

1 **Quantifying primary and secondary source contributions to**
2 **ultrafine particles in the UK urban background**

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4 S. M. L. Hama [1] [2], R. L. Cordell [1], and P. S. Monks [1]

5 [1] Department of Chemistry, University of Leicester, Leicester LE1 7RH, UK

6 [2] Department of Chemistry, School of Science, University of Sulaimani, Sulaimani, Iraq
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8 Correspondence to: Paul S. Monks (psm7@le.ac.uk)
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12 **HIGHLIGHTS:**

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14 • Particle total number concentration (TNC) does not always reflect variations in traffic
15 emissions
16 • Primary and secondary sources contribute in a seasonally variant and quantifiable way
17 to particle number concentrations in Leicester.
18 • New particle formation was a significant contributor around midday to TNC in the
19 Leicester urban atmosphere.
20 • In the Leicester urban atmosphere ultrafine particles are predominantly formed from
21 secondary sources.
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25 **Keywords:**

26 Ultrafine particles

27 Urban Background

28 Primary sources

29 Secondary sources
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33 **Abstract**

34 Total particle number (TNC, ≥ 7 nm diameter), particulate matter (PM_{2.5}), equivalent black
35 carbon (eBC) and gaseous pollutants (NO, NO₂, NO_x, O₃, CO) have been measured at an urban
36 background site in Leicester over two years (2014 and 2015). A derived chemical climatology
37 for the pollutants showed maximum concentrations for all pollutants during the cold period
38 except O₃ which peaked during spring. Quantification of primary and secondary sources of
39 ultrafine particles (UFPs) was undertaken using eBC as a tracer for the primary particle number
40 concentration in the Leicester urban area. At the urban background site, which is influenced by
41 fresh vehicle exhaust emissions, TNC was segregated into two components, $TNC = N1 + N2$.
42 The component N1 represents components directly emitted as particles and compounds which
43 nucleate immediately after emission. The component N2 represents the particles formed during
44 the dilution and cooling of vehicle exhaust emissions and by in situ new particle formation
45 (NPF). The values of highest N1 (49%) were recorded during the morning rush hours (07:00-
46 09:00 h), correlating with NO_x, while the maximum contribution of N2 to TNC was found at
47 midday (11:00-14:00 h), at around 62%, correlated with O₃. Generally, the percentage of N2
48 (57%) was greater than the percentage of N1 (43%) for all days at the AURN site over the
49 period of the study. For the first time the impact of wind speed and direction on N1 and N2
50 was explored. The overall data analysis shows that there are two major sources contributing to
51 TNC in Leicester: primary sources (traffic emissions) and secondary sources, with the majority
52 of particles of secondary origin.

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63 **1. Introduction**

64 Ultrafine particles (UFPs, $D_p < 100$ nm) are ubiquitous in the urban environment (Kumar et
65 al., 2014), and are of concern owing to their adverse effects on human health (Araujo et al.,
66 2008; Atkinson et al., 2010). UFPs vary from larger sized ambient particles in their potential
67 for lung deposition and translocation to other parts of the body (HEI, 2013). Previous studies
68 have shown that UFPs easily penetrate the respiratory system and transfer to the extra-
69 pulmonary organs such as the central nervous system (Elder et al., 2006; Elder and Oberdörster,
70 2006; Oberdorster et al., 2004).

71 Sources of UFPs in the urban atmosphere include primary emissions - from motor vehicles,
72 coal-fired power plants, gas-fired facilities, and biomass burning in winter (Kumar et al., 2014;
73 Morawska et al., 2008; Wehner et al., 2009; Zhu et al., 2002), and those formed as new
74 particles via nucleation (Brock et al., 2002; Holmes, 2007; Kulmala and Kerminen, 2008). The
75 major source of primary UFPs in urban areas is combustion, with previous studies
76 demonstrating that UFP particle numbers correlate with the local traffic activity, in particular
77 in the morning and afternoon rush hours (Alam et al., 2003; Harrison and Jones, 2005). These
78 particles can be produced in the engine or in the ambient air after emission from the vehicle
79 tailpipe (Charron and Harrison, 2003; Shi et al., 1999). Primary UFPs associated with traffic
80 are released during the dilution and cooling of vehicle exhaust (Charron and Harrison, 2003;
81 Kittelson et al., 2006) or formed by fuel combustion as, for example, carbonaceous soot
82 (Kittelson, 1998; Shi et al., 2000).

83 Previous studies found that total particle number consists of 80-90% UFPs (Mejia et al., 2008;
84 Rodríguez et al., 2007; Wehner and Wiedensohler, 2003). Reche et al. (2011) have shown
85 from the characterisation of total particle number concentrations in various European urban
86 background sites that total particle number is a good representation of the UFPs in an urban
87 area.

88 Previous studies measuring UFPs in urban areas have measured particles larger than 7 nm
89 (Harrison and Jones, 2005; Shi et al., 2001) and 3 nm (Shi et al., 1999). Many studies have
90 indicated that the two main sources of UFPs in urban areas (Brines et al., 2015; Dunn et al.,
91 2004; Morawska et al., 2008; Reche et al., 2011; Rodríguez and Cuevas, 2007) are:

- 92 • *Vehicle exhausts emissions.* These particles tend to exhibit bimodal size distribution,
93 with a nucleation (<20 nm) and a carbonaceous mode (50-200 nm). The nucleation
94 mode (<20 nm) particles are not produced directly from vehicle exhaust emissions, but

95 are created through nucleation (gas-to-particle conversion). In urban areas this occurs
96 after rapid cooling and dilution of exhaust emissions when the saturation ratio of
97 gaseous mixtures of low volatility (i.e. sulphuric acid) reaches a maximum (Arnold et
98 al., 2006; Burtscher, 2005; Charron and Harrison, 2003; Kittelson et al., 2006). The
99 carbonaceous mode (50-200 nm) is mainly composed of soot (Casati et al., 2007; Rose
100 et al., 2006).

101 *New particle formation in ambient air.* This process may be caused by the
102 photochemical reactions of naturally emitted gaseous precursors in ambient air by “*in-*
103 *situ* nucleation” happening after emission. This mechanism includes two main steps,
104 with nucleation of an initial cluster (<1 nm) and the initiation of such cluster resulting
105 in particle growth (Kulmala et al., 2004). It is considered that the nucleation of sulfuric
106 acid gas molecules play a significant role in the formation of such stable clusters, and
107 may also contribute in particle growth by condensation (Kulmala et al., 2006). Recent
108 studies have shown that ammonium and highly oxidised organic molecules may also
109 play an important role in nucleation (Ehn et al., 2014; Kirkby et al., 2011).

110 A number of studies have reported quantifying the sources and processes that contribute to
111 UFP in urban areas (Fernández-Camacho et al., 2010; González and Rodríguez, 2013;
112 González et al., 2011; Kulmala et al., 2016; Reche et al., 2011; Rodríguez and Cuevas, 2007).
113 However, no studies to date have reported the quantification of the sources and processes that
114 contribute to UFP in UK cities. In this context, the main aim of this paper is to study the factors
115 responsible for the variability of TNC, eBC, and the gaseous pollutants at a UK urban
116 background site in Leicester. A specific focus of the work is the relative contributions of
117 primary and secondary sources to the observed total particle number concentrations. To our
118 knowledge, this study represents the first that explores the variability of TNC and its sources
119 in the UK urban background.

120 The study was carried out between January 2014 and December 2015 over which time TNC
121 was measured concurrently with eBC, nitrogen oxides concentration (NO_x) and particle
122 number size distributions (PNSD) at the AURN (Automatic Urban and Rural Network) site in
123 Leicester (UK). This study was carried out as part of the JOint Air QUality INitiative
124 (JOAQUIN, www.joaquin.eu), an INTERREG IVB funded European project, aimed at
125 supporting health-oriented air quality policies in Europe (Cordell et al., 2016; Hama et al.,
126 2017b; Hama et al., 2017a; Hofman et al., 2016).

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128 **2. Methods**

129 **2.1 Measurement site**

130 Measurements were carried out at the University of Leicester urban background site (lat
131 $52^{\circ}37'11.36''$ N, long $1^{\circ}07'38.32''$ W) a permanent site which is part of both JOAQUIN UFP
132 NWE observatory system and the national Defra Automatic Urban Rural Network (AURN).
133 The site is located on the University of Leicester campus ([http://uk
134 air.defra.gov.uk/networks/site-info?uka_id=UKA00573](http://ukair.defra.gov.uk/networks/site-info?uka_id=UKA00573)) and is shown in Figure 1. The nearest
135 road is University Road (20 m north-west) and the nearest main road is Welford Road (140 m
136 south-south west). According to traffic counts by the Department for Transport, the traffic
137 intensity on the Welford Road was about 22600 vehicles/day in 2014
138 (<http://www.dft.gov.uk/traffic-counts>, count point 36549). For a detailed overview of the
139 monitoring sites and the JOAQUIN project, the reader is referred to the final report (Joaquin,
140 2015).

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142 **2.2 Instrumentation**

143 Table 1 summarizes the availability of monitors for PNC, TNC, eBC, PM_{2.5} and the gaseous
144 pollutants at the AURN site. Size-resolved particle number concentrations (PNC; # cm⁻³) were
145 obtained using UFP Monitor (UFPM, TSI 3031). PNC were quantified in six size classes (20-
146 30, 30-50, 50-70, 70-100, 100-200 and > 200 nm), using an UFPM (see Table 1). For this study
147 five channels (20-30, 30-50, 50-70, 70-100, 100-200 nm) were used and the size class (>200
148 nm) was ignored owing to low number concentrations (Joaquin, 2015). The UFP monitor's
149 operation is based on electrical diffusion charging of the particles, size segregation by means
150 of a DMA, followed by aerosol detection using a Faraday cup electrometer (Hofman et al.,
151 2016; Joaquin, 2015). The performance of this instrument has been explored against other UFP
152 measurement system in Hofman et al. (2016). The TNC was measured by a Water-Based
153 Condensation Particle Counter (W-CPC, TSI Environmental Particle Counter (EPC) model
154 3783 <http://www.tsi.com/environmental-particle-counter-3783>).

