control between high and low TB incidence 202 settings (Supplementary Table 1). High-burden, low-income settings have fragile health service frameworks with scarce resources and limited availability of either 203 204 standard or advanced diagnostics, which allows onward transmission of infection that perpetuates poor TB control. Consideration of TB prevention strategies will be 205 206 complicated in very high-incidence settings by the high risk of re-infection. Low-207 burden, high-income settings have well-resourced health service frameworks and extensive access to diagnostic tools. A biomarker sampled from an easily 208 accessible part of the body, that identifies latent infection at high risk of TB 209 210 progression with sensitivity and specificity greater than IGRAs and TST would greatly advance earlier TB diagnosis. Biomarkers of TB risk may best be validated 211 212 reliably in low incidence TB settings where the risk of re-infection is low, unless 213 study design in high-burden countries verifies that disease did not arise from 214 reinfection by comparing the *M. tuberculosis* sequence from the index TB case with that of the LTBI contact who seemingly reactivates TB. 215

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A proportion of LTBI individuals across each of the cohorts from London, South 217 Africa and Leicester cluster with active TB patients on the basis of their 218 transcriptional blood signature, similar to that observed in active TB; such 219 individuals were termed LTBI outliers^{23,38}. Modular analysis indicated that genes 220 co-expressed in LTBI outliers and active TB patients represented biological 221 processes linked to the IFN response, complement system, myeloid and pattern 222 recognition receptors genes³⁸. In addition, a reduced abundance of *IFNG* and *TBX21* 223 in these LTBI outliers suggested a host response evolving towards that of active 224 TB^{38} . Because these LTBI outliers represented static instances of latent infection, 225 transcriptional profiles of individuals recently exposed to *M. tuberculosis* by 226 contact with active TB patients who either remained healthy (n=31) or developed 227 228 active TB disease (n=9) were evaluated over time in Leicester, a setting with 229 minimal risk of reinfection. Most IGRA individuals who remained healthy showed 230 few perturbations in their modular transcriptional signature over time. A 231 proportion of the IGRA⁺ individuals had profiles similar to that observed in TB, although in most cases this was transient³⁸. In contrast, a modular signature 232 comparable to that of active TB was observed in the majority (67%) of those who 233 progressed to TB before diagnosis³⁸. The blood transcriptome thus provides a 234 235 sensitive approach to characterise between-subject heterogeneity and withinsubject variability following TB exposure and provide the hypothesis of indicators of transition in the host immune response that signal progression of *M. tuberculosis* infection³⁸. It also appears that early events after exposure, measured as patterns of dynamic change in the transcriptional immune response, may influence the fate of infection^{38,46}.

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242 Host transcriptional gene signatures in the diagnosis of TB

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A key advantage of developing the blood transcriptome as a biomarker for TB 244 progression is the ease of blood testing. This is relevant for groups in which 245 microbiological diagnosis is constrained by poor capability for sample acquisition 246 including pulmonary TB associated with little or no sputum production, typically 247 seen in early disease, prior to cavitation; extra-pulmonary TB, where 248 249 microbiological diagnosis requires examination of samples from the infected tissue 250 site using invasive procedures; paediatric TB, which is paucibacillary and minimally productive of sputum; and HIV associated TB, where pathology leading to sputum 251 252 production is diminished.

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Use of transcriptomics as diagnostics specific for TB relies on the ability to identify 254 commonalities and differences in the host response between TB and other 255 infections and diseases^{17,29,38,83-85}. Although TB and sarcoidosis patients show a big 256 overlap in the blood transcriptome, by sharing IFN signalling and proinflammatory 257 pathways^{27,29,86}, a subset of differentially regulated genes discriminated between 258 the two pathologies, as well as between TB and lung cancer and pneumonia²³. Two 259 sets of IFN-inducible genes were also shared between TB and viral infections, 260 albeit at different enrichment levels³⁸. While enrichment of complement system 261 and myeloid genes was greater in TB, the IFN-inducible gene set containing pattern 262 recognition receptors and virally-induced genes was higher in viral infections³⁸. 263 Conversely, perturbations in cell proliferation, metabolism and haematopoiesis 264 were observed in viral infections, but not in TB³⁸. 265

