1 2	DEVELOPMENT OF A SCORING TOOL (BLARt SCORE) TO PREDICT FUNCTIONAL OUTCOME IN LOWER LIMB AMPUTEES
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1 ABSTRACT

Purpose: To develop a valid pre-operative scoring tool that predicts the probability of
walking with a prosthetic limb after major lower limb amputation.

Methods: A retrospective review of 338 patients who had undergone lower limb amputation was conducted to identify characteristics that affected the success of rehabilitation with a prosthetic limb. These data were used to devise an assessment tool (the BLARt score), which was then tested and validated in 199 patients planned to undergo lower limb amputation in two UK regional centres. Functional rehabilitation outcomes were recorded at 12 months after surgery using the SIGAM mobility grading.

10 *Results*: At 12 months after amputation, no patient with a BLARt score \geq 13 was able to walk 11 independently (good functional outcome, SIGAM grade E or F); no patient with a BLARt 12 score \geq 22 and only 6 patients with a BLARt score \geq 17 were able to walk to any degree 13 ('functional' outcome: SIGAM grade C or greater). The area-under the ROC for predicting 14 inability to walk (poor functional outcome, SIGAM A or B) was 0.914 (SE 0.2) (95% 15 confidence intervals 0.87-0.95).

16 *Conclusions*: In the patient cohorts studied, the BLARt assessment tool was a strong 17 predictor of patients' inability to walk with a prosthetic limb after surgery. It is simple to 18 administer and could be useful in clinical practice to inform expectations for patients and 19 clinicians.

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1	IMPLICATIONS FOR REHABILITATION
2	• Patients undergoing lower limb amputation face major physical and psychological challenges
3	after surgery that have a considerable impact on rehabilitation and their ability to walk
4	independently.
5	• Many amputees are unable able to walk with a prosthetic limb, but there are no validated
6	tools to predict this before surgery.
7	• The BLARt is a potentially valuable measure that can predict the likelihood of being unable
8	to walk after amputation.
9	• It is simple to use and could be useful to inform patients' and clinicians' expectations before
10	surgery
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1 INTRODUCTION

Recent estimates suggest that approximately 5000 people in the UK and 50,000 in the USA undergo major lower limb amputation each year.^{1, 2} It is difficult to quantify precise numbers worldwide because many countries do not keep accurate data. Most lower limb amputations (80%) are performed for peripheral vascular disease; 40% of these are performed in diabetics.³ Other common indications are trauma, malignancy, congenital deformities and in extreme cases, chronic pain.

Major lower limb amputation has a huge impact on a patient's physical capabilities, quality 8 9 of life and requires many adjustments to daily life.⁴ The importance of being able to 10 estimate an individual's potential to walk with a prosthesis after amputation has been recognised.⁵ Effective rehabilitation to achieve independent walking with a prosthetic limb 11 is physically and mentally challenging. The ability to walk independently with a prosthesis is 12 determined by several factors, including patient age, physical fitness, the presence of 13 associated injuries or co-morbidities, patient motivation, social circumstances and the 14 availability of support.⁶ The reliability, comfort, ease of use and functionality of the 15 prosthesis are also important.² 16

Accurate and informed information should be at the heart of decision-making before amputation.⁷ However, it is difficult to predict before surgery whether a patient will be able to walk independently after lower limb amputation .^{5, 8} Several pre-operative ⁹⁻¹² and postoperative factors ¹³⁻²² have been associated with the likelihood of being able to walk with a prosthetic limb, but there are no validated methods of predicting this before amputation surgery.

Best practice should ensure that patients are informed in detail before surgery about what 1 2 type of prosthesis may be suitable, and that they are counselled about their likely prognosis.²³ This can help manage expectations as well as plan for environmental changes at 3 home or at work, returning to driving and attending social activities.⁶ However, these 4 5 discussions do not always occur, partly because of the urgent nature of many lower limb 6 amputations.⁷ When pre-amputation discussions do occur there is evidence that patients' expectations of being able to walk independently with a prosthetic limb are often unrealistic 7 ²⁴ and this is borne out by our own clinical experience. This may relate to the prominent 8 9 media profile of some amputees including war veterans and disabled athletes. Alternatively, advice from surgeons that patients will be suitable for prosthetic rehabilitation after 10 amputation may be over-optimistic, based on a lack of knowledge or available evidence in 11 predicting longer term outcome in different patient groups, or a reluctance to emphasise 12 negative outcomes before surgery. 13

Therefore the aims of this study were to identify pre-operative factors associated with the ability to walk with a prosthetic limb after major lower limb amputation. These would inform the development of a predictive assessment tool that could be used to predict the likelihood of walking with a prosthesis after surgery.