155 The TSI instruments (UFP monitor and W-CPC) were connected to an environmental sampling
156 system (TSI 3031200). The components of the TSI 3031200 are a PM₁₀ inlet, sharp cut PM₁
157 cyclone, flow splitter and Nafion dryer (reduces humidity to less than 50% RH).

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159 The mass concentration of equivalent black carbon (eBC) was measured by a Multi-angle
160 Absorption Photometer (MAAP Thermo Scientific model 5012) for the whole period (Petzold
161 et al., 2013). The MAAP determines particle light absorption due to the light transmission and
162 backscattering at two angles of particles collected on the filter tape (glass fibre type GF10).
163 The eBC mass concentration is calculated using a constant mass absorption cross section of 6.6
164 g/m^2 .

165 Nitrogen oxides were also measured by a Thermo 42i NO-NO₂-NO_x monitor. This monitor
166 uses chemiluminescence technology to measure the concentration of nitrogen oxides in the air.
167 It has a single chamber, single photomultiplier tube design that cycles between the NO and
168 NO_x mode.

169 Meteorological data (temperature, relative humidity, solar radiation and wind speed and
170 direction) were provided for 2014 by the Air Quality Group from the Leicester City Council.
171 The station is located 4.9 km away from the AURN urban background monitoring site, and the
172 meteorological data for 2015 were measured at the AURN site.

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175 **2.3 Data processing and analysis**

176 The raw 10 min-data were validated by screening for irregularities and removing data collected
177 during instrument errors and maintenance periods. All validated data were subsequently
178 aggregated to 30 min intervals. Data analyses have been carried out using the Open-air software
179 package (Carslaw, 2015; Carslaw and Ropkins, 2012) using R software (R Core Team, 2015).

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191 3. Results and discussion

192 3.1 Annual Variation

193 Monthly particle TNC and PNC (five size classes), and other air quality parameters, such as
194 eBC, NO_x, PM_{2.5}, O₃, and CO are shown in Figure 2 and Figure S1. Figure 2 shows that higher
195 values of TNC and PNC (except small sizes, 20-30 nm) were found in the cooler months. The
196 TNC profiles show a peak in winter (November to January), which might be associated with
197 factors such as an increase in wood burning for domestic heating (Cordell et al., 2016), reduced
198 dispersion of local sources and a low mixing height in winter. In addition, TNC shows two
199 peaks, one in March and the other in June (see Figure 2). This could be related to NPF since
200 previous studies have demonstrated that NPF occurs in spring and summer at this site (Hama
201 et al., 2017b; Hofman et al., 2016). However, PNC (100-200nm) concentrations were observed
202 to be highest in winter and lowest in summer. The observed seasonal cycle can be linked to the
203 previously detailed reasons as well as metrological factors (dilution effect, (see Hama et al.,
204 2017a)) that have a significant impact in seasonal variations. For example, UFP will be
205 influenced by the temperature dependent volatility of the traffic-generated particles which
206 produces high particle number concentrations during the cold period (Bigi and Harrison, 2010;
207 Charron and Harrison, 2003; Hofman et al., 2016; Mishra et al., 2012) coupled to cold period
208 boundary layer stability. Interestingly, high concentrations of PNC (small sizes, 20-30 nm)
209 were found during the spring and summer months. In particular, this is clear for the small
210 particles (20-30 nm) (see Figure 2). The observed increase in spring may be related to NPF
211 which has been observed at this site (Hama et al., 2017b; Hama et al., 2017a; Hofman et al.,
212 2016).

213 A summary of the pollutant concentrations at AURN site are given in Table S1. TNC and eBC
214 concentrations observed were comparable to levels found in other European urban background
215 sites (Hofman et al., 2016; Keuken et al., 2015; Reche et al., 2011). The mean annual TNC and
216 eBC concentration were 8022 # cm⁻³ and 1.45 µg m⁻³, with a standard deviation of 5514 #
217 cm⁻³ and 1.39 µg m⁻³, respectively. The annual average PNC for the five size classes were: i)
218 1457 # cm⁻³ (20-30 nm), ii) 1704 # cm⁻³ (30-50 nm), iii) 1193 # cm⁻³ (50-70 nm), iv) 1059 #
219 cm⁻³ (70-100 nm), v) 980 # cm⁻³ (100-200 nm). According to these results it can be concluded
220 that ultrafine particles (particles < 100 nm) were the dominant particle size range. The annual
221 average levels of the other pollutants as shown in Table S1 are comparable to concentrations
222 reported in other European urban areas (Hofman et al., 2016; Pérez et al., 2010 and references

223 therein; Reche et al., 2011). The annual patterns of NO_x, CO, eBC, and PM_{2.5} are comparable
224 to one another, with the highest levels occurring in the cold season and the lowest in summer
225 (see Figure S1). The cold period average concentrations were larger by a factor of 1.5, 1.3,
226 1.35, and 1.3 with respect to the warm mean value for NO_x, CO, eBC, and PM_{2.5}, respectively.
227 The highest levels of these constituents in the cold season are attributable to emissions from a
228 variety of sources including traffic and an increase in domestic heating, for example from wood
229 burning as reported in recent study at this site (Cordell et al., 2016), coupled to reduced
230 dispersion (Harrison et al., 2012). The annual variations are also modulated by the annual
231 variations in meteorological, dynamic and synoptic conditions (Barnpadimos et al., 2012; Bigi
232 and Harrison, 2010; Reche et al., 2011; Ripoll et al., 2014). As would be expected, the O₃
233 annual variation shows a minimum in October and November and a maximum in spring,
234 especially in May (Monks, 2000). The low O₃ levels in autumn and winter are related to lower
235 temperatures, less solar radiation, and also the chemical titration reaction with NO from the
236 higher emissions of NO_x associated with domestic heating in autumn and winter months
237 leading to a decrease in O₃, as observed in other studies (Lin et al., 2011; Lin et al., 2008).
238 Finally, it is clear that the measured TNC and PNC (particularly small particles, 20-30nm) in
239 cold period were similar to warm period (1.1 and 1.01 for TNC, and PNC_{20-30nm}). It can be
240 concluded that domestic heating and metrological conditions in cold months and NPF in the
241 warm period have the greatest impact on seasonal variations of particle number concentrations
242 in Leicester.

243

244 **3.2 Weekly and Daily variations**

245 The weekly cycle of TNC and PNC for 2014 at the AURN site are shown in Figure 3 and TNC
246 for 2015 is shown in Figure S2. TNC average concentrations were slightly lower at the
247 weekend (7500 # cm⁻³), than working days (8400 # cm⁻³) (see Figure 3), indicating that the
248 pollutant levels were influenced not only by anthropogenic emissions (such as traffic
249 emissions), but also could be associated with local or regional non-anthropogenic origin
250 sources. Moreover, PNC size range concentrations showed a weekly cycle (see Figure 3), with
251 the lowest average levels occurring during weekends and the highest on weekdays, especially
252 on Mondays. The average concentrations of PNC₂₀₋₃₀, PNC₃₀₋₅₀, PNC₅₀₋₇₀, PNC₇₀₋₁₀₀, and
253 PNC₁₀₀₋₂₀₀ for working days are 1516, 1738, 1206, 1068, and 986 # cm⁻³ and for weekends are
254 1363, 1664, 1143, 1017, 922 # cm⁻³, respectively. eBC also showed the highest mean values

255 (1.41 $\mu\text{g m}^{-3}$) on working days (see Figure S2), and lower concentrations (1.1 $\mu\text{g m}^{-3}$) during
256 weekends. This is probably related to decreased traffic emissions during weekends. The weekly
257 cycle of gaseous pollutants (NO, NO₂, NO_x, CO, O₃), and PM_{2.5}, concentrations at AURN site
258 are shown in Figure S2 . All pollutants, except ozone, showed a similar pattern with a minimum
259 at weekends, especially on Sundays. However, on Sundays O₃ concentrations peaked, due to
260 the so-called O₃ weekend effect (Larsen et al., 2003). The observed behaviour is consistent
261 with previous studies (Bigi and Harrison, 2010; Pérez et al., 2010; Ripoll et al., 2014; Yoo et
262 al., 2015).

263 The eBC diurnal patterns are shown in Figure 4. eBC shows the same profile as the traffic-
264 related gaseous pollutants in the morning owing to the morning rush hour (high traffic, low
265 wind speed), but conversely to the gaseous pattern, the eBC concentrations decreased sharply
266 after the morning rush hour until increasing again during evening rush hour. This might be
267 associated to decreased traffic volume, increased wind speed (high dilution at midday), and
268 increased mixing height. Similar results were found in other European urban background sites
269 (Annual Report for the UK Black Carbon Network, 2014; Dall'Osto et al., 2013; Hofman et al.,
270 2016; Pérez et al., 2010; Reche et al., 2011; Rodríguez et al., 2008). The variation of the eBC
271 in warmer months (May-Sep), however, shows a weaker diurnal pattern, with a stronger diurnal
272 variation being observed during the cold period most likely caused by the synoptic condition,
273 and may relate to the larger domestic heating emissions during the evening (Allan et al., 2010;
274 Cordell et al., 2016) coupled to greater atmospheric stability.