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The development of gene signatures that could be used as diagnostic biomarkers 267 268 for TB requires the definition of a small gene set with high diagnostic accuracy in multiplex testing. Currently there is no consensus on these diagnostic signatures. 269 Studies have reported distinct sets of genes, each developed using standard 270 machine learning algorithms, most with similar performance (Table 2 and Supplementary Table 2)^{33,87-92}. These signatures cannot discriminate between TB and diseases such as pneumonia^{93,94} and also identify acute viral infections³⁸. This 271 272 273 is a potential problem in children and some adults, where primary TB can present 274 275 with clinical and radiological features often indistinguishable from respiratory viral illness^{95,96}. In HIV-coinfected persons, TB frequently presents as a rapid onset of 276 277 non-specific respiratory and systemic illness. Tuberculous meningitis, where the outcome critically depends on early intervention, requires an average of 3 health 278 279 care practitioner visits before it is even suspected⁹⁷. In the context of an LTBI screening programme, the prevalence of intercurrent viral illness at the time of 280 testing may be significant, and will present a confounder, lowering the specificity 281 of existing gene signatures for this purpose. 282

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A 20-gene signature composed of genes perturbed in TB, but not in influenza was developed to circumvent these problems based on a modular approach (Fig. 3),

followed by machine-learning algorithms³⁸. This 20-gene signature captures 286 287 multiple biological pathways and is able to discriminate, albeit with lower sensitivity than less discriminant signatures, between TB and LTBI (Supplementary 288 Table 2), and importantly, does not detect influenza, as an example of viral 289 infections³⁸, and provides a proof of principle for new approaches to develop 290 reduced signatures. The 20-gene signature was detected in the maiority of 291 individuals who progressed to TB in the Leicester cohort weeks or even months 292 before clinical diagnosis³⁸, but was only minimally enriched in most IGRA⁻ contacts 293 and only transiently enriched in the IGRA⁺ group who did not progress to disease. 294 295 Other low number-gene signatures have been identified in asymptomatic LTBI individuals and patients with subclinical TB who progressed to active TB^{75,100} (Table 296 2 and Supplementary Table 2). A 16-gene risk signature was evident up to six 297 298 months before clinical presentation with disease in a South African adolescent 299 cohort ⁷³. This 16-gene signature inadvertently detected influenza against healthy controls with high specificity and sensitivity³⁸. In multiple sub-Saharan African 300 cohorts of exposed, HIV-negative contacts, a 4-gene-transcript signature identified 301 302 individuals at high-risk of developing TB up to two years before the onset of 303 disease⁹⁸.

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These findings suggest that there might be a trade-off between achieving a 305 306 diagnostic TB signature with high sensitivity against LTBI, as well as high specificity 307 against other diseases, and that alternative and complementary approaches, beyond machine algorithms should be considered for signature development. For 308 example, applying a modular approach to inform gene expression changes across 309 the global immune response, observed in TB, but not in LTBI or other potentially 310 confounding diseases (Fig. 3), followed by machine-learning algorithms, to select 311 312 the most discriminant genes across multiple differentially expressed modules, may 313 allow identification of a more specific low-number gene signature. Pooling such a 314 signature with a second signature, characterised by high sensitivity for TB detection, and applying combined yet discriminatory algorithms, could allow the 315 development of a test to diagnose TB with greater confidence. Additional use of 316 gene sets that detect and rule out confounding diseases, such as intercurrent viral 317 infections, could be used to supplement these gene sets. The inclusion of the IFN-318 inducible genes that diminish upon successful treatment, as early as 2 319 weeks^{23,24,30,99}, may provide added clinical utility for determining optimal 320 321 treatment duration. The diminished blood transcriptomic signature observed 322 during successful TB treatment could also help in monitoring the response to treatment and in the development of new drugs, considering that current tests for 323 monitoring drug efficacy, such as the early bactericidal assays and 2-month sputum 324 325 conversion are both time-consuming and lack specificity, even when sputum can be obtained⁹⁹. Such diagnostic biomarkers will need to be carefully tested in a 326 327 multitude of TB cohorts from distinct geographical locations and optimised for 328 specificity using cohorts of other infections. New molecular platforms with 329 increasing capacity of multiplexing could be of help in facilitating the use of such 330 tests in the clinic. Furthermore, it is anticipated that different contexts, goals and clinical applications in high-incidence, low-income countries, or low-incidence, 331 high-income countries (Supplementary Table 1), will dictate the use of a 332 transcriptomic based diagnostic or prognostic, in addition to a tool for monitoring 333 drug treatment. 334 335