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2 METHODS

3 We conducted a service review of mobility outcomes of all patients (n=350) referred for prosthetic rehabilitation after trans-tibial and trans-femoral amputation between 1st April 4 5 2000 – 31st March 2008 in the tertiary referral vascular and orthopaedic unit at University Hospitals of Leicester NHS Trust. Research ethics committee was not required as this study 6 7 was a retrospective analysis of routinely collected clinical data. Data were recorded as part 8 of routine care during 2000-2008 by clinicians from the prosthetic rehabilitation service. 9 The use of anonymised patient information was approved by the institutional review board 10 and individual patient consent was not required Functional outcome was determined using the NHS prosthetic activity coding classification in use at the time (AOL- non limb user, A1L-11 limited household/cosmetic user, A2L – limited community/household user, A3L – active 12 adult, A4L – very active adult or athlete).²⁵ Variables included sex, age, body mass index, 13 14 medical co-morbidities, cause of amputation, level of amputation and impaired cognitive capacity. This creation dataset was limited to those variables collected as part of routine 15 16 care.

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18 Selection of variables

Data were entered into an SPSS for Windows version 22 (IBM, Armonk, NY, USA) spreadsheet for analysis. Univariate binary logistic regression analysis of the creation dataset was used to identify potential variables that were predictive of the level of function (ability to walk with prosthetic limb) after amputation. Our primary aim was to identify the likelihood of walking independently with a prosthetic limb. A successful functional outcome was defined as an activity level of A2L or greater at 12 months. Patients with A0L or A1L Page | 6 grade at 12 months were deemed to have an unsuccessful rehabilitation outcome. All potential predictors that had an odds ratio >1, and a *p*-value<0.1 were analysed using multinomial logistic regression analysis, with walking after amputation as the dependent variable, and independent predictors as covariates.

5

6 Development of the BLARt scoring system

Following consensus discussion amongst the research team based on regression analysis of
the significant variables identified from the creation dataset and previously published
literature,^{4, 6, 9, 11, 12, 16, 23, 26-37} eight preoperative variables were identified as potentially
affecting the success of rehabilitation and having a significant impact on ability to mobilise
independently after amputation. The eight variables were:

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1: Age. The elderly are more likely to be frail with less strength and can find learning new
 skills more difficult. ^{12, 23, 27-29}

2: Sex. There is conflicting evidence regarding the effect of sex on mobility outcomes.⁵ The
 creation dataset and previous studies^{19, 38} have shown that women are less successful in
 rehabilitation.

3: *Body mass index (BMI).* BMI has an impact on the effective fitting and functioning of a
prosthesis.³⁰ Obesity can indicate a lower level of fitness and can make socket fitting
challenging. Low BMI can also be associated with frailty, lack of physical fitness and affect
the socket comfort because of bony prominences.

4: *Level of amputation.* Walking ability is reduced with more proximal amputations,particularly hip disarticulation, and bilateral amputation; trans-tibial amputees are much

more likely than trans-femoral or through-knee amputees to achieve a functional level of
 A2L or greater. ^{4, 26, 27, 29}

5: Indication for amputation. Patients undergoing amputation for vascular disease have
 poorer mobility related outcomes than amputees related to trauma. ^{4, 6, 23, 27, 31-33}

5 6: *Mobility before amputation.* Patients who are unable to walk independently before 6 surgery, especially those that are wheelchair bound are less likely to walk independently 7 after amputation. This relates to contractures from wheelchair use, compromised balance 8 and muscle strength.^{9, 28}

9 7: *Cognitive impairment*. Impaired cognitive function and dementia can affect rehabilitation
 10 outcomes. ^{9, 16, 34}

11 8: Special risks

a. *Co-existing systemic disease*. Systemic co-morbidities including diabetes mellitus,
 cardiovascular or severe respiratory disease, arthritis, degenerative spinal
 disease and renal failure can affect outcome.^{4, 9, 11, 26, 35, 36}

b. Impairment of the contralateral limb. Patients who cannot fully bear weight on
 the contralateral limb because of tissue damage, tissue loss, previous surgery or
 pain are less likely to walk with a prosthesis after amputation because of pain,
 impaired balance, coordination or muscle strength .^{9, 11, 12, 16}

We defined ischaemic heart disease as current angina or previous myocardial infarction (within 6 months). Severe respiratory disease was defined as COPD causing shortness of breath at rest or requiring home oxygen therapy. Cognitive impairment included overt confusion, with 'limited carry over' being the inability of patients to retain and repeat verbal information shortly after it had been discussed. These variables were included in the BLARt (Blatchford Allman Russell tool) score (Table 1). 1 Insert Table 1 about here.