275 The daily variation of TNC was similar to that of eBC, suggesting it is also highly influenced
276 by traffic emissions. The profiles matched well during the cold period, however, during the
277 summer season the TNC peaks, showing especially a second peak that corresponds to the
278 evening rush hour. This rush hour peak became less obvious or later in the colder months.
279 These observations can be explained by comparison to the patterns observed in eBC
280 concentration: during the night the TNC decreased owing to the low traffic volumes, which
281 when combined with the decrease of the boundary layer height, favours lower ultrafine
282 particles numbers owing to the condensation and coagulation processes (Minoura and
283 Takekawa, 2005; Pérez et al., 2010). Interestingly, during the warm period another TNC peak
284 was observed at noon (see Figure 4) which did not follow the eBC pattern. It can be concluded
285 that the TNC peak cannot be from primary particle emissions from traffic. This extra TNC peak
286 can be attributed to NPF resulting from photochemical nucleation reactions from gaseous
287 precursors (Hama et al., 2017b; Hofman et al., 2016). The observed midday peak coincides

288 with higher solar radiation, an increase in wind speed (not shown) and the growth of the mixing
289 layer (Rodríguez et al., 2007). The detail of primary and secondary sources of TNC will be
290 discussed in the section 3.3 and 3.4.

291 The daily cycle of PNC (five size bins) showed a similar variation to the traffic related
292 pollutants such as eBC and TNC as shown in Figure 5. During the cold period, the diurnal
293 variation of PNC (mostly UFPs) had two peaks which followed the morning and afternoon
294 traffic rush hours. However, like the other parameters measured during warm period the daily
295 cycle was weaker and the evening peak was not clearly observed. There is a notable difference
296 in the diurnal cycles of PNC₂₀₋₃₀ (red line) during the warm season. PNC₂₀₋₃₀ shows another
297 peak at midday, as recorded for the TNC. Those particles can be attributed as the small particles
298 from NPF (Hama et al., 2017b).

299 Levels of the gaseous pollutants (NO, NO₂, NO_x, O₃), monitored at the AURN site were
300 predominantly influenced by vehicle traffic emission, evolution of the mixing layer, and
301 meteorological conditions. Figure S3 shows the diurnal patterns of the atmospheric gaseous
302 pollutants (NO, NO₂, NO_x, and O₃) for the year 2015. It can be seen all the gaseous pollutant
303 peaks (except O₃) followed the diurnal variation of vehicular traffic emissions, with increasing
304 levels of the gaseous pollutants measured in the morning rush hour (high traffic intensity, poor
305 dispersion), which then decreased during the day, owing to atmospheric dilution effects, before
306 increasing once more in the evening rush hour. Finally, it can be concluded that particle number
307 concentrations are influenced by primary and secondary sources in Leicester (see Section 3.3
308 for more detail).

309

310 **3.3 Exploring the Relationship between total particle number and** 311 **black carbon concentrations**

312 Traffic emissions in the urban environment in Europe tend to drive the correlation between
313 TNC and eBC (Fernández-Camacho et al., 2010; Pérez et al., 2010; Reche et al., 2011;
314 Rodríguez and Cuevas, 2007; Rodríguez et al., 2007). The correlation between TNC and eBC
315 has been analysed at the Leicester AURN site using the methodology described by Rodríguez
316 and Cuevas (2007). The correlation between TNC and eBC for four different time periods of
317 the day (07:00-09:00, 11:00-14:00, 17:00-20:00, and 00:00-04:00) is shown in Figure 6. The
318 selection of these time ranges are based upon the diurnal variations of TNC and eBC, which
319 are mostly governed by traffic emissions and atmospheric dynamics in the Leicester urban

320 environment. At any time of the day, the TNC versus eBC scatter plots clearly showed two
321 defined linear cut-offs with slopes S1 and S2, representing the minimum and maximum
322 TNC/eBC ratios, respectively (see Figure 6). S1 represents the minimum TNC/eBC ratio,
323 which is interpreted as representative of the primary particles, mostly from vehicle exhaust
324 emissions. S2 is the maximum TNC/eBC ratio (see Figure S4), which is interpreted as arising
325 predominately from secondary particles, mainly from NPF during the dilution and cooling of
326 the vehicle exhaust emissions in the urban environment (Rodríguez and Cuevas, 2007). Table
327 2 shows the values of slopes S1 and S2 found at different times of the day. During the morning
328 rush hours (07:00-09:00), when the NO_x peaks, owing to vehicle exhaust emissions, values of
329 S1= 2.53×10⁶ particles /ng eBC, and S2= 2.85×10⁶ particles /ng eBC were obtained. The
330 S1 value found at the AURN site (see Table 3) was higher than values found in Hyytiälä and
331 Nanjing. It is comparable to values found in some cities (London, Lugano, and Bern). However,
332 the S1 value is lower than values obtained in Milan, Huelva, Santa Cruz de Tenerife, and
333 Barcelona (see Table 3). It should be noted that the greater values of S1 in earlier studies were
334 influenced by the selection of the CPC model used, as the higher the cut size of the CPC
335 monitor the lower the N/BC ratio (Reche et al., 2011). Another variable is the distance of the
336 sites from fresh traffic emissions. In addition, the size of the eBC cores might be smaller than
337 that from regular from traffic emissions. This behaviour is observed when points occur below
338 the line S1 as shown in Figure 6. The size of eBC is generally smaller from fresh traffic
339 emissions compared with that from other primary particle sources (Bond et al., 2013). A small
340 size of the eBC core in primary particle sources is likely to increase the S1 value (Kulmala et
341 al., 2016). The diameter of eBC core can be found by application of Eq.1 assuming that the
342 core is spherical:

343

$$344 \quad D_P = \left[6 / (\pi S_1 \rho) \right]^{1/3} \quad (1)$$

345

346 Where ρ is the core density. The density of non-volatile components of diesel soot is about
347 1.7-1.8 gcm⁻³ (Park et al., 2004, Zhang et al., 2008). By using the core density and the value of
348 S1 (07:00-09:00) in Table 2, the diameter of the eBC core was found to be in the range of 75-
349 96 nm at the AURN site. This result indicates that eBC and UFP are co-emitted by the vehicle
350 fleet and they show a high degree of correlation. This shows that eBC and UFP are externally

351 well mixed at this site in Leicester. This result is consistent with the general knowledge
352 regarding eBC particle size at urban background sites (Schwarz et al., 2008). Finally, it can be
353 concluded that the value of S1 may depend on the size of the eBC emitted by vehicular exhaust
354 during this study at AURN site.

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356 **3.4 Segregating the components contributing to UFPs**

357 By using the methodology described by Rodríguez and Cuevas (2007), the TNC measured at
358 AURN site was segregated into two components, in order to identify the sources and processes
359 influencing the particle number concentrations.

360

$$361 \quad N1 = S1 \cdot eBC \quad (2)$$

362

$$363 \quad N2 = TNC - N1 \quad (3)$$

364

365 Where, $S1 = 2.53 \times 10^6$ particles/ng eBC (see Table 2). N1 is the minimum primary emission of
366 vehicle exhaust which includes “those components directly emitted in the particle phase” and
367 “those compounds nucleating immediately after the vehicle exhaust emission” (Rodríguez and
368 Cuevas, 2007). Component N2 represents the secondary particles formed in ambient air by
369 nucleation, impact of atmosphere conditions on the ultrafine particle formation during the
370 dilution and cooling of the vehicle exhaust emissions and other sources different from vehicle
371 exhaust which contribute to TNC.

372 These interpretations of source function are supported by the data as shown in Figure 7 and
373 Figure 8. Figure 7 shows half-hourly average values of N1 and N2 with NO_x, O₃ and wind
374 speed for every day of the week. The weekly evolution of N1 and N2 present two different
375 patterns (Figure 7a). The N1 profile follows the NO_x profile, with the maximum percentage of
376 N1 during morning and evening rush hours on working days, when ultrafine particles are
377 mainly associated with vehicle exhaust emissions, 49%, and 46%, respectively (Figure 7b and
378 Table 4). However, the N2 pattern follows the O₃ daily evolution and wind speed (Figure 7c,
379 with the maximum at midday (N2= 62%, Table 4). The daily pattern of N2 is significantly
380 different from that of N1, as shown in Figure 7a, and also from the PM_{2.5} diurnal variation (see

381 Figure S5). This behaviour of N2 might be linked to the NPF events at midday at AURN site
382 (Hama et al., 2017b; Hofman et al., 2016). Moreover, the similar pattern of N2 and temperature
383 (Figure 8a) may suggest an active role for the oxidation products of any VOCs. Furthermore,
384 Figure 8b shows an inverse correlation between N2 and RH which supports that the NPF
385 processes at midday occur at a lower RH. Generally, the percentage of N2 (57%) was greater
386 than the percentage of N1 (43%) for all days at the AURN site for the whole study. The high
387 percentage of N2 could be related to the primary sources from non-traffic emissions such as
388 domestic heating (Cordell et al., 2016) and resuspension and biogenic and VOCs emissions in
389 Leicester. Previous studies have reported that the high N2 is caused by the combination of high
390 solar radiation and dilution of pollutants when the boundary layer increases, as well as SO₂
391 concentrations (not measured at AURN site) (Reche et al., 2011). Overall, this study
392 demonstrated that secondary particle formation is the main contributor to particle number
393 concentration in Leicester.