336 Conclusions and future perspectives

337 There is still limited understanding of the complete spectrum of infectious states 338 evident in latently infected individuals. High sensitivity radiographic imaging 339 together with blood transcriptomic signatures have revealed the heterogeneity of 340 latent TB in both humans and non-human primate models. However the events 341 that determine whether an exposed individual will control the infection or go on to 342 develop TB are unknown. It is critical to understand the host response in the lung 343 directly following exposure to *M. tuberculosis* infection to determine how this may 344 influence the outcome of infection. This could be achieved using transcriptomic 345 and complementary immunological approaches in well-defined and carefully 346 curated clinical cohorts, longitudinally profiling blood as well as lung samples (e.g. 347 bronchoalveolar lavage) from individuals exposed to TB. This will advance our 348 knowledge of the local host immune response involved in the control of infection 349 350 or progression to disease.

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Transcriptomic approaches also show promise with respect to the development of biomarkers for diagnosis and prognosis of TB, and for drug treatment monitoring. Biomarker signatures for clinical use would need to be downsized to facilitate a multiplex type test, be rapid and automated, with a turnaround time of 2-3 hours, and inexpensive, to be feasible for implementation testing in a field or bedside setting. This would facilitate effective and early treatment which is essential for the eradication of TB.

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617 Acknowledgements

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619 AOG and AS were funded by The Francis Crick Institute, (Crick 10126; Crick 10468), which receives its core funding from Cancer Research UK, the U.K. Medical 620 621 Research Council, and the Wellcome Trust. RJW was supported by The Francis 622 Crick Institute, (Crick 10128), which receives its core funding from Cancer 623 Research UK, the U.K. Medical Research Council, and Wellcome; by Wellcome (104803; 203135); MRC South Africa under strategic health innovation partnerships 624 625 EDCTP SR1A 2015-1065; and NIH 019 AI 111276 and UO1AI115940. Recent work 626 contributing to this review was from the NIHR Leicester Biomedical Research 627 Centre for their support of the study at Leicester; BIOASTER Microbiology 628 Technology Institute, Lyon, France; Medical Diagnostic Discovery Department, 629 bioMérieux SA, Marcy l'Etoile, France; with BIOASTER investment, receiving 630 funding from the French Government through the Investissement d'Avenir program 631 (Grant NO. ANR-10-AIRT-03).. The views expressed are those of the author(s) and 632 not necessarily those of the NHS the NIHR or the Department of Health.

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634 Competing interests

635

The authors declare no competing interests and note that previous patents held by
Anne O'Garra on the use of the blood transcriptomic for diagnosis of tuberculosis
have lapsed and discontinued. Marc Rodrigue is an employee of BioMérieux.
BioMérieux has not filed patents related to this study. Furthermore, the authors
also confirm that this does not alter their adherence to all the Nature
Immunology's policies.

643 Figure legends

644

645 Figure 1. Heterogeneity in outcomes upon exposure to *M. tuberculosis*. Upon 646 contact with an active TB patient (red), an individual with recent exposure to M. tuberculosis (grey) can manifest a range of infectious states. The majority of the 647 exposed individuals will remain asymptomatic with the possible scenarios: remain 648 649 uninfected or eliminate the bacteria (purple); become infected but control the bacteria either by innate immune responses (purple) or by *M. tuberculosis* antigen-650 specific T cell response as detected by the IGRA test (gradation from purple to 651 black); develop subclinical TB and show pulmonary abnormalities by advanced 652 radiographic approaches and a transient blood signature (black). A small 653 proportion of exposed individuals will progress to active TB (red) and further 654 represent a spectrum of infection states based on the *M. tuberculosis* load as 655 measured in sputum by a smear test (indicative of high bacterial load); M. 656 657 tuberculosis culture or nucleic acid amplification test; or if negative in sputum, measured in BAL, when possible (indicative of lower bacterial load) and may 658 659 manifest different degrees of symptoms (different degrees of red). Adapted from Pai et al., 2016 (Ref. 3) 660