2

3 Validation of the dataset

The study population for the validation dataset comprised all patients referred after lower 4 5 limb amputation to the rehabilitation service in Leicester during 2010-2013 and the Northern General Hospital, Sheffield during 2012-2013. The level of functional rehabilitation 6 for the validation dataset was recorded 12 months after surgery using the SIGAM scoring 7 8 system (A – non limb user, B – Therapeutic, wears only for transfers, C – Limited/Restricted, walks up to 50m, D- Impaired, walks 50m or more with walking aid, E- Independent, walks 9 50m or more without walking aid, F – Normal/Near normal walking).³⁹ The SIGAM 10 classification was used (instead of the AOL activity code used for the creation dataset) 11 because of a change in the NHS Trust requirements for data recording. For the purposes of 12 13 analysis, prosthetic mobility outcomes were grouped into 2 categories representing a 14 functional or non-functional disability mobility outcome using the SIGAM scale. Non-15 functional mobility outcomes were defined as patients who had died or had a SIGAM grade A (non-limb user) or B (wearing prosthesis only for transfer, unable to walk independently). 16 Functional outcomes were classed as SIGAM grade C or above. This division into binary 17 outcomes compares closely to the activity grades (AOL and A1L; or A2L and greater). 18

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20 Statistical analysis

21 Univariate binary logistic regression analysis of the creation dataset was used to identify 22 potential variables that were predictive of functional outcome after amputation. All 23 potential predictors that had an odds ratio >1, and a p-value<0.1 were analysed using

multinomial stepwise forward logistic regression analysis. Variables associated with poor 1 2 rehabilitation mobility (odds ratio >1 on binary regression analysis of the creation dataset, p3 value <0.1) and those identified from published studies were included in the scoring system, as were variables statistically significant on multinomial analysis. Multinomial regression 4 5 analysis used outcome after amputation as the dependent variable, and independent predictors as covariates. Since our intention was to develop a system that could predict 6 acceptable outcome at 12 months, outcome was primarily divided into functional (SIGAM 7 8 grade C or greater) or non-functional (dead, or SIGAM grade A or B). We also compared 9 outcomes according to good walking function (SIGAM grade E or F) and poor function (SIGAM grade D or lower). The performance of the score, calibration and goodness of fit to 10 the validation dataset was determined by calculating the Hosmer-Lemeshow statistic and 11 Nalgekirke R² value. Data are presented as Exp (B) Odds ratios with 95% confidence 12 13 intervals, where Exp (B) represents the ratio-change in the odds of the event of interest for 14 a one-unit change in the predictor. Receiver operating characteristic (ROC) curves were constructed and area-under-the ROC curve (AUROC) with SE and 95% confidence intervals 15 to assess sensitivity and discrimination. Specificity and sensitivity of the BLARt score for 16 17 predicting functional walking outcomes (defined by SIGAM grade) were calculated for the overall score and at key threshold values. Sensitivity was calculated as the proportion of 18 patients correctly predicted by a high BLARt score as having a non- or poor functional 19 walking outcome) and specificity was calculated as the proportion of patients correctly 20 predicted by a low BLARt score as having a good functional walking outcome. 21

22

2 **RESULTS**

3 Creation dataset

Of 350 patients included in the creation dataset, functional outcome data was not recorded
for 12 patients so data from 338 patients were analysed (Table 2). The characteristics of the
patient groups are indicated in Tables 2 and 3. Mortality at 12 months was 18.6%.

7 Insert tables 2 & 3 about here.

Factors independently associated with a poor functional outcome (AOL or A1L) on univariate
analysis were trans-femoral amputation, age > 70 years, male sex, vascular aetiology, renal
failure requiring dialysis, diabetes and prior stroke (Table 4) and these were entered into the
multinomial analysis.

12 Insert table 4 about here.

13 Ischaemic heart disease, obesity, respiratory disease, contralateral limb problems, cognitive 14 dysfunction were not associated with mobility outcome but absolute numbers of patients 15 with these pathologies were low. On multinomial analysis, age, sex, trans-femoral 16 amputation, vascular aetiology and renal failure were independent predictors of non-17 functional mobility outcome (Table 5).

18 Insert table 5 about here.

19

20 Validation dataset and BLARt scoring system development

One hundred and ninety nine patients were included in the validation dataset (101 from Leicester, 98 from Sheffield). Patient characteristics in the validation dataset (*n*=199) were similar to the creation dataset (Tables 6 & 7), though the presence of diabetes was not recorded. Body mass index was calculated. The proportion of patients undergoing transfemoral amputations was lower, and 30 patients underwent bilateral amputations or hip
disarticulation. Mortality at 12 months in the validation dataset was 10% (*n* = 20) but other
clinical outcomes were similar. *Insert tables 6 & 7 about here.*

4 The BLARt scoring system (Table 1) was devised based on these variables, with additional 5 weight given to those significant on multinomial analysis. In addition, some factors 6 considered important by the research and clinical teams but not recorded in the creations 7 dataset (body mass index, pre-amputation mobility) were included. The validation dataset 8 was then used to assess the performance of the BLARt score. Using the Hosmer and Lemeshow Test, the BLARt score showed good concordance between predicted and 9 10 observed functional outcome (χ^2 value 8.31, p = 0.403). Table 8 summarises the correlation between BLARt score and functional outcome. 11