394

395 **3.5 Dependency on wind speed and direction**

396 The relationship between traffic-related pollutants (TNC, eBC, NO_x) and wind conditions is
397 shown in Figure 9(a-f). The plots show that concentrations of the three parameters were
398 dominated by north and south-westerly wind directions. The bivariate polar plots (Figure 9a,
399 c, and e) show how the parameters varied by wind direction and speed at AURN site. These
400 plots are very useful for identifying and determining sources and direction of the pollutants
401 (Carslaw and Ropkins, 2012). For TNC, Figure 9a shows that there is evidence of increasing
402 TNC when the wind speed increases from the west, north-west, and south-west. Higher TNC
403 was found at low wind speed ($<2 \text{ m s}^{-1}$) owing to local sources, mainly traffic emissions. In
404 addition, at high wind speed ($5\text{-}10 \text{ m s}^{-1}$) high TNC was also found mostly from the north-west
405 which indicates a potential contributor to TNC that may be East Midlands Airport (located *ca.*
406 27 km north-west of AURN site). This behaviour has been observed in other European studies
407 (Hofman et al., 2016; Keuken et al., 2015). In the case of eBC, Figure 9c shows a similar
408 pattern to TNC. The prevailing wind directions were from the north and north-west. The major
409 eBC contribution came from these N-NW directions independent of wind speed. In addition,
410 high eBC concentrations were categorised at high wind speed ($10\text{-}12 \text{ m s}^{-1}$) when the wind
411 was blowing from the north-east. For NO_x, Figure 9e shows that the highest concentrations
412 are associated with winds from north-west and south-west, at lower speeds ($<2 \text{ m s}^{-1}$) and also

413 at higher wind speeds (4-8 m s⁻¹). The most probable source of NO_x is the vehicle exhaust
414 emissions at this site. The highest concentrations of TNC, eBC, and NO_x were observed with
415 north and south-westerly winds and were mostly associated with the lower wind speeds (< 10m
416 s⁻¹). These observations support the outlook that urban background of these pollutant
417 concentrations is dominated by local sources, rather than regional sources. The polar annulus
418 plots for TNC, eBC, and NO_x are presented in Figure 9b, d and f, respectively. The patterns
419 for the three parameters are consistent with a main traffic contribution from the nearby roads
420 (University Road and Welford Road), with the maximum concentrations occurring during
421 morning and evening rush hours. These roads are located at around 50-140 m to the north and
422 south west of AURN site (see section 2.1). Moreover, it is interesting to note that in Figure 9b
423 (for TNC) the highest concentrations occurred around noon linked to the NPF at AURN site
424 (described in detail in section 3.1). To confirm this behaviour, the relationship between N1
425 and N2 and wind conditions are presented in Figure 10 (a-b). Figure 10a shows the highest N1
426 concentrations occur with winds from north-west. In addition, it can be seen high N2
427 concentrations of N1 are observed during morning and evening rush hours (see Figure 10b).
428 This behaviour indicates that N1 is affected by primary sources such as traffic emissions. In
429 the case of N2 (Figure 10c and d) a different pattern in terms of wind direction and time of the
430 day is observed: high N2 concentrations were found with the wind blowing from the south-
431 west (see Figure 10c). Interestingly, Figure 10d shows high N2 concentrations occurring around
432 noon, correlating with the behaviour of TNC (Figure 9b) and could be related to NPF events at
433 the AURN site. Lastly, it can be concluded that wind conditions have a significant impact on
434 N1, and N2 at the AURN site. Furthermore, the effect of differing wind conditions on N1 and
435 N2 also revealed that they are influenced by different sources in the Leicester urban area.

436 The relationships between the TNC, eBC, and NO_x with wind speed have also been analysed
437 (not shown) and show that the highest concentrations of the parameters are observed at low
438 wind speed (< 5 m s⁻¹). This is a typical behaviour of urban background site and is comparable
439 with other European studies (Charron and Harrison, 2003; Pérez et al., 2010; Voigtländer et
440 al., 2006; von Bismarck-Osten et al., 2013; Weber et al., 2013; Wehner and Wiedensohler,
441 2003).

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447 **4. Conclusions**

448 This study shows the results of long-term measurements (2014-2015) and interpretation of the
449 variability of TNC, PNC, PM_{2.5}, eBC, and the gaseous pollutants at the AURN urban
450 background site in Leicester. The results demonstrate that the temporal variations of TNC are
451 not always solely caused by road traffic emissions, whereas eBC concentrations closely follow
452 other road traffic related pollutants, such as NO_x. The contributions of primary and secondary
453 particle sources to the TNC were identified using the eBC concentration as a tracer for primary
454 particles. By using the minimum slope found in the TNC versus eBC plot (2.53×10^6
455 particles/ng eBC), TNC was segregated into two components, $TNC = N1 + N2$. The highest
456 N1 (49%) were recorded during the morning rush hours (07:00-09:00 h), when maximum NO_x
457 levels were recorded. Component N2 shows a profile well differentiated from that of N1 and
458 is associated to those processes leading to increase the TNC/BC ratio, i.e. enhancement in NPF
459 rates owing to increased nucleation and/or growth rates to limit sizes (≥ 7 nm in our case). The
460 maximum contribution of N2 to TNC was found around midday (11:00-14:00), where it was
461 about 62%, when low eBC and high O₃ levels were recorded. Moreover, the majority of
462 particles were expected to be of secondary origin. The impact of wind speed and direction also
463 show different sources of N1 and N2. According to the bivariate polar plots, high N2
464 concentrations were found around noon. Finally, this long-term study has shown that primary
465 and secondary sources of UFPs at one urban background site in UK.

466

467 **Acknowledgements**

468 The authors would like to thank the Human Capacity Development Program from the Kurdistan
469 Government for a scholarship (S.M.L.HAMA). We would like to thank Dr.Sergio Rodríguez
470 to his help and suggestions. We would like also to thank Dr Jolanta Obszynska (Leicester City
471 Council) for providing meteorological data. This research was funded by the Joint Air Quality
472 Initiative (JOAQUIN) project, part of the EU Interreg IV-B NWE Program.

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722 Table 1: Air quality instrumentation at Leicester AURN site during the sampling period.

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Air quality parameters	Monitors
PNC (six size bins)	UFP Monitor TSI 3031 (~20-200nm)
TNC	WCPC TSI Model 3783 (7-1000nm)
NO, NO ₂ , NO _x	Teledyne API Model T200 Chemiluminescence NO/NO ₂ /NO _x Analyzer
eBC	MAAP (Thermo Scientific 5012) with PM _{2.5} inlet
PM _{2.5}	TEOM-FDMS
CO	Teledyne API Model T300U Trace-level Gas Filter Correlation CO Analyzer (IR Absorption)
O ₃	UV absorption

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726 Table 2: Values of the slopes S1 and S2 found at AURN site. S1 and S2 are expressed as 10⁶
 727 particles/ng eBC (for definitions see text).

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	Time of the day		S1	S2
729	All day	00.00-23:00 h	2.25	28.60
730	Night	00:00-04:00 h	2.16	32.05
731	Morning	07:00-09:00 h	2.53	18.15
732	Midday	11:00-14:00 h	2.85	36.4
733	Evening	17:00-20:00 h	2.75	24.35

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736 Table 3: Summary of S1 and S2 values found during rush hours in previous studies and this
 737 study.

Location	S1 ($\times 10^6$) (particles /ng eBC)	S2 ($\times 10^6$) (particles /ng eBC)	Study
Milan	4.75	47	Rodríguez and Cuevas, 2007
Huelva	6.9	148	Fernández-Camacho et al., 2010
Santa Cruse de Tenerife	7.9	30.3	González et al., 2011
London	2.9	6.3	Reche et al., 2011
Lugano	3.1	20.9	Reche et al., 2011
Bern	3.6	18.9	Reche et al., 2011
Barcelona	5.1	24.5	Reche et al., 2011
Hyytiälä	1.28	-	Kulmala et al., 2016
Nanjing	1.67	-	Kulmala et al., 2016
Leicester	2.53	18.15	This study

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739 Table 4: Total mean percentage of N1 and N2 for daily and midday-afternoon at the AURN
 740 site (2014-2015).

Time of the day		N1%	N2%
All day	00:00-23:00 h	43	57
Night	00:00-04:00 h	39	61
Morning	07:00-09:00 h	49	51
Midday	11:00-14:00 h	38	62
Evening	17:00-20:00 h	46	54