Figure 2. The immune response to *M. tuberculosis* infection. The immune 661 response generated in the host upon exposure to *M. tuberculosis* is complex and 662 remains incompletely understood, with limited information about host factors that 663 664 determine control versus progression. The cytokines IL-12, IL-1 and TNF, produced by innate immune cells, as well as IFN- γ produced by T cells, are protective against 665 TB. Upon infection with *M. tuberculosis*, resident lung alveolar macrophages can 666 become infected. (a) Early and low levels of type I IFN from macrophages, 667 inflammatory monocytes and myeloid dendritic cells (DCs) and other innate 668 669 immune cells at low mycobacterial loads can induce IL-1, IL-12 and TNF. (b) High 670 and sustained levels of type I IFN from the macrophage and other sources (e.g. paracrine type I IFN produced by DCs upon infection with virus), can be harmful 671 and lead to the production of the suppressive cytokine IL-10 leading to the 672 inhibition of the production of IL-1, IL-12 and TNF by macrophages and DC, and 673 674 inhibition of their activation by IFN- γ . Thus in the context of low mycobacterial 675 loads type I IFN may be protective, whereas high mycobacterial loads and 676 increased and sustained levels of type I IFN may result in disease progression.

677 Figure 3. Modular host gene signatures in tuberculosis and in other infections and diseases. Modular approaches can be utilized to tease out subtle differences 678 679 between TB and other diseases and infections, by profiling blood from patients using transcriptomics approaches, such as RNA-sequencing, to capture the entire 680 681 transcriptome. Each gene within the transcriptome is expressed at a particular 682 level across each individual sample, and genes involved in similar biological 683 pathways are co-ordinately expressed. These groups of co-ordinately expressed 684 genes constitute individual modules that represent discrete biological pathways 685 and can be identified using unbiased approaches such as weighted gene coexpression network analysis (WGCNA). Perturbation as a response to infection with 686 687 M. tuberculosis or other pathogens, can be measured within each module of coexpressed genes, compared to healthy controls. Using such an approach, modular 688 689 signatures can be identified for TB and other infections and diseases, to inform on

- the immune response, and this information can also be utilized to develop reduced gene signatures that are more specific to TB to develop biomarkers for diagnosis.

Table 1. Diagnostics for TB currently in clinical use

Type of measurement	Objective	Tests available	Sample type	Measure	Advantages	Disadvantages
presence of	To confirm active tuberculosis	Smear microscopy	Expectorated sputum Bronchoalveolar lavage (in developed countries)	Presence of mycobacteria	Simple, rapid and inexpensive Highly indicative in high tuberculosis incidence areas Allows identification of highly infectious patients	Operator dependent and labour intensive Poor sensitivity Difficult in extra-pulmonary, pediatric, and HIV co-infected tuberculosis Cannot distinguish viable from non viable organisms
		Bacterial culture	Expectorated sputum Bronchoalveolar lavage (in developed countries)	Confirmation of <i>M. tuberculosis</i> Evaluation of drug sensitivity	High sensitivity and specificity Enables determination of phenotypic and genotypic drug sensitivity	Culture not successful in all cases (70% in pulmonary TB and <50% in all forms of extra-pulmonary TB) Results can take up to 6 weeks or more
		Nucleic acid amplification tests (eg. GeneXpert® MTB/RIF assay)	Expectorated sputum Bronchoalveolar lavage (in developed countries)	Direct detection of <i>M.</i> <i>tuberculosis</i> Evaluation of certain drug sensitivities	High sensitivity and specificity Rapid turnaround time (~2 hours)	Requires sputum that can be hard to obtain from 30% of adults and most children Expensive for resource-poor settings Cannot distinguish viable from non viable organisms
Detect host response to infection	To confirm history of <i>M.</i> <i>tuberculosis</i> infection	Tuberculin skin test (TST)	Skin sensitization	Memory response to mycobacterial antigens	Relatively simple test Cheap	Cannot distinguish active from latent disease Cannot distinguish remote from recent infection Cannot distinguish from other mycobacteria or BCG Operator dependent and subjective assessment of induration size
		Interferon gamma release assay (IGRA)	Blood	Memory response to <i>M.</i> <i>tuberculosis</i> antigen	Specific for <i>M.</i> tuberculosis	Cannot distinguish active from latent disease Cannot distinguish remote from recent infection Expensive Can be practically challenging