12 Insert table 8 about here.

13 No patient with a BLARt score ≥13 was able to walk independently with a prosthesis (SIGAM 14 E or F) and only 6 patients with a BLARt score \geq 17 were able to walk independently with a 15 prosthesis for any distance (SIGAM C or greater). The overall sensitivity of the BLARt score 16 for predicting poor functional outcome (SIGAM D or below) was 95.5% and the specificity was 77.2%; positive and negative predictive values were 97.2% and 45.5% respectively. 17 Using a threshold of BLARt score ≥13 or less gave 100% sensitivity and 66.7% specificity for 18 19 poor functional outcome (SIGAM D or below). A threshold of BLARt score ≥17 identified 20 non-functional outcome (SIGAM B or below) with a sensitivity of 93.4% and a specificity of 75.9%. 21

The ROC curve for non-functional outcome (Figure 1) confirms the predictive ability of the BLARt score. The AUC is 0.914 (SE 0.2), 95% confidence intervals 0.87-0.95. AUROC for poor functional outcome was 0.941 (SE 0.017) (95% CI 0.91-0.97).

Page | 12

1 Insert Figure 1 about here.

2

2 DISCUSSION

In this study we found that patients with a BLARt score of ≥ 13 did not achieve a good
functional outcome (SIGAM grade E or F) following lower limb amputation and those with a
score of ≥17 did not achieve any walking function (SIGAM grade C or greater). The BLARt
assessment was highly sensitive in identifying a patient's subsequent ability to walk.

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In the creation dataset of 338 patients, those undergoing through-knee or trans-femoral 8 9 amputation had a 10.5% chance of achieving good functional outcome (A2L, A3L or A4L) 10 compared to 41% in the trans-tibial amputation group. The poorer outcome after transfemoral amputation is consistent with published data.^{23, 38} In the second cohort of 199 11 12 patients used as the validation dataset, a good functional outcome was achieved by 36% of the through-knee/transfemoral group and 69% of trans-tibial group. This suggests that 13 rehabilitation outcomes may have improved in the last decade, which probably reflects 14 improvements in rehabilitation services. Other strong predictors of poor functional outcome 15 were renal failure, female sex and amputation for peripheral vascular disease, again 16 consistent with previous data. The effects of renal failure may be because of reduced life 17 expectancy⁴⁰⁻⁴² and the multiple co-morbidities associated with end-stage renal disease^{43.} In 18 19 our experience, inconsistency in physical wellbeing on a day-to-day basis is a major 20 limitation in the rehabilitation process, and this occurs in patients with renal failure. Our 21 data demonstrated that older female patients with peripheral vascular disease were less 22 likely to walk independently after amputation. This may be related to a number of reasons

such as the severity of the underlying vascular disease, frailty, social, demographic and
 psychological factors associated with adjustment to amputation.⁴⁴

3 Functional outcomes were better when amputation was performed in younger patients for traumatic or orthopaedic injuries rather than peripheral vascular disease. The BLARt score 4 5 was less good at predicting a 'good' functional outcome (walking independently). One 6 explanation is that the BLARt score measures pre-amputation factors and does not consider 7 post-amputation factors, which are likely to have an influence on outcome. There are many 8 unpredictable post-operative factors that can affect successful rehabilitation. These include 9 failure of, or poor wound healing, infection requiring revision surgery, contractures, and acute post-operative events such as infectious, respiratory or cardiovascular complications. 10 11 Patients who undergo amputation have to make many psychological and social adjustments and these influence outcomes. Patients who are discharged home may feel isolated 12 following a prolonged period of hospitalisation. They may withdraw socially and may not 13 perform their physiotherapy exercises as much as patients who go to a community 14 15 rehabilitation facility where more regular input and help to deal with complications is often available. Motivation is an important determinant of rehabilitation.¹¹ Factors affecting 16 17 motivation and compliance include mood changes, anxiety, changes in body image and self-18 esteem. Social support issues, feelings of helplessness and coping with the physical adaptations required can all influence rehabilitation outcomes.^{17, 27} Many of these are 19 difficult to predict before amputation surgery and were not included in the BLARt tool. 20 Hence the utility of the BLARt is to predict those patients who are unlikely to rehabilitate 21 22 successfully rather than those who will.

1 Implications for practice

The BLARt score is a relatively simple tool that will allow risk stratification for the purposes of informed consent. It will provide an estimate of prognosis that can guide pre-operative information giving and communication. It may allow better management of the expectations of patients and their carers, during the informed consent process.

It is a simple, reproducible tool that can be easily incorporated into every day clinical
practice. The BLARt score can be completed by any member of the clinical team and does
not require a specialist practitioner.