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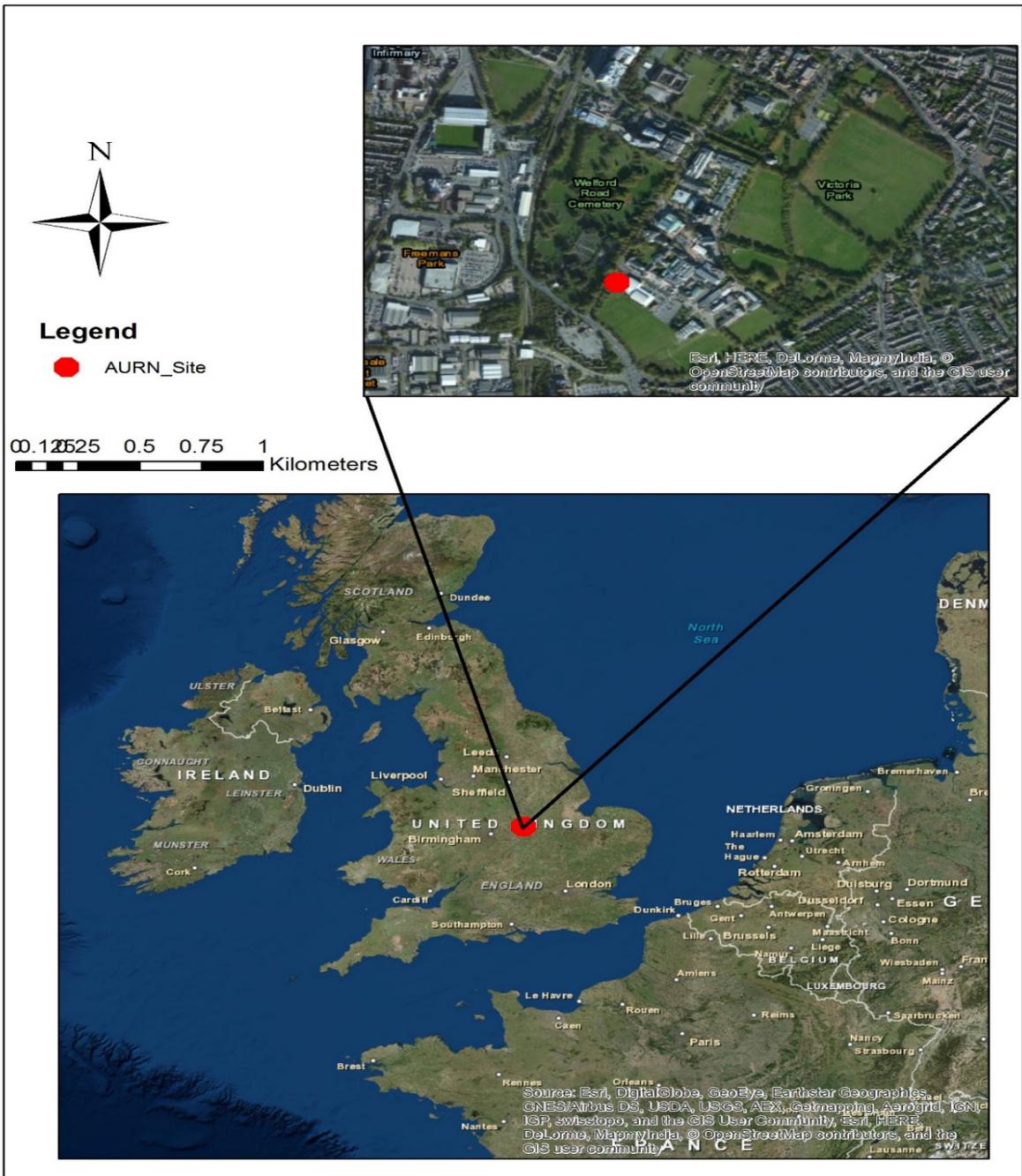


Figure 1: Leicester and location of the sampling site (denoted AURN)

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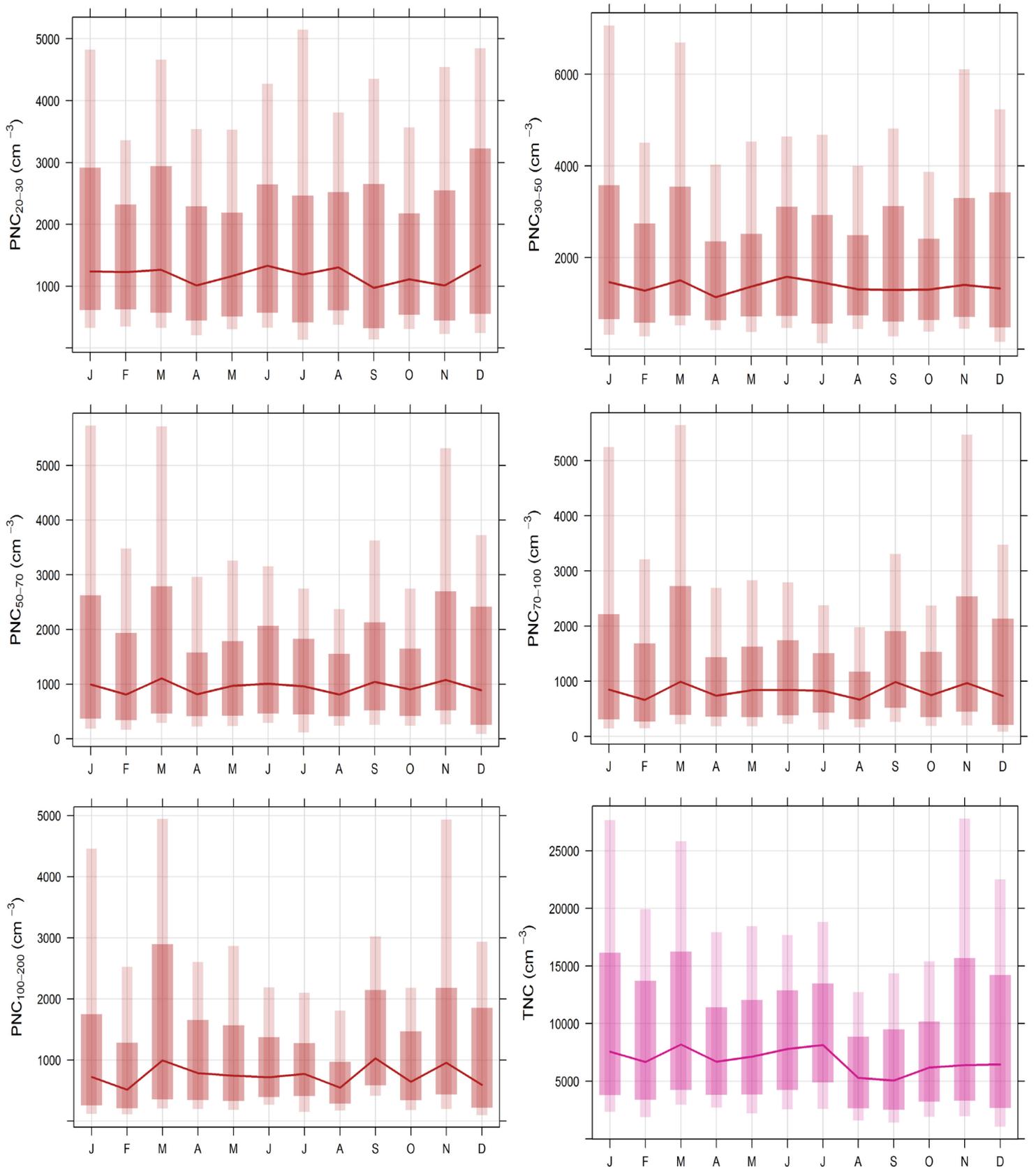


Figure 2: Monthly variations in the median, 25/75th and 5/95th quantile values for PNC size classes, and TNC for 2014 at AURN site.

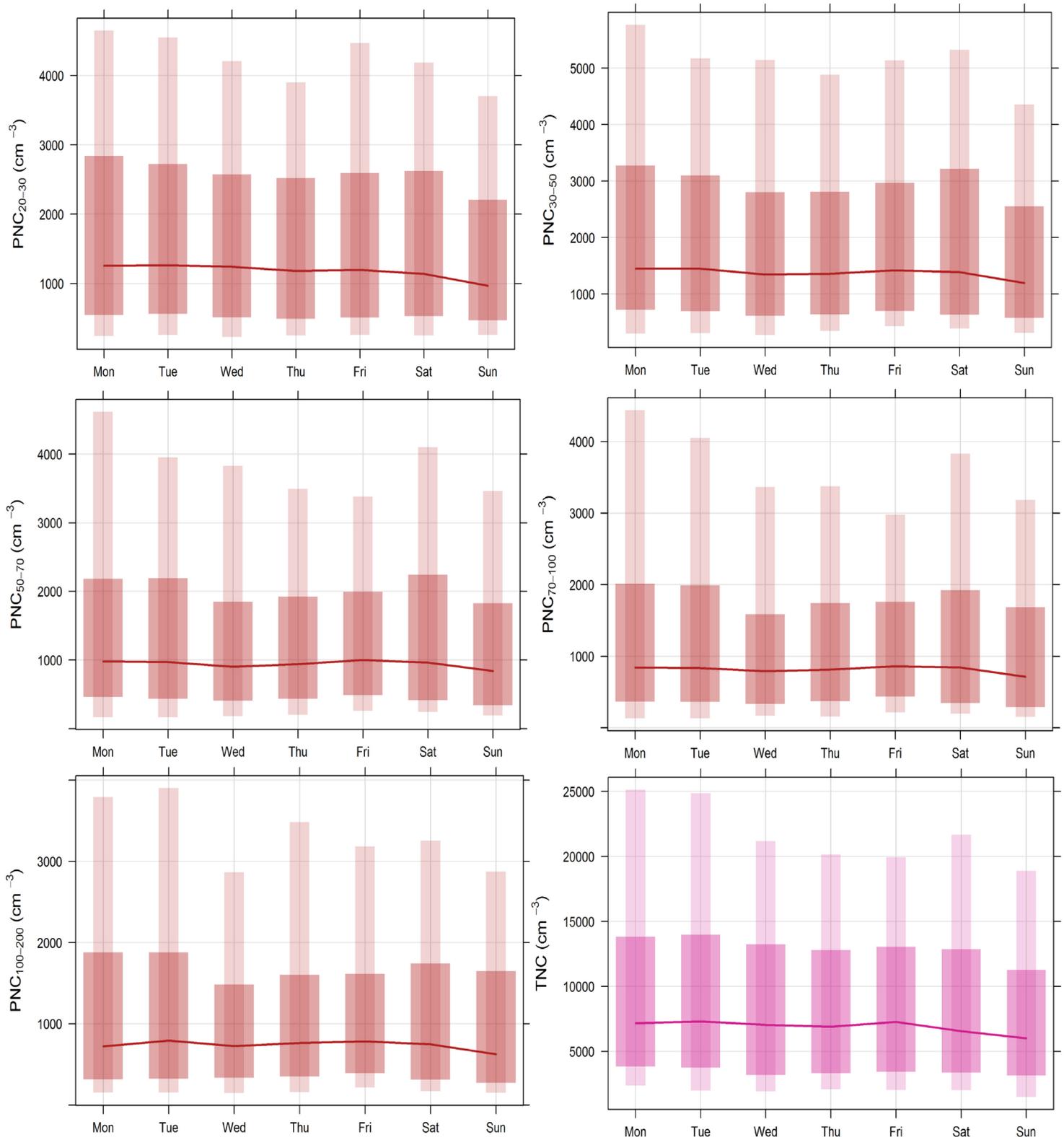


Figure 3: Daily variations in the median, 25/75th and 5/95th quantile values for PNC size classes, and TNC for 2014 at the AURN site.