 Table 2. Blood transcriptional reduced gene signatures proposed for TB diagnosis

Gene List from 8 published studies**	Frequency of gene in each proposed published** signature	Singhania et al. 2018**	Suliman et al. 2018**	Zak et al. 2016**	Maertzdorf et al. 2016**	Roe et al. 2016**	Sweeney et al. 2016**	Kaforou et al. 2013** (TB vs. LTBI)	Kaforou et al. 2013** (TB vs. Other Diseases)
DUSP3	3	-	-	-	-	-	DUSP3	DUSP3	DUSP3
FCGR1A	3	-	-	FCGR1A	FCGR1A	-	-	FCGR1A	-
GBP5	3	-	-	GBP5	GBP5	-	GBP5	-	-
SEPT4	3	-	SEPT4	SEPT4	-	-	-	-	SEPT4
ANKRD22	2	-	-	ANKRD22	-	-	-	ANKRD22	-
BATF2	2	-	-	BATF2	-	BATF2	-	-	-
FCGR1B	2	-	-	FCGR1B	-	-	-	FCGR1B [#]	-
FCGR1C	2	-	-	-	FCGR1C	-	-	FCGR1C	-
GAS6	2	-	GAS6	-	-	-	-	GAS6 [#]	-
GBP1	2	-	-	GBP1	GBP1	-	-	-	-
GBP6	2	-	-	-	-	-	-	GBP6	GBP6
LHFPL2	2	-	-	-	-	-	-	LHFPL2	LHFPL2
S100A8	2		-		S100A8	-	-	S100A8	-
SCARF1	2	SCARF1		SCARF1					
SERPING1	2	-	-	SERPING1	-	-	-	-	SERPING1
AAK1 ALDH1A1	1	-	-	-	_	-	-	-	AAK1 ALDH1A1 [#]
APOL1	1	-	-	- APOL1	-	-	-	-	
APOLI APOL4	1	- APOL4	-	-	-	-	-	-	-
ARG1	1	-	-	-	-	-	-	-	- ARG1
ARHGEF9	1	- ARHGEF9	_	-		-		-	ARGI -
ARNTL2	1	ARNTL2	-	-	-	-	-	-	-
BACH2	1	BACH2	-	-	-	-	-	-	-
BDH1	1	BDH1	-	-	-	-	-	-	-
BLK	1	-	BLK	-	-	-	-	-	-
BTN3A1	1	_	-	-	-	_	-	-	BTN3A1
C190RF12	1	-	-	-	-	-	-	-	C190RF12
C1QB	1	-	-	-	-	-	-	C1QB	-
C1QC	1	-	-	-	-	-	-	C1QC	-
C4ORF18	1	-	-	-	-	-	-	C4ORF18	-
C5	1	-	-	-	-	-	-	C5	-
CALML4 CASC1	1	-	-	-	-	-	-	-	CALML4 CASC1
CCDC120	1	CCDC120	-	-	-	-	-	-	-
CCR6	1	-	-	-	-	-	-	CCR6	-
CD177	1	-	-	-	-	CD177	-	-	-
CD1C	1	-	CD1C	-		-	-	-	-
CD274	1	-	-	-	CD274	-	-	-	-
CD74	1	-	-	-	-	-	-	-	CD74
CD79A	1	-	-	-	-	-	-	CD79A	-
CD79B CD96	1	-	-	-	- CD96	-	-	CD79B -	-
CERKL	1	-	-	-	-	-	-	-	- CERKL
CLC	1	-	-	-	-	CLC	-	-	-
CNIH4	1	_		_	CNIH4	-	-	_	-
COL4A4	1	COL4A4	_	-	-	_	-	_	-
CREB5	1	-	-		-	-	-	-	CREB5
CTSB	1	CTSB	-	-	-	_	-	-	-
CXCR5	1	-	-	-	-	-	-	CXCR5	-
CYB561	1	-	-	-	-	_	-	-	CYB561 [#]
DHRS9	1	-	-	-	DHRS9	-	-	-	-
EBF1	1	-	-	-	-	-	-	-	EBF1
ETV7	1	-	-	ETV7	-	-	-	-	-
FAM20A	1	-	-	-	-	-	-	FAM20A	-
FAM26F	1	-	-	-	FAM26F	-	-	-	-
FBXL5	1	-	-	-	FBXL5	-	-	-	-
FLVCR2	1	-	-	-	-	-	-	FLVCR2	-
GBP2	1	-	-	GBP2	-	-	-	-	-
GBP4	1	-	-	GBP4	-	-	-	-	-
GJA9	1	-	-	-	-	-	-	-	GJA9
GNG7	1	-	-	-	-	-	-	GNG7	-
HLA-DPB1	1	-	-	-	-	-	-	-	HLA-DPB1
HM13	1	-	-	-	-	-	-	-	HM13 [#]
HP	1	-	-	-	-	HP	-	-	-
HS.131087	1	-	-	-	-	-	-	-	HS.131087
HS.162734	1	-	-	-	-	-	-	-	HS.162734
ICAM1	1	ICAM1	-	-	-	-	-	-	-
ID3	1	-	-	-	ID3		-	-	-
IFITM3	1	-	-	-	IFITM3	-	-	-	-
IGJ IMPA2	1	-	-	-	-	IGJ -	-	-	- IMPA2
KCNC4							-	-	
KCNC4 KLF2	1	KCNC4	-	-	-	-	- KLF2	-	-
	1	LIMK1	-	-	-	-	KLF2	-	-
				-	-	-	-	-	-
LIMK1 LOC100133800	1	-	-	-	-	-	-	-	LOC10013380