9

2 Limitations of the current study

3 The creation dataset comprised routine collected data which did not include variables such as categorised body mass index, pre-amputation mobility levels and classification of 4 5 cognitive impairment. Hip disarticulation, and bilateral amputations were also not classified separately. However, the study team considered these to be vital factors which affect the 6 7 success of rehabilitation and therefore, following consensus decision, they were included 8 and weighted in the formulation of the BLARt score. There were very few through-knee 9 amputations in our datasets and so these have been grouped together with the 10 transfemoral group. We feel this is logical as in our experience the absence of a knee joint 11 (as in transfemoral or through knee amputations) is a major limiting factor in rehabilitation The incidence of diabetes was included in the creation dataset analysis and was a significant 12 co morbidity (n=152, 45% of patients undergoing amputation). However, we omitted 13 14 diabetes from the BLARt assessment because whilst it is an underlying risk factor for peripheral vascular disease and amputation it does not necessarily impact on rehabilitation. 15 In attempting to distinguish between patients with unlimited walking distance before 16 17 surgery and those able to walk a limited distance unaided, we used ability to walk 3 miles as a surrogate for unlimited walking, which approximates to a SIGAM mobility grade F 18 19 (normal/near normal walking) and NHS prosthetic activity code A3L. We realise that this 20 definition is to some extent subjective, but our intention was to predict broadly whether a patient would achieve any walking function (SIGAM grade C or greater) or independent 21 mobility (SIGAM grade E or F). To achieve this it is more important to distinguish levels of 22 23 immobility rather of extended mobility before surgery. It is possible that a different 1 definition of unlimited or normal walking might have improved performance of the BLARt,

2 but we believe this is unlikely, and grouped SIGAM grades E and F together for analysis.

We recognise that there are several other post-operative factors that can impact on the success of prosthetic ambulation and good functional outcome. Wound healing, stump characteristics (affecting prosthetic fitting), phantom limb pain, amputation stump pain and psychosocial issues ^{37, 46, 47} can all prolong the rehabilitation process. However these cannot be predicted before surgery and therefore are not accounted for in the BLARt assessment.

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9 Further studies

Further studies are required and planned to use the BLARt assessment in a larger population across several centres nationwide, in order to validate the tool in a larger patient group. It is anticipated that this assessment could ultimately be incorporated into routine clinical practice to guide and inform patients about their potential mobility outcomes after lower limb amputation.

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2 CONCLUSIONS

3	We have developed a novel scoring system to predict the likelihood of walking after lower
4	limb amputation. The BLARt score offers a consistent pre-operative assessment based on
5	objective evaluation of underlying clinical variables and their influence on rehabilitation
6	after lower limb amputation. It could provide patients and clinicians with a more realistic
7	prediction of the chances of walking after surgery, and assist in setting realistic objectives
8	and expectations.
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11	Declaration of Interest
12	The authors report no conflicts of interest.
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1 Table 1: BLARt Assessment Tool

Sex	Score	Special Risks	Score
Male	0	Severe respiratory disease ²	5
Female	1	Renal failure requiring dialysis	4
		Stroke ³	3
Age in years		Recent MI / Angina ⁴	2
14-49	0	Contralateral Limb Problem ¹	2 -4
50-64	1		
65-74	2	Indication for Amputation	
75-80	5	Trauma	0
80+	6	Congenital	0
		Cancer	1
ВМІ		Orthopaedic	2
18.5 - 24.9 (Average)	0	Vascular	3
25 - 29.9 (Above Average)	1		
30+ (Obese)	3	Level of Amputation	
18.5 or less (Below Average)	2	Transfemoral /Through Knee	3
		Transtibial	1
Mobility Before Amputation		Hip Disarticulation	5
Wheelchair bound ≥12 months	5	Bilateral⁵	4-6
Wheelchair bound <12 months	4		
Indoor mobility with aids	3	Cognitive Impairment ⁶	
Outdoor mobility with aids	2	Confused	5
Unaided outdoor mobility	1	Limited Carry Over	3
Able to walk ≥3 miles	0	Alert / Aware	0
		Total Score:	

¹ Contralateral Limb Problems - score varies depending on the degree of disability, for example:

Score 2 – Underlying claudication (can weight bear), leg ulcers or knee replacement.

Score 3 – Toe / partial foot amputation (difficulty weight bearing due to neuropathy or balance issues).

Score 4 – Previous amputation or severe disease to limb (not able to weight bear or stand).

²Severe Respiratory Disease – defined as a history of COPD, home oxygen therapy or shortness of breath at rest.

³ Stroke – defined as a history of stroke with residual motor deficit. Patients who had contralateral lower limb weakness also score on the contralateral limb problems scale.

⁴Recent MI / Angina – MI within the last 6 months or ongoing angina.

⁵ Level of amputation- through-knee and transfemoral amputations are grouped together

Score 4 - bilateral transtibial amputation

Score 5 - transtibial and contralateral through-knee or transfemoral amputation

Score 6 - bilateral transfemoral or through-knee amputation

⁶ Cognitive Impairment is defined as the inability of patients to retain information shortly after it had been discussed, such as

physiotherapy exercises and basic instructions. It was categorised as:

Score 5 - Confused (unable to understand and retain information).