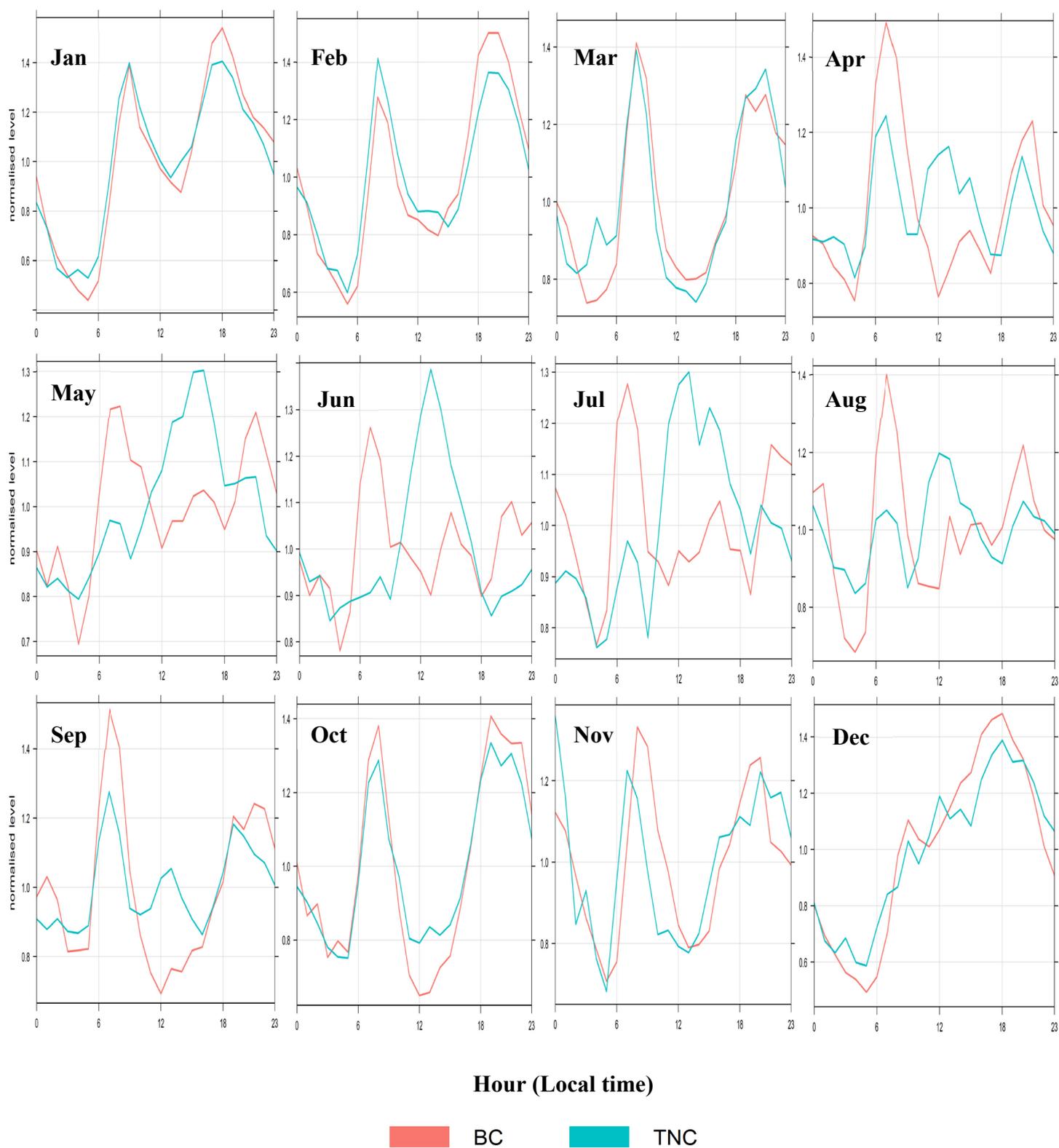
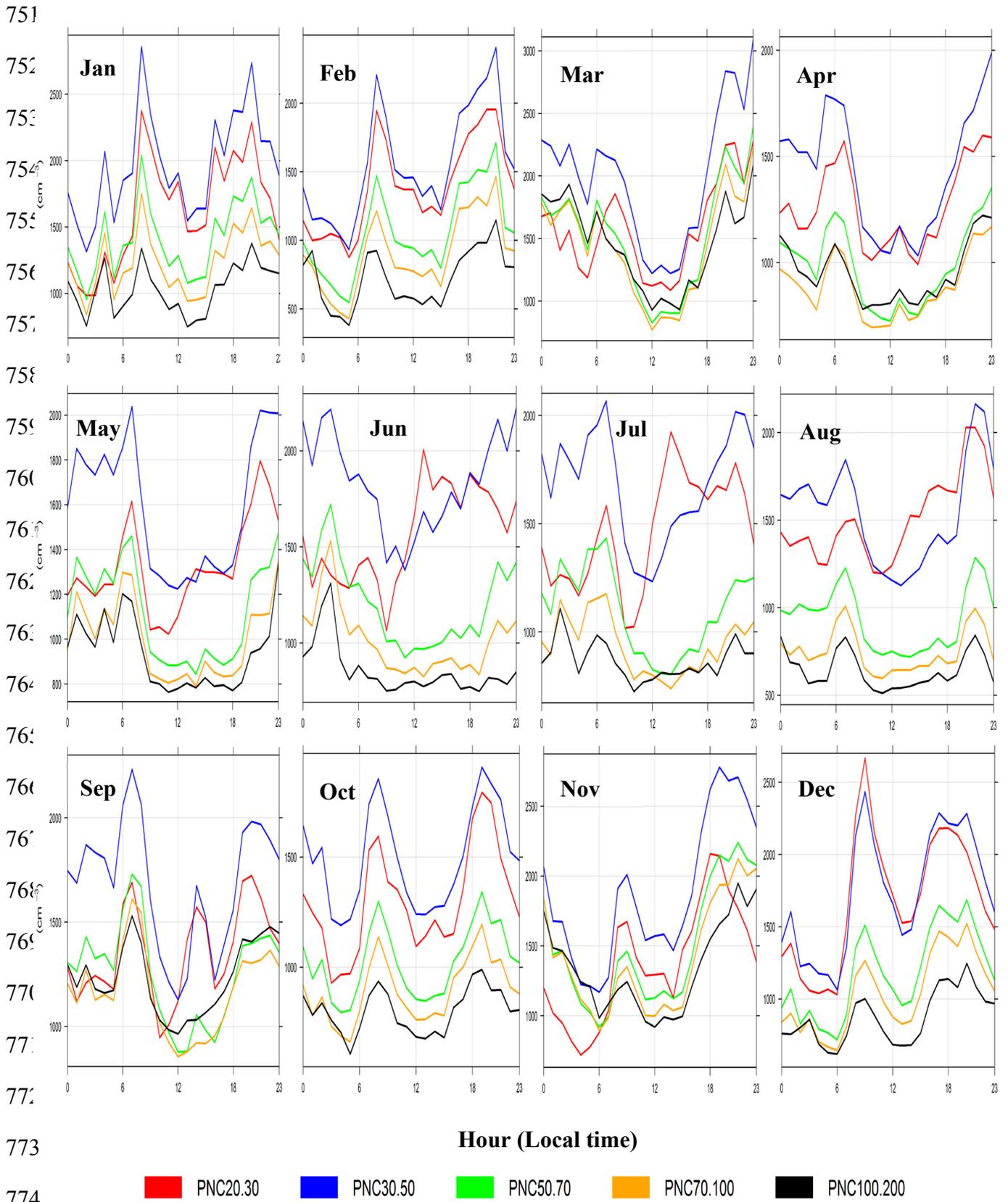


Figure 4: : Diurnal variations of eBC, and TNC concentrations for each month in 2015.

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775 Figure 5: Diurnal variations of different size channel of PNC for each month in 2014.