LOC389386	1	-	-	-	-	-	-	-	LOC389386
LOC728744	1	-	-	-	-	-	-	LOC728744	-
MAK	1	-	-	-	-	-	-	-	MAK
MAP7	1	-	-	-	-	-	-	-	MAP7 [#]
MIR1974	1	-	-	-	-	-	-	-	MIR1974
MPO	1	-	-	-	-	-	-	MPO	-
ORM1	1	-	-	-	-	-	-	-	ORM1
P2RY14	1	-	-	-	P2RY14	-	-	-	-
PAIP2B	1	PAIP2B	-	-	-	-	-	-	-
PCNXL2	1	-	-	-	PCNXL2	-	-	-	-
PDK4	1	-	-	-	-	-	-	-	PDK4
PGA5	1	-	-	-	-	-	-	-	PGA5
PPPDE2	1	-	-	-	-	-	-	-	PPPDE2
PRDM1	1	-	-	-	-	-	-	-	PRDM1
RBM12B	1	_	-	-	-	-	-	-	RBM12B
RNF19A	1	-	-	-	-	-	-	-	RNF19A
RP5-1022P6.2	1	_	-	-	-	-	-	-	RP5-1022P6.2
SMARCD3	1	-	-	-	-	-	-	SMARCD3	-
SMYD5	1	SMYD5	-	-	-	-	-	-	-
SPHK1	1	SPHK1	-	-	-	-	-	-	-
STAT1	1	-	-	STAT1	-	-	-	-	-
TAP1	1	-	-	TAP1	-	-	-	-	-
TMCC1	1	-	-	-	-	-	-	-	TMCC1
TMEM25	1	TMEM25	-	-	-	-	-	-	-
TRAF4	1	TRAF4	-	-	-	-	-	-	-
TRAFD1	1	-	-	TRAFD1	-	-	-	-	-
TRIM47	1	TRIM47	-	-	-	-	-	-	-
UGP2	1	-	-	-	-	-	-	-	UGP2
USP54	1	USP54	-	-	-	-	-	-	-
VAMP5	1	-	-	-	-	-	-	VAMP5	-
VEGFB	1	VEGFB	-	-	-	-	-	-	-
VPREB3	1	-	-	-	-	-	-	-	VPREB3
ZNF296	1	-	-	-	-	-	-	ZNF296	-