Score 3 - Limited Carry Over (able to understand but not retain information).

- Score 0 Alert/ Aware (able to understand and retain information).

ation

Table 2: Characteristics of Patients in creation dataset (n	n=338)	who underwent major ampu	ta
between 2000-2008 presented as mean (range) or numb	ber (%))	

	Transfemoral/through-	Transtibial amputations
	knee amputations (n=152)	(n=186)
Age (years)	69.5 (15-95)	65 (15-92)
Male/Female	109 (72%)/43 (28%)	144 (77%)/42 (23%)
Indication for Amputation		
Vascular (n=282)	127 (84%)	155 (83%)
Orthopaedic/Trauma/Congenital	19 (12%)	26 (14%)
(n=45)		
Cancer (<i>n=11</i>)	6 (4%)	5 (3%)
Coexisting Morbidity ¹		
Diabetes (n=152)	52 (34%)	100 (54%)
Ischaemic heart disease (n=81)	32 (21%)	49 (26%)
Stroke (<i>n=44</i>)	16 (11%)	28 (15%)
Renal Failure (n=33)	13 (9%)	20 (11%)
Severe respiratory Disease (n=32)	12 (8%)	20 (11%)
Contralateral limb problem (n=33)	0	33 (18%)
Cognitive impairment (n=10)	0	10 (5%)
Obesity (n=7)	0	7 (4%)
Congestive heart failure (n=6)	0	6 (3%)
Activity Level Achieved at 12		
months ²		
AOL (<i>n=110</i>)	80 (52%)	30 (16%)
A1L (n=73)	24 (16%)	49 (26.5%)
A2L (n=62)	9 (6%)	53 (28.5%)
A3L (n=26)	7 (5%)	19 (10%)
A4L (n=4)	0	4 (2%)
Died (<i>n=63</i>)	32 (21%)	31 (17%)

alateral limb problem was

	AOL (<i>n=110</i>)	80 (52%)
	A1L (<i>n=73</i>)	24 (16%)
	A2L (n=62)	9 (6%)
	A3L (n=26)	7 (5%)
	A4L (n=4)	0
	Died (n=63)	32 (21%)
6 7 8 9	¹ Many patients had more than defined as in Table 1. Obesity w ² NHS activity coding classificati	1 coexisting morbidity hence column totals = >100%. Contra vas defined as BMI>30 kg m ⁻² . on – see Figure 1
10		
11		

- 1
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3 Table 3: Comparison of Patient Characteristics according to Level of Functional Rehabilitation at

- 4 12 months Creation dataset (*n=338*)
- 5

Level of Functional Rehabilitation	Functional	Non-Functional	Died <i>(n=63)</i>	
	A2L, A3L + A4L	A0L + A1L		
	(n=92)	(n=183)		
Age (years)				
14-49	34 (37%)	6 (3%)	2 (3.1 %)	
50-64	24 (26%)	57 (31%)	9 (14.3 %)	
65-74	16 (17%)	52 (29%)	16 (25.5 %)	
75-80	12 (13%)	35 (19%)	19 (30.1 %)	
81+	6 (7%)	33 (18%)	17 (27%)	
Male: Female	84:8	124:59	45:18	
Indication for Amputation				
Vascular	58 (63%)	166 (91%)	58 (92%)	
Orthopaedic/Trauma/Congenital	31 (34%)	11 (6%)	3 (5%)	
Cancer	3 (3%)	6 (3%)	2 (3%)	
Coexisting Morbidity				
Diabetes	37 (40%)	80 (44%)	35 (56%)	
Ischaemic heart disease	18 (19%)	43 (23%)	20 (32%)	
Stroke	7 (8%)	28 (15%)	9 (14%)	
Renal failure	3 (3%)	17 (9%)	13 (21%)	
Severe respiratory disease	8 (9%)	19 (10%)	5 (8%)	
Obesity				
Obese	3 (3%)	4 (2%)	0 (0%)	
Not obese	89 (97%)	179 (98%)	63 (100%)	
	10 (110/)	16 (09/)	7/11 0/)	
	10 (11%)	10 (9%)	7 (11 %)	
Cognitive Impairment				
Confused	2 (2%)	3 (2%)	5 (8%)	
Alert/aware	90 (98%)	180 (98%)	58 (92%)	
Level of Amputation				
Transfemoral /through knee	16 (17%)	104 (57%)	32 (51%)	
Transtibial	76 (83%)	79 (43%)	31 (49%)	

Percentages refer to column totals. Many patients had more than 1 coexisting morbidity hence some column totals = > 100%.