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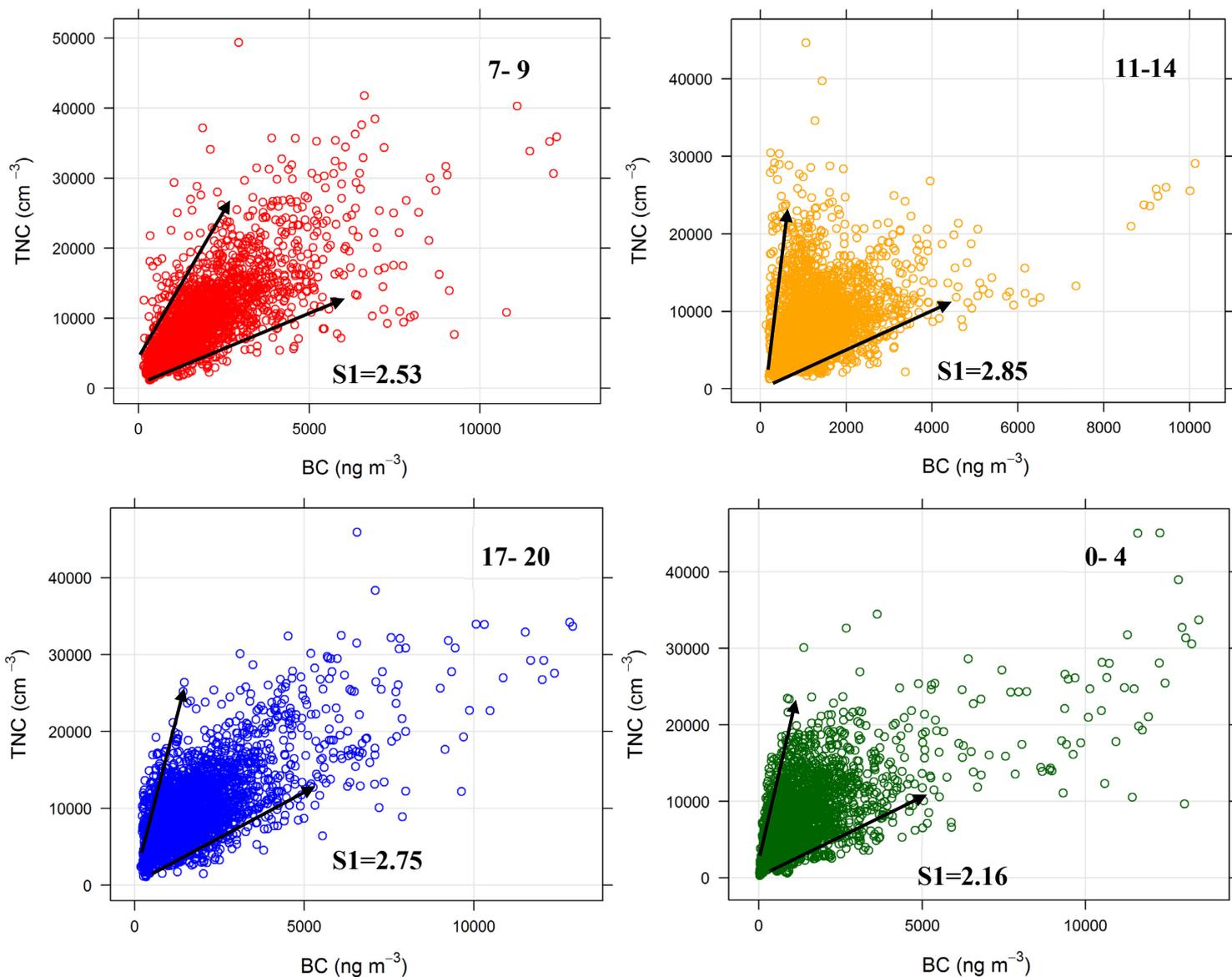


Figure 6: Half-hourly mean values of TNC versus eBC concentrations at different times of the day in Leicester. S_1 (10^6 particles per ng eBC).

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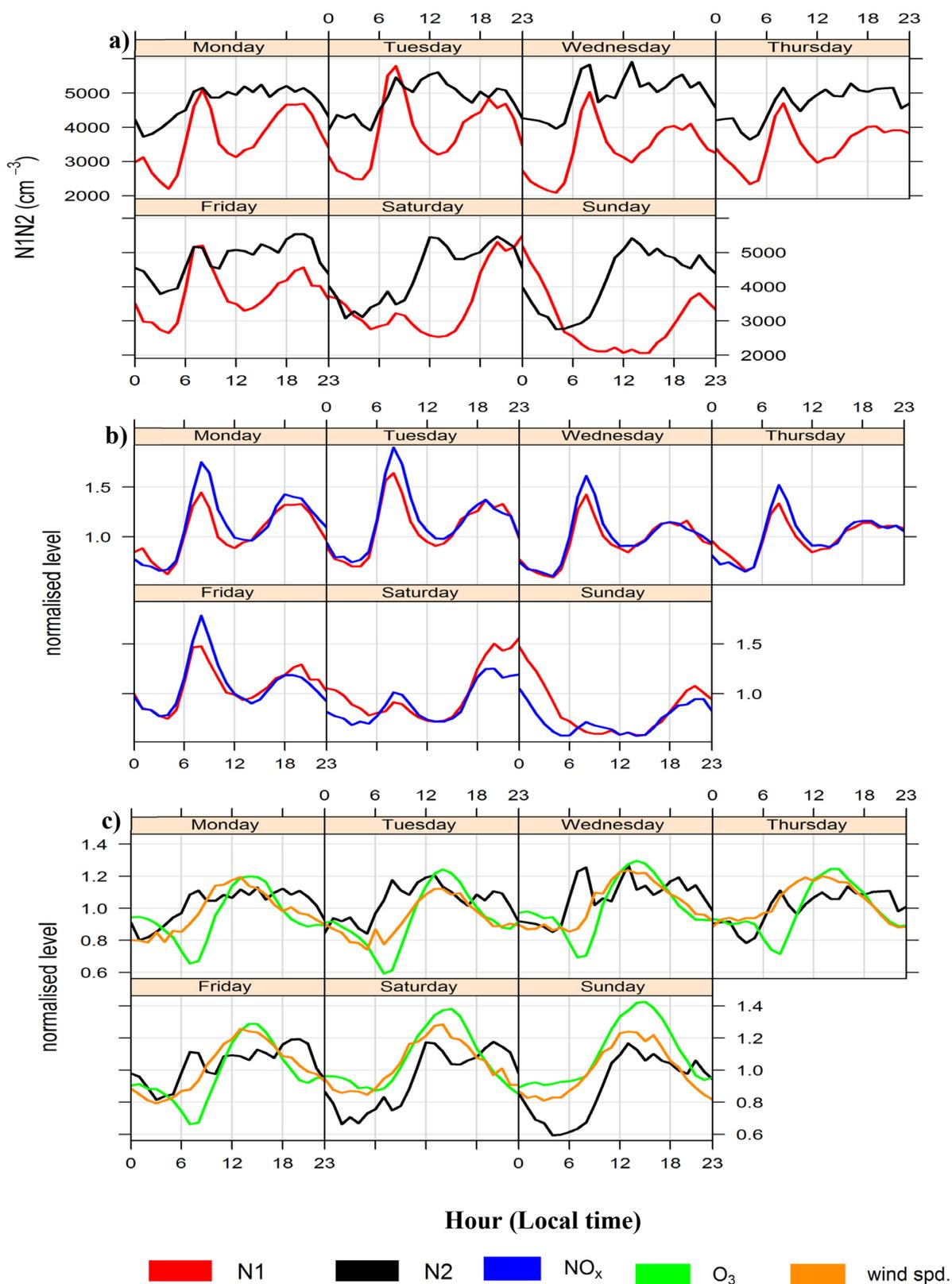


Figure 7: Half-hourly mean values of N1, N2, the gaseous pollutants (NO_x, O₃, $\mu\text{g m}^{-3}$) concentrations and the wind speed (m s^{-1}) for every day of the week.

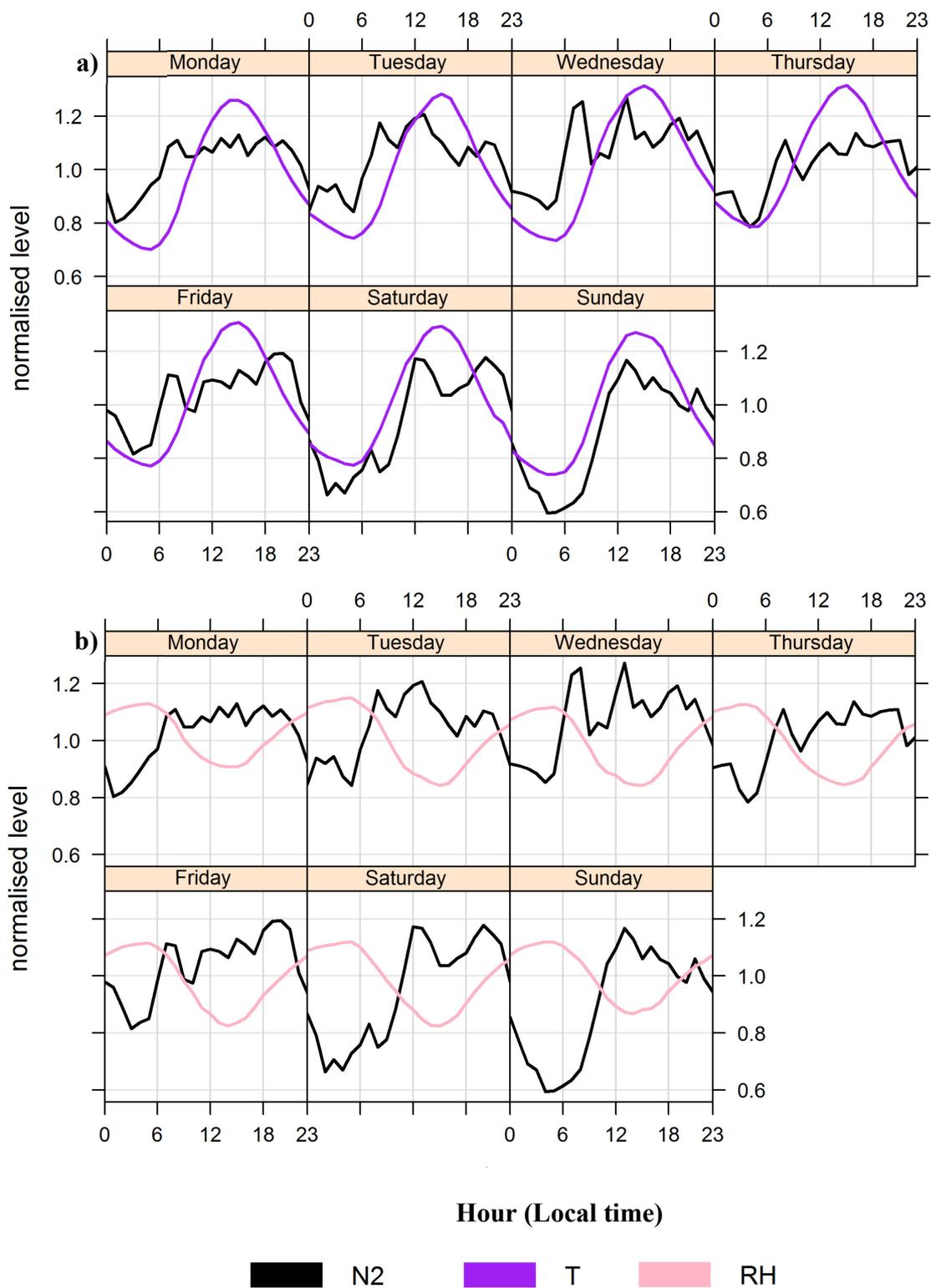


Figure 8: Half-hourly mean values of N₂ (cm⁻³), the temperature (T, °C) and the relative humidity (RH, %) for every day of the week.