Abbreviations: TB, tuberculosis; LTBI, latent TB infection

#, the gene appears twice in the signature

Supplementary Table 1. TB in high and low incidence settings

704

	Setting			
	High incidence, low income country	Low incidence, high income country		
Context	Paucity of healthcare resources and infrastructure. Requirement for automated, point of care tests to support investigation and TB management	Extensive access to diagnostic tools within a well organised healthcare framework		
Goals	To reduce onward transmission of infection by early identification of active TB	Progress toward TB elimination through TB prevention programmes and early identification of active TB		
Clinical applications	TB diagnostic used alone or in conjunction with sputum microbiology for pulmonary TB (samples and resource permitting) to inform early initiation of TB treatment Screening tool in active case finding programmes to identify individuals with possible active TB for treatment or further investigation	TB diagnostic for supporting diagnosis of difficult cases As a screening tool to identify individuals with late TB infection at significant risk of developing TB Screening tool for active case finding programme in underserved populations		
	Test requireme	ents		
	Key features	Comments		
	Sampling from easily accessible site	Blood offers a readily accessible, minimally invasive tissue compartment for universal sampling		
All	Point of care or rapid inexpensive laboratory- based hardware, with automation	Automated platforms supporting rapid detection of specified reduced gene signatures are in development		
TB diagnostic	High specificity to avoid inappropriate TB diagnosis	A highly specific transcriptional signature that effectively discriminates from confounding illnesses may have lower sensitivity that risks missing TB. This can be overcome by use as a follow-on test after ruling in the possibility of TB with a highly sensitive transcriptional signature developed for active case finding		
		A biomarker that comprises a combination of gene sets and algorithms in a multiplex assay to achieve high sensitivity and high specificity in one test		
Screening in active case finding	High sensitivity to avoid missing early cases of active TB	A highly sensitive test may not be sufficiently specific to discriminate from confounding illness but can effectively rule out TB in screening programmes A biomarker that comprises a combination of gene sets and algorithms in a multiplex assay to achieve high sensitivity and high specificity in one test		
Screening in latently infected populations	High specificity to improve cost-effectiveness of targeted chemopreventative therapy	Transcriptional signatures with a higher specificity than TST or IGRAs for identifying individuals at risk of TB progression may be insufficiently sensitive to identify latent infection. In this context, they may be developed for use in two-step screening programmes after TST or IGRA		

Supplementary Table 2. Accuracy of proposed blood transcriptional reduced gene signatures in diagnosing adult TB

Study	Type of signature	Number of genes	Classification	Accuracy	
Cinchenia et al. 2010		-	TB vs. LTBI	AUC 0.92-1	
Singnania et al. 2018	TB vs. LTBI/Other diseases	20	TB vs. Other diseases	AUC 0.74-0.79	
Suliman et al. 2018	Risk of TB progression	4	Risk of TB progression within a year of TB diagnosis	AUC 0.66	
Zak et al. 2016	Risk of TB progression	16	Risk of TB progression in the 12 months preceding TB diagnosis	AUC 0.779; Sensitivity 66.1%, Specificity 80.6%	
Maertzdorf et al. 2016	TB vs. Healthy individuals	4, 15	TB vs. Healthy individuals	AUC 0.98	
Roe et al. 2016	TB vs. Healthy individuals/Other febrile infections	5	TB vs. Healthy individuals and other febrile infections	AUC 0.951	
			TB vs. LTBI	AUC 0.88	
Sweeney et al. 2016	TB vs. LTBI/Healthy individuals/Other diseases	3	TB vs. Healthy individuals	AUC 0.9	
			TB vs. Other diseases	AUC 0.84	
Kaforou et al. 2013	TB vs. LTBI	27	TB vs. LTBI	Sensitivity 95%, Specificity 90%	
Nalolou et al. 2013	TB vs. Other diseases	44	TB vs. Other diseases	Sensitivity 93%, Specificity 88%	

Abbreviations: TB, tuberculosis; LTBI, latent TB infection; AUC, area under the curve