- 2 Table 4: Results of univariate binary logistic regression of the creation dataset according to
- 3 mobility grade A0L or A1L (*n* = 338)

Variable	Odds ratio (95% confidence interval)	Significance (<i>p value</i>)
Level (transfemoral or through-knee amputation)	6.01 (3.36-11.1)	<0.001
Age > 70 years	5.70 (2.80-11.63)	<0.001
Male Sex	4.88 (1.51-27.2)	<0.001
Vascular indication for amputation	1.84 (1.36-2.47)	0.004
Renal failure	4.19 (1.25-14.11)	0.02
Diabetes	1.96 (0.93-4.14)	0.07
Stroke	1.95 (0.85-4.66)	0.10
Ischaemic heart disease	1.39 (0.77-2.50)	0.28
Obesity	2.00 (0.11-2.27)	0.37
Arthritis	1.46 (0.24-1.9)	0.47
Cognitive impairment	1.54 (0.32-7.38)	0.59
Severe respiratory disease	1.10 (0.48-2.56)	0.82
Contralateral limb problem	1.16 (0.39-1.89)	0.86

4 See main text and Legend to Table 1 for definitions

2 Table 5: Multinomial stepwise forward logistic regression analysis of creation dataset (*n* = 338).

Variable	Odds ratio	95% confidence interval	Significance (p
	2.45	1 40 2 42	
Age >75 years	2.15	1.49-3.13	<0.001
Sex	4.60	2.79-17.95	<0.001
Vascular disease	4.79	2.08-11.0	<0.001
Level (trans-femoral/through-	9.46	4.49-19.9	<0.001
knee amputation)			
Renal failure	5.7	1.53-21.43	0.009
Stroke	1.67	0.62-4.45	0.31
Diabetes	1.54	0.79 -3.02	0.21

3

4

2 Table 6: Characteristics of Patients in Validation dataset (*n=199*) who underwent major

3 **amputation.** Data presented as mean (range) or number (%).

	Transfemoral/through-	Transtibial	Bilateral or Hip
	knee amputations	Amputations	Disarticulation
	(n=101)	(n=68)	(n=30)
Age (years)	71 (38-97)	63 (14-92)	66 (32-88)
Male/Female	60 (59%)/41 (41%)	49 (72%)/19 (28%)	21 (70%)/9 (30%)
Indication for Amputation			
Vascular	85 (84%)	52 (76%)	27 (90%)
Orthopaedic/Trauma/Congenital	14 (14%)	14 (21%)	2 (6.6%)
Cancer	2 (2%)	2 (3%)	1 (3.3%)
Coexisting Morbidity			
IHD	8 (8%)	8 (12%)	1 (3.3%)
Stroke	8 (8%)	7 (10%)	3 (10%)
Renal Failure	2 (2%)	6 (9%)	3 (10%)
Severe respiratory disease	16.5 (17%)	7 (10%)	4 (13%)
Diabetes	NR	NR	NR
Body Mass Index (BMI)			
< 18.5 (Below Average)	17 (16.5%)	5 (7%)	5 (17%)
18.5 - 24.9 (Average)	46 (46%)	37 (54%)	13 (43%)
25 - 29.9 (Above Average)	21 (21%)	16 (24%)	9 (30%)
>30 (Obese)	17 (16.5%)	10 (15%)	3 (10%)
Contralateral Limb Problem	27 (27%)	9 (13%)	7 (23%)
Cognitive Impairment			
Confused	10 (10%)	3 (5%)	2 (7%)
Alert / Aware	/5 (/4%)	54 (79%)	20 (66%)
Limited Carry Over	16 (16%)	11 (16%)	8 (27%)
Activity Level Achieved at 12			
months		0 (1 0 0 ()	
SIGAM A	46 (45%)	8 (12%)	20 (66%)
SIGAM B	7 (7%)	6 (9%)	2 (6%)
SIGAM C	18 (1/%)	19 (26%)	5 (1/%)
SIGAM D	13 (13%)	11 (16%)	2 (7%)
SIGAM E	4 (4%)	4 (6%)	0
SIGAM F	1 (1%)	13 (20%)	0
Died	12 (12%)	7 (11%)	1 (4%)
Mobility Before Amputation			
Wheelchair bound ≥12 months	14 (14%)	4 (6%)	10 (33%)
Wheelchair bound <12 months	10 (10%)	2 (3%)	1 (3.5%)
Indoor mobility with aids	34 (34%)	14 (20%)	8 (27%)
Outdoor mobility with aids	15 (15%)	15 (22%)	6 (20%)
Unaided outdoor mobility	16 (16%)	17 (25%)	1 (3.5%)
Able to walk ≥3 miles	12 (11%)	16 (24%)	4 (13%)

Percentages refer to column totals. NR = not recorded

- 1 Table 7: Comparison of Patient Characteristics according to Level of Functional Rehabilitation at 12
- 2 months in validation dataset using the SIGAM scale³⁹ (n=199). SIGAM grades C, D & E were used to
- 3 define a good functional outcome; non-functional rehabilitation outcome equated to SIGAM grades
- 4 A&B.