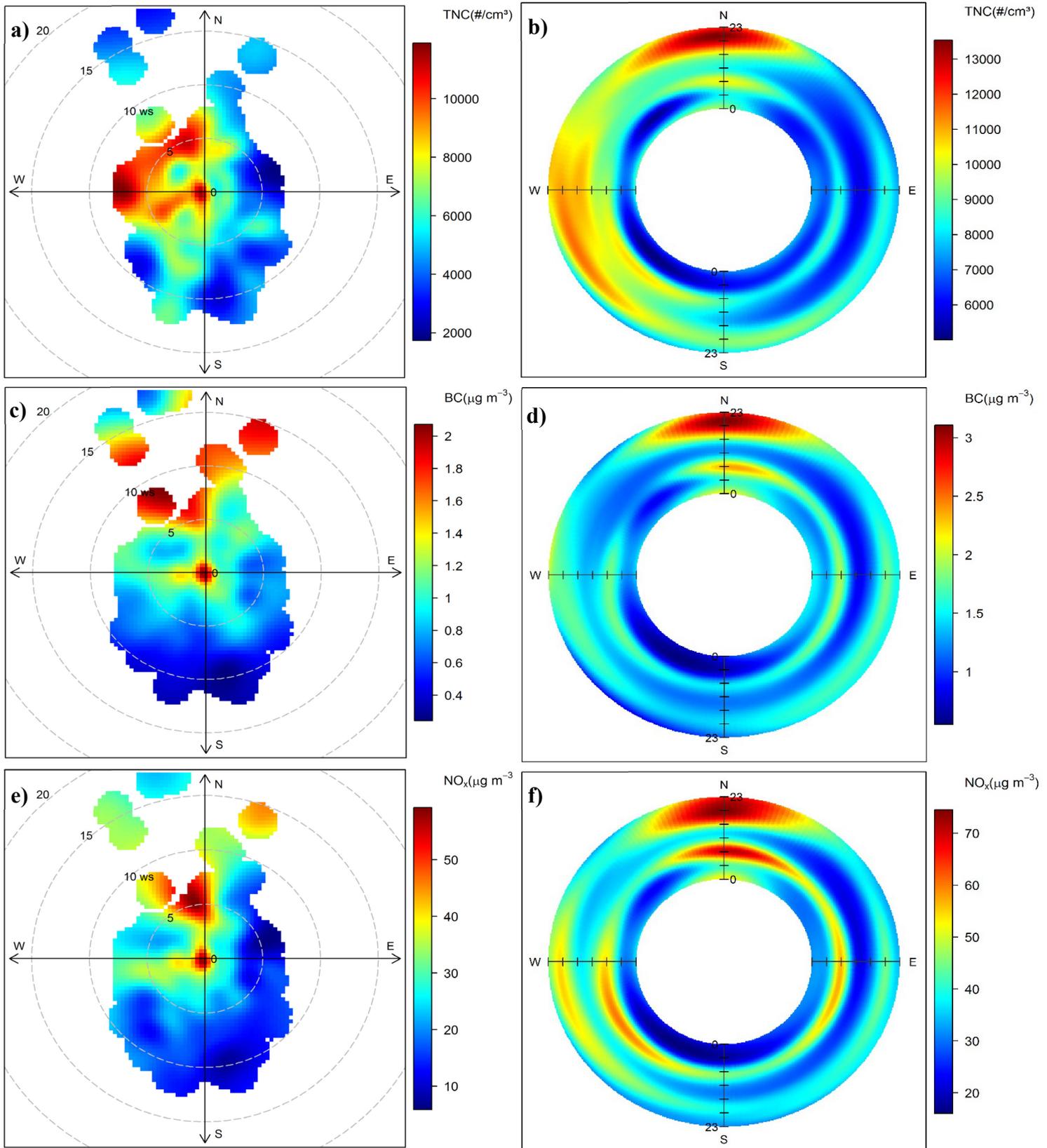


Figure 9: Bivariate polar plots of a) TNC, c) eBC, and e) NO_x concentrations, respectively at the AURN site. The centre of each plot represents a wind speed of zero, which increases radially outward. The concentrations are shown by the colour scale. Polar annulus plots of b) TNC, d) eBC, and f) NO_x concentrations, respectively at the AURN site. Inside of circle is 00:00-01:00 h running through the day to 23:00-24:00.

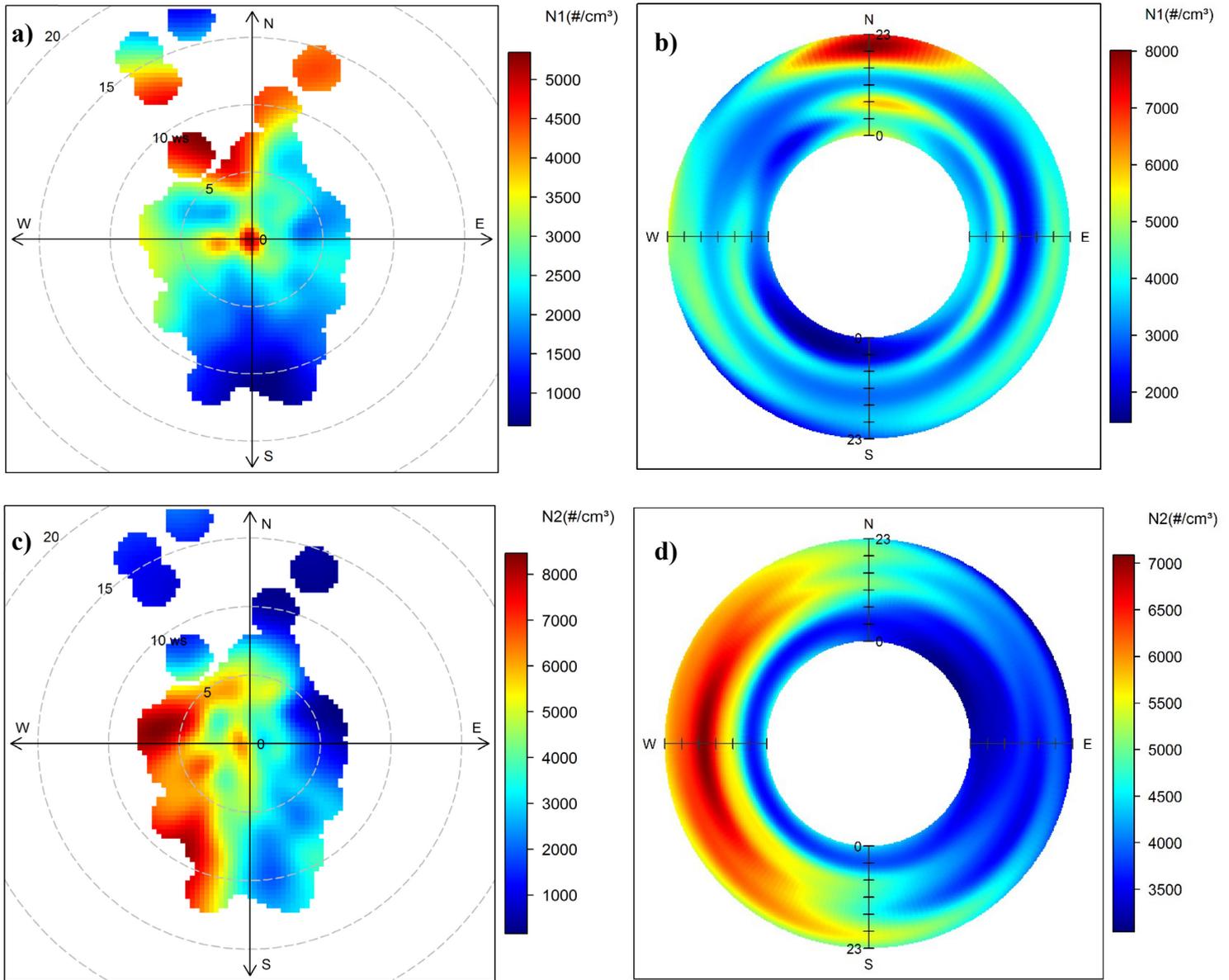


Figure 10: Bivariate polar plots of a) N1, and c) N2, concentrations, respectively at the AURN site. The centre of each plot represents a wind speed of zero, which increases radially outward. The concentrations are shown by the colour scale. Polar annulus plots of b) N1, and d) N2, concentrations, respectively at the AURN site. Inside of circle is 00:00-01:00 h running through the day to 23:00-24:00.

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