Level of Functional	Functional	Non-functional	Died (<i>n=20</i>)
Rehabilitation	SIGAM C, D, E + F	SIGAM A + B	
	(<i>n=90</i>)	(<i>n=89</i>)	
Age (years)			
14-49	20 (22%)	7 (8%)	0
50-64	26 (29%)	16 (18%)	4 (20%)
65-74	23 (26%)	24 (27%)	6 (30%)
75-80	13 (14%)	16 (18%)	4 (20%)
80+	8 (9%)	26 (29%)	6 (30%)
Male: Female	69: 21	46: 43	15: 5
Indication for Amputation			
Vascular	66 (74%)	90 (90%)	18 (90%)
Orthopaedic /Trauma/Congenital	19 (21%)	10 (10%)	2 (10%)
Cancer	5 (5%)	0 (0%)	0
Coexisting Morbidity			
IHD	6 (7%)	10 (11%)	2 (10%)
Stroke	5 (5%)	11 (12%)	2 (10%)
Renal Failure	1 (1%)	7 (8%)	4 (20%)
Severe respiratory Disease	3 (3%)	20 (22%)	3 (15%)
Diabetes	NR	NR	NR
Body Mass Index (BMI)			
18.5 - 24.9 (Average)	54 (60%)	29 (33%)	13 (65%)
25 - 29.9 (Above Average)	19 (21%)	24 (27%)	3 (15%)
30+ (Obese)	8 (9%)	20 (22%)	2 (10%)
18.5 or less (Below Average)	9 (10%)	16 (18%)	2 (10%)
Contralateral limb Problem	13 (14%)	33 (37%)	1 (5%)
Cognitive Impairment			
Confused	1 (1%)	10 (11%)	4 (20%)
Limited Carry Over	8 (9%)	21 (24%)	6 (30%)
Alert/ Aware	81 (90%)	58 (65%)	10 (50%)
Level of Amputation			
Transfemoral /Through Knee	36 (40%)	53 (60%)	12 (60%)
Transtibial	47 (52%)	14 (16%)	7 (35%)
Hip Disarticulation	3 (3.5%)	10 (11%)	0
Bilateral	4 (4.5%)	12 (13%)	1 (5%)
Mobility Before Amputation			
Wheelchair bound ≥12 months	0 (0%)	27 (30%)	1 (5%)
Wheelchair bound <12 months	1 (1%)	10 (11%)	2 (10%)
Indoor mobility with aids	17 (19%)	30 (34%)	9 (45%)
Outdoor mobility with aids	22 (24.5%)	11 (12%)	3 (15%)
Unaided outdoor mobility	28 (31%)	5 (6%)	1 (5%)
Able to walk 3 miles or more	22 (24.5%)	6 (7%)	4 (20%)

1 Table 8: BLART score and walking ability at 12 months after amputation

2 Patients were classified in 2 ways: good/poor function (left columns) or functional/non-functional

- 3 (right columns), according to SIGAM mobility grade. No patient with a BLARt score ≥13 was able to
- 4 walk independently (good functional outcome: SIGAM E or F). No patient with a BLARt score \geq 22 and
- 5 only 6 patients with a BLARt score ≥17 were able to walk to any degree (functional outcome: SIGAM
- 6 C or greater). However there was no clear relationship between low BLARt scores and poor walking
- 7 ability (SIGAM A-D).

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	Activity Level at 12 months after amputation					
BLARt	Good function	Poor function	Functional	Non-functional		
score	(SIGAM E- F)	(SIGAM A-D or died)	(SIGAM C-F)	(SIGAM A-B or died)		
	(n=22)	(n=179)	(n=91)	(n=108)		
1	3	0	3	0		
2	1	0	1	0		
5	4	3	7	0		
6	4	2	6	0		
7	3	2	5	0		
8	1	10	9	2		
9	1	9	8	2		
10	2	7	6	3		
11	1	5	6	0		
12	2	8	9	1		
13	0	13	10	3		
14	0	16	9	7		
15	0	8	2	6		
16	0	6	4	2		
17	0	9	1	8		
18	0	14	2	12		
19	0	9	0	9		
20	0	8	1	7		
21	0	7	2	5		
22	0	8	0	8		
23	0	5	0	5		
24	0	7	0	7		
25	0	6	0	6		
26	0	5	0	5		
27	0	5	0	5		
28	0	1	0	1		
29	0	1	0	1		
31	0	2	0	2		
32	0	1	0	1		

- 1 SIGAM mobility grades:
- 2 A non limb user, B Therapeutic, wears only for transfers, C Limited/Restricted, walks up to 50m,
- 3 D- Impaired, walks 50m or more with walking aid, E- Independent, walks 50m or more without
- 4 walking aid, F Normal/Near normal walking).³⁹

1 **Figure 1:**



- 3 **Figure 1**: ROC Curve of BLARt score against likelihood of a non-functional outcome, defined as a
- 4 SIGAM grade B or below at 12 months after amputation. The area-under-the-curve is 0.914 (SE 0.2,
- 5 95% confidence intervals 0.87-0.95)